



Republic of Namibia
Annotated Statutes

REGULATIONS

REGULATIONS MADE IN TERMS OF

Lotteries Act 13 of 2017

sections 85(1), 85(2) and 72(3)

Lotteries Regulations

Government Notice 256 of 2021

[\(GG 7694\)](#)

came into force on date of publication: 1 December 2021

The Government Notice which publishes these regulations notes that the regulations in Parts 1-2 were made under section 85(1) of the Act, the regulations in Parts 3-9 were made under section 85(2) of the Act with the concurrence of the Lotteries Board, and the regulations in Part 10 were made under section 72(3) of the Act after consultation with the Lotteries Board.

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[The names of several of the Forms in the text of the regulations differ from the names that appear here, as indicated here in the annotation notes.]

Form 1: Application for State Lottery Licence

[“Application for State Lottery or Sports Pool Licence”]

Form 2: State Lottery Licence

[“State Lottery or Sports Pool Licence”]

Form 3: Application for Duplicate State Lottery Licence

[“Application for Duplicate State Lottery Licence or Sports Pool”; the word Licence appears to have been omitted at the end of the name that appears in the text]

Form 4: Application for Grant from Trust Fund

Form 5: Application for Registration as Benevolent Society

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["Application for Approval to Conduct Lottery under Benevolent Society Scheme"]
Form 8: Application for Certification as Lottery Manager
Form 9: Certificate of Lottery Manager
["Certificate as Lottery Manager"]

PART 1

INTRODUCTORY PROVISIONS

Definitions

1. In these regulations a word or expression to which a meaning has been assigned in the Act has that meaning and, unless the context otherwise indicates -

“advertising material” means exclusive material, which refers to a promotional competition printed or displayed on any individual item of goods promoted by such competition and which are for sale to the public;

“exempt entertainment” means a bazaar, sale, dinner, dance, sporting event or other entertainment of a similar character if there are no cash prizes;

“exempt entertainment lottery” means a lottery conducted for members of a benevolent society established and conducted for purposes not connected with lotteries, gambling or betting;

“goods and services” means goods or services which are ordinarily manufactured, sold, supplied, distributed or delivered or in any other way form a substantial part of the business of the promoter involved in a promotional competition in the calendar year in which that promotional competition is held;

“organ of State” means -

- (a) any office, ministry or agency as defined in the Public Services Act, 1995 (Act No. 13 of 1995);
- (b) a local authority council as defined in the Local Authorities Act, 1992 (Act No 23 of 1992);
- (c) a regional council as defined in the Regional Councils Act, 1992 (Act No. 22 of 1992); and
- (d) any other functionary or institution exercising a power or performing a function in terms of the Namibian Constitution or exercising a power or performing a function in terms of any law; and

“the Act” means the Lotteries Act, 2017 (Act No. 13 of 2017).

PART 2

STATE LOTTERY OR SPORTS POOL

Application for licence to conduct State Lottery or sports pool

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2. (1) After the Board invites interested parties to apply in terms of section 31(1) of the Act, a person who wishes to conduct the State Lottery or sports pool must apply on Form 1 set out in Annexure 2 attached to the copy of the request for a proposal referred to in that subsection.

- (2) An application made under subregulation (1) must -
- (a) be submitted to the Chief Executive Officer in seven-fold, one original and six copies;
 - (b) be accompanied by the documents and information requested by the Board; and
 - (c) be accompanied by proof of payment of the application fee set out in Annexure 1.

Consideration of application for licence to conduct State Lottery or sports pool

3. (1) On the closing date for applications to conduct the State Lottery or sports pool, or as soon as possible afterwards, the Chief Executive Officer must -

- (a) register the applications received; and
- (b) in writing, inform each applicant of the receipt of his or her application.

(2) The Chief Executive Officer must, as soon as possible after the closing date, cause a notice to be published in the *Gazette* and two newspapers circulating widely in Namibia at the cost of the applicants, calling for written objections to the applications referred to in subregulation (1) from interested parties within the time period specified in the notice.

- (3) On receipt of objections, if any, the Chief Executive Officer must -
- (a) provide the applicant with a copy of every objection lodged, and the applicant or his or her legal representative may, within 14 days from the date on which an objection is provided to him or her, lodge with the Chief Executive Officer any reply which he or she may wish to make to the objection; and
 - (b) inform the chairperson of the Board of the number of applications and objections received.

(4) On receipt of the information referred in subregulation (3)(b), the chairperson of the Board must determine a date for a meeting to consider each application and objections, if any, for short-listing.

(5) When a date has been determined for the consideration of applications as contemplated in subregulation (4), the Chief Executive Officer must inform the applicants and any objector, if any, or their legal representatives of the date of the meeting.

[The word “any” is superfluous in combination with the phrase “if any”.]

(6) All information required to accompany an application or an objection must be complete and final when the Board considers the application, and failure to supply any information requested by the Board in terms of the Act and these regulations, constitutes sufficient grounds for refusing the application.

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(7) Any false or misleading statement, or failure to provide full and accurate information in an application, is sufficient reason to refuse the application.

(8) The Board must consider each application according to the information and documents submitted and may, pursuant to section 32(2) of the Act, request the applicant or objector, if any, to appear before the Board.

(9) The Board must select the best candidates for the short-list and recommend a successful applicant for the licence.

(10) The Board must provide the Minister with -

(a) a list of the short-listed applications; and

(b) the recommended application to be issued with the State Lottery licence.

Grant of licence to conduct State Lottery or sports pool

4. (1) If the Minister grants an application under section 33 of the Act, and the applicant has -

(a) paid the fees for issuing of the licence as set out in Annexure 1; and

(b) complied with any condition imposed by the Minister under section 35, including the condition imposed by subregulation (2),

the Board may not issue a licence to operate the State Lottery on Form 2 of Annexure 2.

**[It appears that the word “not” in the closing phrase
of subregulation (1) may have been included in error.]**

(2) The Board may not issue the licence under subregulation (1) unless the successful applicant produces proof that the applicant has paid an amount of N\$ 100 000 000 to the Board as security or given some other form of guarantee required by the Board and approved by the Minister.

(3) The amount of money or guarantee referred to in subregulation (2) is refundable or redeemable at the expiry of the licence or when the licence is surrendered or cancelled, except that the money or guarantee is not refundable or redeemable if -

(a) the licensee has any outstanding fees or levies which are payable to the Board; or

(b) the licensee has any outstanding prizes that are due to any person who has won the lottery conducted under the licence.

(4) If any money is outstanding as contemplated in subregulation (3), the Board must first deduct the outstanding money from the secured amount or recover the money before refunding or redeeming the security as set out in subregulation (3).

(5) During the duration of the licence the Board may, for the benefit of its funds, invest any money advanced to it as security under this regulation in any manner with the approval of the Minister.

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(6) A licensee to whom a licence to conduct the State Lottery has been issued in terms of subregulation (1) must, on or before 31 December in each year, or within such extended period as the Board may allow, pay to the Board the annual fee set out in Annexure 1.

Duplicate licence to conduct State Lottery or sports pool

5. (1) A licensee may apply to the Board on Form 3 set out in Annexure 2 for a duplicate State Lottery licence if the original licence is lost, destroyed or mutilated.

(2) An application made under subregulation (1) is accompanied by the fee set out in Annexure 1.

[There is a discrepancy between the heading of regulation 5 (which refers to a “duplicate licence to conduct a State Lottery or sports pool”) and the text of subregulation (1) (which refers only to “a duplicate “State Lottery licence”). Form 3 in Annexure 2 refers to both.]

PART 3

ALLOCATION OF MONEY IN TRUST FUND

Percentages in respect of allocation of funds

6. The balance in the Trust Fund as contemplated in section 44(3) of the Act, is allocated as follows -

- (a) not more than 47 percent for charitable expenditure;
- (b) not more than 28 percent for expenditure on, or connected with, the arts, culture, sport, national heritage and conservation;
- (c) not more than 23 percent for expenditure on, or connected with, youth empowerment, psychosocial disorders, science and innovation; and
- (d) not more than two percent for expenditure approved by the Minister in respect of miscellaneous category for national emergencies such as droughts and floods.

[The word “the” appears to have been omitted before the phrase “miscellaneous category”.]

Requirements for allocation of funds for charities

7. Subject to section 45(1) of the Act, a person applying for a grant for charitable expenditure must do so on Form 4 set out in Annexure 2, and if it is a body it must -

- (a) be established for charitable, benevolent or philanthropic purposes, including friendly societies, welfare organisations and conduit organisations or trusts in respect of any such organisations or institutions;
- (b) state in its constitution or other founding document that its income and property are not distributable to its members, employees or managers, except as reasonable compensation for services rendered;
- (c) indicate that changes in the composition of its membership or management will not substantially affect its continued existence;

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- (d) establish that it aims to improve the quality of life of people and communities who are disadvantaged by poverty or social exclusion;
- (e) establish that the body -
 - (i) funds or intends to fund projects which enable people to become involved in activities which improve the quality of life of the community as a whole;
 - (ii) funds or intends to fund projects which assist those at greatest disadvantage or excluded from the community, especially projects pertaining to elderly people, the disabled and children; or
 - (iii) provides or intends to provide facilities for the under-privileged;
- (f) indicate that the projects undertaken or to be undertaken will be for the public good and that there is a clear demand for such project;

[The word “project” at the end of paragraph (f) should be plural to accord with the first part of the paragraph; alternatively, the word “projects” in the first part of the paragraph should be singular.]

- (g) indicate that the intended project produces or will produce significant improvements or new developments which would not have otherwise taken place;
- (h) establish that the project or intended project is financially viable and capable of being implemented;
- (i) establish that it has adequate measures and procedures for the proper application of sound economic and effective management;
- (j) ensure that proper accounting records are kept;
- (k) furnish a written report pertaining to its financial state of affairs and activities; and
- (l) indicate the procedure for changing its constitution or founding document in respect of its aims.

Requirements for allocation of funds for arts, culture, sport, national heritage and conservation

8. Subject to section 45(1) of the Act, a person applying for a grant for expenditure for arts, culture, sport, national heritage and conservation must do so on Form 4 set out in Annexure 2, and if it is a body it must -

- (a) be established to promote the arts, culture, sport, national heritage and conservation;
- (b) state that its income and property are not distributable to its members, employees or managers, except as reasonable compensation for services rendered;
- (c) indicate the changes in the composition of its membership or management will not substantially affect its continued existence;

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[The word “the” in the phrase “indicate the changes...” was probably intended to be “that” (“indicate that changes...”), as in regulation 7(c). The word “substantially” is misspelt in the *Government Gazette*, as reproduced above.]

- (d) ensure that it aims to -
 - (i) enable people throughout the country to enjoy a range of art activities both as audience and participants;
 - (ii) make the arts accessible to more people;
 - (iii) help remove barriers to enjoyment of the arts which may be caused by inadequate facilities; or
 - (iv) promote consciousness of, or to preserve, culture or national historical, natural or architectural heritage;
- (e) ensure that it -
 - (i) funds or intends to fund projects -
 - (aa) which address the under-provision of facilities outside the principal centres of the population;
 - (bb) to improve or refurbish existing venues;
 - (cc) within art forms which are inadequately provided for;
 - (dd) which extend access to the arts; or
 - (ee) which promote consciousness and aim to preserve culture or heritage;
 - (ii) enables people to become involved in activities which contribute to the development of the arts, culture, sport, national heritage and conservation;
 - (iii) funds or intends to fund projects which assist disadvantaged communities to become involved in the arts, culture, sport, national heritage and conservation;
 - (iv) provides or intends to provide facilities in respect of the arts, culture or national historical, natural or architectural heritage and conservation which are accessible to communities;
- (f) indicate that the projects undertaken or to be undertaken will be for the public good and that there is a clear demand for such projects;
- (g) indicate that the project undertaken or to be undertaken will safeguard and enhance the natural, cultural or architectural heritage and conservation of Namibia;
- (h) indicate that the project or intended project will produce historical, natural, cultural or architectural heritage which would not otherwise have taken place;

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- (i) ensure that the project or intended project is financially viable and capable of being implemented;
- (j) ensure that it has adequate measures and procedures for the proper application of sound economic, efficient and effective management;
- (k) ensure that proper accounting records are kept;
- (l) furnish a written report pertaining to its financial state of affairs and activities; and
- (m) indicate the procedure for changing its constitution in respect of its aims.

Requirements for allocation of funds for youth empowerment, psychosocial disorders, science and innovation

9. Subject to section 45(1) of the Act, a person applying for a grant for youth empowerment, psychosocial disorders, science and innovation purposes must do so on Form 4 set out in Annexure 2, and if it is a body it must -

- (a) be established for youth empowerment, psychosocial disorders, science and innovation purposes;
- (b) state that its income and property are not distributable to its members, employees or managers except as reasonable compensation for services rendered;
- (c) indicate that changes in the composition of its membership or management will not substantially affect its continued existence;
- (d) establish that it -
 - (i) funds or intends to fund projects which enable people to become involved in activities which contribute to the development of youth, psychosocial, disorders, science and innovation;

[The comma after the word “psychosocial” appears to be superfluous. Furthermore, subparagraph (i) appears to have an unintended meaning in respect of its reference to projects “which contribute to the development of... psychosocial disorders”.]

- (ii) funds or intends to fund projects which assists disadvantaged communities to become involved in concerned activities;

[The verb “assists” should be “assist” to be grammatically correct.]

- (iii) provides or intends to provide youth empowerment, psychosocial; disorders, science and innovation facilities which are accessible to communities, including children and the disabled;

[The semicolon after the word “psychosocial” is superfluous.]

- (iv) will reasonably maintain such facilities;
- (e) indicate that the projects undertaken or to be undertaken will be for the public good and that there is a clear demand for such projects;

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- (f) indicate that the project or intended project produces or will produce significant improvements or new development in sport or recreation which would not otherwise have taken place;
- (g) ensure that the project or intended project is financially viable and capable of being implemented;
- (h) ensure that it has adequate measures and procedures for the proper application of sound, economic, efficient and effective management;
- (i) ensure that proper accounting records are kept;
- (j) furnish a written report pertaining to its financial state of affairs and activities; and

[The pronoun "it" should be "its" to be grammatically correct.]
- (k) indicate the procedure for changing its constitution in respect of its aims.

Requirements for allocation of funds for miscellaneous purposes

10. (1) Subject to section 44(3)(d) of the Act, the Board may proactively fund expenditure approved by the Minister for miscellaneous purpose of national emergencies such as droughts and floods.

[The word "the" appears to have been omitted before the phrase "miscellaneous purpose".]

- (2) Subject to section 45(1) of the Act, a body applying for a grant in respect of any matter approved by the Minister as contemplated in subregulation (1) must -
- (a) state that its income and property are not distributable to its members, employees or managers except as reasonable compensation for services rendered;
 - (b) indicate that changes in the composition of its membership or management will not substantially affect its continued existence;
 - (c) ensure that it aims to improve the quality of life of people and communities who are disadvantaged by poverty or social exclusion;
 - (d) indicate that the projects undertaken or to be undertaken will be for the public good and that there is a clear demand for such projects;
 - (e) indicate that the project undertaken or to be undertaken will promote social inclusion and encourage community involvement;
 - (f) indicate that the project or intended project produces or will produce significant improvements or new development which would not otherwise have taken place;
 - (g) ensure that the project or intended project is financially viable and capable of being implemented;
 - (h) ensure that it has adequate measures and procedures for the proper application of sound, economic, efficient and effective management;

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- (i) ensure that proper accounting records are kept;
- (j) furnish a written report pertaining to its financial state of affairs and activities; and
- (k) indicate the procedure for changing its constitution in respect of its aims.

Categories of grants

11. (1) The grants contemplated in section 45 of the Act are categorised as -

- (a) small grants for applications for funding not exceeding N\$500 000;
- (b) medium grants for applications for funding exceeding N\$500 000 but not exceeding N\$5 000 000; and
- (c) large grants for applications for funding exceeding N\$5 000 000.

(2) Irrespective of the amount applied for, the distribution committee may on its own discretion determine the grant amount to be made to each recommended applicant, after duly considering the monies available for distribution, the number of applications received and government priorities.

[The phrase “on its own discretion” should be “in its own discretion” for correct English idiom.]

(3) An applicant to whom a small grant is made must, at such period as may have been imposed at the time when a grant is made, submit to the Board a report detailing how the grant funds were used, together with all supporting invoices and any other relevant documents for the purposes of financial accounting.

(4) An applicant to whom a medium grant is made must, at such period as may have been imposed at the time when a grant is made, submit to the Board an independently reviewed financial statement as determined by the Board.

(5) An applicant to whom a large grant is made must, at such period as may have been imposed at the time when a grant is made, submit to the Board an audited financial statement in respect of the grant so awarded,

(6) An applicant who has been awarded a grant not exceeding N\$10 000 000 is, subject to subregulation (7), only required to submit an independently reviewed financial statement as determined by the Board.

(7) Irrespective of the amount of grant made to an applicant, if that applicant is required to submit an audited financial statement in terms of any other law, the said applicant must submit to the Board an audited financial statement.

(8) An applicant to whom a grant was made by the Board is not eligible to apply for another grant within a period of 12 months from the date of receipt of that grant, irrespective of whether the project is different from the one previously applied for.

(9) If an organisation applies for multi-year funding, the Board in consultation with the Minister may, prior to the approval of funds for a maximum period of three years, consider the availability of funds and government priorities.

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(10) The Board may, at any time, conduct a site visit in order to verify any information and operations of the organisation which has applied for or received a grant from the Board.

Factors to be considered when allocating grants

12. (1) When making a recommendation to the Board in terms of section 46 of the Act, the distribution committee must consider:

- (a) general development in Namibia, with specific reference to the regional, economic, financial, social and moral interests of Namibia and the enhancement of the standard of living of all the people in Namibia; and
- (b) regional and local interests including -
 - (i) the number of lottery tickets sold in each region;
 - (ii) the population of each region; and
 - (iii) the financial requirements of each region.
- (2) The priorities for distribution of funds must contribute to -
 - (a) developmental needs, enhancement of social and moral responsibility; and
 - (b) economic viability of programmes designed to advance rural, under privileged and poor communities.

[A hyphen is missing in the term “under-privileged”, which could alternatively be written as the single word “underprivileged”.]

PART 4

APPLICATION PROCESS AND PROCEDURES FOR DISTRIBUTION COMMITTEE

Invitation for applications

13. (1) The Board may publish, more than once a year, an invitation for applications for grants in terms of section 45(1) of the Act to be made to the Board, and the Board must -

- (a) provide administrative support in order to facilitate the adjudication of applications for funding on recommendation of the distribution committee;
- (b) implement programmes to -
 - (i) educate and raise awareness of the grant making process; and
 - (ii) provide financial management training or capacity building training to applicants for grants, if the Board is of the view that applicants do not possess such skills and that the said skills are necessary for the successful execution of projects which are funded by the Board; and
- (c) with the approval of the Minister, develop and publish widely, including placement of information on the organisational website, the procedures to be followed in the

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allocation of funds to worthy good causes after taking into consideration government priorities and any report compiled after research conducted, if any.

(2) The Board must publish invitations for grant applications as required by section 45(1) of the Act including, placement of information on the organisational website, and timelines for application required by both the Board and the distribution committee on the processing of grant applications from the time of receipt to final adjudication and payment.

[The comma after the word “including” should appear before that word to properly offset the phrase “including placement of information on the organisational website”.]

(3) In an invitation for applications published in terms of section 45(1) of the Act, the Board may set a cap on the amount an organisation may apply for in respect of that request, irrespective of the number of projects that may be specified in such an application.

Application for grant

14. (1) An application for a grant must be made to the distribution committee on Form 4 set out in Annexure 2.

(2) On receipt of an application for a grant, the distribution committee must determine whether the application meets the criteria and whether the applicant has submitted all the mandatory documents with the application, and -

- (a) if the application does not meet the criteria or contain all the mandatory documents, such application is declined on the basis that it does not meet the criteria or that it is an incomplete application, and the applicant is informed of the outcome of the application in writing; or
- (b) if the application meets the criteria and contains the mandatory documents, the distribution committee must proceed to assess the application in accordance with the requirements.

(3) When considering an application made under subregulation (1), the distribution committee may -

- (a) at any time request any additional information or documents, other than mandatory documents required to accompany the application form; and
- (b) take into consideration the fact that an applicant has had funding that was previously granted to it withdrawn for whatever reason.

(4) When considering an application for a grant, the distribution committee must -

- (a) ensure that not less than five percent of the total amount at the disposal of the committee for grants in any financial year is allocated for distribution in respect of every region; and
- (b) finalise its adjudication within 90 days from the closing date of the invitation published in accordance with section 45(1) of the Act.

(5) If the distribution committee fails to comply with the time period stipulated in subregulation (4)(b), it must -

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- (a) within seven days of the lapsing of the 90 days referred to in subregulation (4)(b) in writing furnish the Board with reasons for the failure; and
- (b) take all necessary steps to finalise processing of the application within a period of 30 days.
- (6) A distribution committee may recommend to the Board -
 - (a) to approve or decline a grant;
 - (b) to approve the grant, with or without conditions;
 - (c) to approve a grant subject to a condition that -
 - (i) the grant be paid in full or in trenches;

[The word “tranches” is misspelt in the *Government Gazette*, as reproduced above.]

- (ii) the grant be paid after receipt of a positive pre-grant site inspection or visit;
or
 - (iii) the grant be paid subject to a site visit being conducted during or after utilisation of the grant to satisfy the Board that the grant was utilised for purposes for which it was approved.

(7) On finalisation of the adjudication process, the distribution committee must communicate its recommendations to the Board within three days.

(8) Subject to section 47(1) of the Act, the Board must, within 30 days of receipt of the recommendations from the distribution committee, in writing -

- (a) inform the applicant of the outcome of the adjudication;
- (b) if the application is refused, inform the applicant of the reasons within seven days;
- (c) if the application is successful, send the grant agreement to the applicant and such grant agreement is open for acceptance for a period of 30 days; or
- (d) if acceptance of the offer or the grant agreement is not received within 30 days, withdraw the grant by giving notice to the applicant.

(9) If a grant agreement is accepted and received within 30 days, payment must be effected within 10 days of the receipt of the accepted agreement.

(10) The grant agreement must, in addition to any condition, stipulate the powers of the Minister to prohibit or withdraw a grant, or impose conditions.

(11) If the Board approves a grant to an organisation which serves as a conduit for further distribution of the funds to the beneficiaries, in accordance with the purposes of the Act, such organisation must comply with the procedure and conditions stipulated in these regulations to ensure that such further distribution conforms to the direction of the Minister.

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(12) The Board may only receive and consider an application for funding from an applicant who applies through an agent representative or conduit if -

[A comma appears to be missing between the words “agent” and “representative”.]

- (a) the applicant has been in existence for less than six months on the date of submission of the application; or
- (b) there is a clear need for a project in a community and that community is not organised through a recognised legal entity.

(13) If an applicant applies for a grant through an agent, a representative or conduit, the application must be accompanied by a statement by the applicant providing reasons why it requires assistance.

(14) If an application for a grant lodged in terms of subregulation (13) is considered and approved by the Board -

- (a) the total amount that may be charged by the agent, a representative or conduit for services rendered by it to the grant beneficiary may not exceed five percent of the amount of the grant, or an amount not exceeding N\$150 000 whichever is the lesser; and
- (b) the agent, representative or conduit must, before transfer of any grant funds -
 - (i) submit a plan to the Board setting out how it will transfer the requisite skills to build the capacity of the assisted organisation or community; and
 - (ii) furnish a report to the Board on the actual execution of the plan submitted within six months of the transfer of the initial funds or such period as has been determined in the terms and conditions of the grant.

(15) Organisations receiving funds through conduits cannot apply directly to the Board for funding unless such organisations are no longer conduit recipients, and in that case can submit applications directly to the Board.

(16) The Board may, at any time, take steps to verify the information of the applicant and operations of the organisation which has applied for or received a grant including, conducting an onsite visit.

[The comma after the word “including” should appear before that word to properly offset the phrase “including conducting an onsite visit”.]

Applications not to be considered

15. Subject to section 49 of the Act, the distribution committee or the Board may not consider applications for funding for activities implemented -

- (a) outside the borders of Namibia;
- (b) by organisations established for profit or in furtherance of objectives of a for-profit organisation;

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- (c) by organs of State excluding, educational and welfare institutions; and

[The comma after the word “excluding” should appear before that word to properly offset the phrase “excluding educational and welfare institutions”.]

- (d) by political parties.

Appeal procedures

16. (1) An applicant for a grant who under section 47(3) of the Act intends to appeal to the Minister against the decision of the Board, other than a decision regarding the amount of the grant approved must, within 14 days from the date the applicant received notification of the decision of the Board -

- (a) submit, to the Board a written notice of appeal against the decision, which notice must be signed by the applicant or by an authorised officer of the applicant; and
- (b) attach to the notice, a copy of the decision and a statement laying out the basis of the appeal.

(2) On receipt of documents set out in subregulation (1), the Board must refer the matter to the Minister.

- (3) In considering an appeal against the decision of the Board, the Minister -

- (a) must finalise the appeal and take a decision, if the documents submitted with the notice of appeal contain information which in the opinion of the Minister, is sufficient for the Minister to reach a fair decision; or
- (b) may request the Board or the applicant to provide the Minister with further information or documents which the Minister considers necessary for determining the appeal.

(4) If the applicant fails to provide the requested information to the Minister within 14 days from the date on which the applicant received the request, the appeal lapses.

(5) The appeal decision must be made within 60 days from the date on which the notice of appeal was received or the date on which the documents contemplated in subregulation (3)(b) were received.

Reporting by person to whom grant has been paid

17. (1) A person to whom a grant has been paid from the Trust Fund must from time to time as required by section 51 of the Act submit to the Board -

- (a) reports in respect of the grant and its activities in relation to any money allocated to it for purposes of the Act and as provided for under the relevant grant agreement; and
- (b) audited accounts of all amounts paid to it from the Trust Fund at the frequency determined from time to time by the Board in writing.

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(2) The Board may, for purpose of subregulation (1), make different determinations in respect of different recipients if circumstances reasonably allow or demand differentiation.

(3) A person to whom a grant has been paid from the Trust Fund must submit to the Board any information relating to the grant which the Board may from time to time, in writing, require to be furnished.

Payments of grant

18. (1) The distribution committee must after approval of a grant application by the Board and finalising any administrative matters in association with the grant -

- (a) in writing notify the Board;
- (b) together with the notification contemplated in paragraph (a) submit to the Board, the grant agreement signed by the person in respect of whom a grant has been approved; and
- (c) report to the Board or furnish the Board with any information on any other matter which the Board may from time to time in writing request the distribution committee to submit in this regard.

(2) The Board must, subject to subregulation (3), pay into a bank account of the person in respect of whom a grant has been approved -

- (a) the amount approved by the Board; or
 - (b) the first instalment of the amount approved by the Board, and thereafter, at intervals determined by the Board, the other instalments of the amount so approved.
- (3) If payment of a grant approved by the Board -
- (a) is conditional, the amount of the grant is paid into the bank account of the person only after the condition has been met or complied with; or
 - (b) is to be made in instalments and the juristic person for whatsoever reason is in breach of any law or the grant agreement, the Board may, without in any way detracting from any other rights or remedies it or any person may have in law relating to the grant -
 - (i) suspend payment of any further instalments until the juristic person has remedied the breach and, if applicable, has paid any penalty which relates to that breach; or
 - (ii) terminate payment of any further instalments to the juristic person.

(4) If the Board exercises its rights in terms of subregulation (3), the Board must immediately in writing notify the Minister and the person of the fact.

(5) The distribution committee may, for purpose of subregulation (2)(b) in a notification contemplated in subregulation (1)(a) in writing, advise the Board to pay the grant amount to the person in instalments and, in that case, the notification must indicate -

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- (a) when or on which occurrences taking place, the instalments have to be paid; and
- (b) the amounts that have to be paid.

PART 5

EXEMPT ENTERTAINMENT LOTTERIES

Advertisement of exempt entertainment lotteries

19. (1) A lottery incidental to exempt entertainment may be advertised through -

- (a) the postal services;
 - (b) newspapers;
 - (c) the distribution of pamphlets; and
 - (d) radio stations, but not broadcasting nationally.
- (2) An advertisement contemplated in subregulation (1) must state -
- (a) the purpose of the lottery incidental to exempt entertainment;
 - (b) the beneficiary of the lottery;
 - (c) the price of the tickets;
 - (d) how the tickets are to be sold;
 - (e) the closing date for participation in the lottery;
 - (f) when the draw is to take place;
 - (g) the manner in which the winners of the lottery is to be determined;

[The verb "is" should be "are" to be grammatically correct.]

- (h) the prizes to be won in the lottery;
- (i) the fact that the determination of the winner is final and that no correspondence in that regard may be entered into;
- (j) the fact that prizes may not be exchanged for cash amounts;
- (k) the fact that all prizes have to be allocated; and
- (l) how the winners are to be notified.

Amount for purchasing prizes

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20. The total amount utilised for expenses incurred in purchasing of the prizes for a lottery incidental to exempt entertainment may not exceed N\$10 000.

Information on tickets

21. If tickets are printed for a lottery incidental to exempt entertainment, the following information must appear on the tickets -

- (a) the name and address of the person conducting the lottery;
- (b) the closing date of the lottery;
- (c) the price of the ticket; and
- (d) the date and place of the draw of the lottery.

Price of tickets

22. The price for a ticket to be sold in respect of a lottery incidental to exempt entertainment may not exceed an amount of N\$10.

Value of tickets

23. The total value of the tickets or chances sold or to be sold in a lottery incidental to exempt entertainment may not exceed N\$30 000.

Exemptions

24. (1) In this regulation, “applicant” means the person who applies to the Board in terms of subregulation (4).

(2) The Board, subject to any condition it considers fit, may grant an exemption with regard to the regulations contemplated in subregulation (4) in respect of any specific lottery incidental to exempt entertainment, and such exemption applies only to that lottery incidental to exempt entertainment.

(3) The Board may, subject to subregulation (7), alter or withdraw any exemption granted in terms of subregulation (2).

(4) A person intending to conduct a lottery incidental to exempt entertainment may, 90 days prior to the start of a specific lottery incidental to exempt entertainment and in respect of that specific lottery incidental to exempt entertainment, apply to the Board in writing for an exemption in terms of subregulation (1) from regulation 19, 20, 21, 22 or 23.

(5) In the application contemplated in subregulation (4), the applicant must in addition to any other information submitted in terms of this regulation -

- (a) identify the regulation in respect of which exemption is sought;
- (b) provide reasons for every exemption sought;
- (c) provide all relevant information necessary for the Board to consider the matters stated in subregulation (6); and

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(d) provide an address which the Board may use for purposes of subregulation (7)(a).

(6) In considering any application made under subregulation (4), the Board must have regard to all relevant factors, including -

- (a) the history of lotteries incidental to exempt entertainment previously conducted by the applicant, if any;
- (b) the target market of the proposed lottery incidental to exempt entertainment; and
- (c) measures to ensure that the lottery incidental to exempt entertainment is conducted in accordance with its rules.

(7) If the Board intends to alter or withdraw any exemption granted in terms of subregulation (2), the Board must -

- (a) give notice of its intention to the applicant at the address provided by the applicant in its application made under subregulation (5)(d);
- (b) in the notice contemplated in paragraph (a) specify -
 - (i) the reasons for its intention to alter or withdraw the exemption;
 - (ii) the date from when the alteration or withdrawal is to become effective; and
 - (iii) the corrective measure, if any, which the applicant must take in order for the Board not to alter or withdraw the exemption and by when such measure must be taken; and
- (c) afford the applicant a reasonable opportunity to make representations in respect of the notice.

(8) The Board must compile a list for its current financial year, to be updated on the last working day of every month, containing information in respect of -

- (a) every person to whom an exemption has been granted in terms of subregulation (2);
- (b) the nature of the exemption granted in terms of subregulation (2); and
- (c) any other information in respect of exemptions the Board considers necessary.

(9) A person to whom an exemption has been granted under subregulation (2) does not acquire any right or legitimate expectation in respect of future lotteries incidental to exempt entertainment because an exemption has previously been granted by the Board under that subregulation in respect of any other lottery incidental to exempt entertainment.

PART 6

PRIVATE LOTTERIES

Price of tickets

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25. The price for a ticket to be sold in respect of a private lottery may not exceed an amount of N\$10.

Value of tickets and prizes

26. (1) The total value of the tickets sold or to be sold in a private lottery may not exceed N\$30 000.

(2) The total value of the prizes to be won in any private lottery may not exceed N\$30 000.

Frequency of private lotteries

27. (1) A person may not conduct more than 12 private lotteries annually.

(2) For purposes of subregulation (1) -

(a) “annually” means a period of 12 months commencing on 1 January; and

(b) a lottery is deemed to have been conducted in the year in which the winners of prizes are announced to the participants.

Information on ticket

28. If tickets are issued for a private lottery, the following information must appear on the tickets -

(a) the closing date of the private lottery;

(b) the price of the ticket; and

(c) the date of the draw of the private lottery.

Exemptions

29. (1) In this regulation, “applicant” means the person who applies to the Board in terms of subregulation (4).

(2) The Board may, subject to any condition it considers fit, grant an exemption with regard to the regulations contemplated in subregulation (4) in respect of any specific private lottery, and such exemption applies only to that private lottery.

(3) The Board, subject to subregulation (7), may alter or withdraw any exemption granted in terms of subregulation (2).

**[The word “subregulation” in the phrase “subregulation (2)”
is misspelt in the *Government Gazette*, as reproduced above.]**

(4) A person intending to conduct a private lottery may, 90 days prior to the start of a specific private lottery and in respect of that specific private lottery, apply to the Board in writing for an exemption from the application of regulation 25, 26, 27 or 28.

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(5) In the application contemplated in subregulation (4), the applicant must in addition to any other information submitted in terms of this regulation -

- (a) identify the regulation in respect of which exemption is sought;
- (b) provide reasons for every exemption sought;
- (c) provide all relevant information necessary for the Board to consider the matters stated in subregulation (6); and
- (d) provide an address which the Board may use for purposes of subregulation (7)(a).

(6) In considering any application made in terms of subregulation (4), the Board must have regard to all relevant factors, including -

- (a) the history of private lotteries previously conducted by the applicant, if any;
- (b) the target market of the proposed private lottery; and
- (c) measures to ensure that the private lottery is conducted in accordance with its rules.

(7) If the Board intends to alter or withdraw any exemption granted in terms of subregulation (2), the Board must -

- (a) give notice of its intention to the applicant at the address provided by the applicant in its application in terms of subregulation (5)(d);
- (b) in the notice contemplated in paragraph (a) specify -
 - (i) the reasons for its intention to alter or withdraw the exemption;
 - (ii) the date from when the alteration or withdrawal is to become effective; and
 - (iii) the corrective measure, if any, which the applicant must take in order for the Board not to alter or withdraw the exemption; and
 - (iv) by when such measure must be taken; and
- (c) afford the applicant a reasonable opportunity to make representations in respect of the notice.

(8) The Board must compile a list for its current financial year, to be updated on the last working day of every month, containing information in respect of -

- (a) every person to whom an exemption has been granted in terms of subregulation (2);
- (b) the nature of the exemption granted in terms of subregulation (2); and
- (c) any other information in respect of exemptions the Board considers necessary.

(9) A person to whom an exemption has been granted by the Board under subregulation (2) does not acquire any right or legitimate expectation in respect of future private

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lotteries because an exemption has previously been granted by the Board under that subregulation in respect of any other private lottery.

PART 7

BENEVOLENT LOTTERIES

Terms and conditions for conduct of benevolent lotteries

- 30.** (1) Rules of a benevolent lottery must include the following -
- (a) the purpose of the benevolent lottery for which approval is sought and the project which is to benefit from the lottery must be stated on the ticket;
 - (b) the finalists of a benevolent lottery must be chosen in the manner determined by the person who conducts that benevolent lottery who may be the lottery manager;
 - (c) a decision by the person who conducts the benevolent lottery with regard to the determination of a finalist or finalists in a benevolent lottery is final;
 - (d) the rules of a benevolent lottery must specify if prizes may be exchanged for cash;
 - (e) the closing date of the benevolent lottery and the date and place of the draw or draws must be determined before commencing with that benevolent lottery;
 - (f) every prize to be won in a benevolent lottery must on conclusion of that lottery be awarded to the winner or winners; and
 - (g) the person who conducts a benevolent lottery may stipulate reasonable and lawful conditions as may be necessary for participation in such lottery.

(2) The rules of a benevolent lottery may further provide for the proper monitoring of any draw in that benevolent lottery.

(3) If insufficient tickets are sold to cover the intended prize, the prize will be calculated *pro rata* otherwise any money paid for participation in the lottery must be refunded to the participants.

Registration of benevolent lottery

31. (1) A person who wishes to register a benevolent society as contemplated in section 60(1) of the Act must apply on Form 5 set out in Annexure 2.

- (2) A registered benevolent society must pay to the Board -
- (a) the respective fee or levy set out in Annexure 1; and
 - (b) the respective fee or levy set out in Annexure 1 for each benevolent society lottery conducted on behalf of the benevolent society.

(3) In an application for the registration of a benevolent society under subregulation (1) the applicant must -

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- (a) state the names and addresses of the executive management of the benevolent society;
- (b) state the name and address of the auditor or bookkeeper of the benevolent society;
- (c) state the purposes for which the benevolent society was established;
- (d) furnish a statement pertaining to the financial state of affairs and business of the benevolent society;
- (e) confirm that the income and property of the benevolent society are not distributable to its members or managers, except as reasonable compensation for services rendered;
- (f) indicate whether the financial transactions of the benevolent society are conducted by means of a banking account, and details of the banking account;
- (g) state the date for the end of the financial year of the benevolent society;
- (h) indicate whether it is a body corporate and has an identity and existence distinct from its members or managers;
- (i) confirm that the benevolent society will keep proper accounting records in order to comply with section 61(3)(a) of the Act;
- (j) indicate whether provision has been made for the continued existence of the benevolent society despite changes in the composition of its membership or management;
- (k) state whether the members or managers have any rights in the property or other assets of the benevolent society solely by virtue of their being members or managers;
- (l) specify the powers of the benevolent society;
- (m) specify the organisational structures and mechanisms for its governance;
- (n) set out the rules for convening and conducting meetings, including quorums required for and the minutes to be kept at those meetings;
- (o) indicate the manner in which decisions are to be made;
- (p) set out the procedure for changing its constitution and indicate if, how and why its constitution has been amended in the two years immediately prior to the date of the application for registration;
- (q) set out the procedure by which the benevolent society may be wound-up or dissolved;
- (r) indicate whether any asset remaining after all its liabilities have been met, when it is being wound-up or dissolved, will be transferred into another benevolent society having similar objectives;

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- (s) state whether the benevolent society has been registered previously in terms of the Act and whether application for such registration was refused or whether such registration has been suspended or revoked or has expired;
- (t) attach a copy of the constitution of the benevolent society; and
- (u) attach a copy of the resolution to conduct the benevolent lottery and to apply for approval of the lottery.

(4) On registration of a benevolent society in accordance with section 60(2) of the Act, the Board must, on payment of the fee set out in Annexure 1, issue to the society a certificate of registration on Form 6 set out in Annexure 2.

Nature and requirements of scheme

- 32.** (1) The scheme contemplated in section 56(c) of the Act must specify -
- (a) the address of the office or head office of the benevolent society;
 - (b) the registration number of the benevolent society issued by the Board on application contemplated in regulation 31;
 - (c) the name of the person responsible for conducting the benevolent lottery;
 - (d) the name of the person who is responsible and accountable for the financial management of the benevolent lottery;
 - (e) the purpose for which the benevolent lottery is conducted;
 - (f) that the benevolent society has adequate resources to conduct the benevolent lottery in accordance with sound financial principles and methods;
 - (g) the prizes to be won in the benevolent lottery;
 - (h) a detailed description of the manner in which the finalist or finalists, if any, and the winner or winners of the benevolent lottery will be determined and the date, time and place;
 - (i) the manner in or by which finalist or finalists and the winner or winners will be notified of the outcome of the draw or draws or other process of determining a winner or winners;

[The word “the” appears to have been omitted before the phrase “finalist or finalists”.]

- (j) the place, if any, and the manner in which participants in the benevolent lottery may obtain information in respect of the outcome of the lottery;
- (k) the price of a ticket sold in aid of the benevolent lottery;
- (l) the number and total value of tickets to be sold in the benevolent lottery;
- (m) who the beneficiaries of the benevolent lottery are, what benefit they will receive and what needs the benefits will meet;

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- (n) the date of the approval of the benevolent lottery scheme by the benevolent society and proof of such approval;
- (o) the categories of persons to whom and by whom benevolent lotteries tickets may not be sold, if any; and
- (p) procedures for dealing with unclaimed prizes.

(2) A benevolent society that wishes to conduct a benevolent lottery must, for each specific event or project in a scheme, apply for authorisation as contemplated in section 57(1) of the Act.

- (3) The application referred to in subregulation (2) must be -
 - (a) made to the Board on Form 7 set out in Annexure 2 for every new lottery event or project in a scheme; and
 - (b) accompanied by the relevant fee set out in Annexure 1.

Provision of security by responsible lottery manager

33. The Board may require the lottery manager to provide reasonable security or a guarantee in the amount and in the form determined by the Board.

Persons and categories of persons who may not participate in benevolent lotteries

34. If any category of persons is prohibited from participating in a benevolent lottery as contemplated in regulation 32(1)(o), a person belonging to such a category of persons may not participate in that lottery.

Frequency of benevolent lotteries

35. (1) A benevolent society may not conduct more than six benevolent lotteries in any year.

(2) A person may not conduct on his or her own account or conduct on behalf of a benevolent society more than six benevolent lotteries in any year.

(3) For purposes of subregulation (1), “year” means a period of 12 months commencing on 1 January.

(4) A lottery is deemed to have been conducted in the year in which the winner or winners of prizes are announced in public.

Value of tickets and prizes

36. (1) The total value of the tickets sold in a benevolent lottery may not exceed N\$2 000 000.

(2) The total value of prizes to be won in a benevolent lottery may not exceed N\$1 000 000 per society per year.

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Purpose for sale of lottery tickets

37. Tickets for benevolent lotteries may be sold and persons may be invited to purchase such tickets only for -

- (a) the promotion of the benevolent society conducting the benevolent lottery or on whose behalf it is conducted; or
- (b) other purposes which are not for private gain or commercial undertaking and approved by the Board.

Information on reverse side of ticket

38. The reverse side of every ticket distributed or sold, must specify -

- (a) the name and registration number of the benevolent society, as contemplated in section 58 of the Act, which is conducting the benevolent lottery or on whose behalf it is conducted;
- (b) the price of tickets and prizes to be won in the benevolent lottery, if not appearing on the front of the ticket;
- (c) the closing date of the benevolent lottery and the date and place of the draw or draws, if not appearing on the front of the ticket;
- (d) a reference to the rules referred to in regulation 30 may be obtained; and

[There appear to be some words missing in paragraph (d); it may have been intended to require a reference to where or how the rules referred to in regulation 30 may be obtained.]

- (e) if a cash equivalent of a prize may be won, the amount.

Marketing of benevolent lotteries

39. Any marketing material in respect of a benevolent lottery must state -

- (a) the purpose of the lottery;
- (b) the price of the tickets;
- (c) if the tickets will be sold;
- (d) the prizes to be won;
- (e) the closing date for participation in the lottery;
- (f) when the draw will take place; and
- (g) when and if the names of winners will be published.

Prescribed areas of marketing

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40. Tickets for a benevolent lottery may be advertised, marketed, promoted or sold anywhere within Namibia unless the Board determines otherwise.

Permitted expenses in respect of benevolent lotteries

41. (1) Subject to subregulation (2), expenses in a benevolent lottery may be incurred only in respect of -

- (a) costs for printing and distribution of tickets;
 - (b) the fees of the lottery manager;
 - (c) actual marketing costs for the lottery;
 - (d) actual expenses incurred by the person conducting the lottery in connection with the lottery;
 - (e) actual expenses incurred in connection with the awarding of the prize; and
 - (f) any other expenses necessary for the proper conducting of the lottery.
- (2) If the total proceeds of a benevolent lottery -
- (a) are equal to or less than N\$1 000 000, the expenses referred to in subregulation (1) may not exceed 15 percent of the proceeds of that benevolent lottery; or
 - (b) exceed N\$1 000 000, such expenses may not exceed 10 percent of the proceeds of that benevolent lottery.

Exemptions

42. (1) In this regulation, “applicant” means the person who submits an application to the Board in terms of subregulation (4).

(2) The Board may, subject to any condition it considers fit, grant an exemption with regard to the regulations contemplated in subregulation (4) in respect of any specific benevolent lottery, and such exemption applies only to that benevolent lottery.

(3) The Board may, subject to subregulation (7), alter or withdraw any exemption granted in terms of subregulation (2).

(4) A person intending to conduct a benevolent lottery may, 90 days prior to the start of a specific benevolent lottery and in respect of that specific benevolent lottery, apply to the Board in writing for an exemption from the application of regulation 35(1), 36(1), 38, 39 or 40.

(5) In the application contemplated in subregulation (4), the applicant must in addition to any other information submitted in terms of this regulation -

- (a) identify the regulation or subregulation in respect of which exemption is sought;
- (b) provide reasons for every exemption sought;

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- (c) provide all relevant information necessary for the Board to consider the matters envisaged in subregulation (6); and
- (d) provide an address which the Board may use for purposes of subregulation (7)(a).

(6) In considering any application made in terms of subregulation (4), the Board must have regard to all relevant factors, including -

- (a) the history of the applicant and the benevolent society intending to conduct the benevolent lottery;
- (b) the history of benevolent lotteries previously conducted by the applicant and the benevolent society, if any;
- (c) the amount of monies paid to or the extent of benefits received by persons in need or other beneficiaries;
- (d) the costs of benevolent lotteries previously conducted by the applicant and the benevolent society;
- (e) the amounts spent on marketing and advertising benevolent lotteries previously conducted by the applicant and the benevolent society;
- (f) if applicable, the amounts paid to other persons who previously conducted benevolent lotteries on behalf of the applicant and the benevolent society;
- (g) the target market of the proposed benevolent lottery; and
- (h) measures to audit the benevolent lottery.

(7) If the Board intends to alter or withdraw any exemption granted in terms of subregulation, the Board must (2) -

- (a) give notice of its intention to the applicant at the address provided by the applicant in its application in terms of subregulation (5)(d);
- (b) in the notice contemplated in paragraph (a) specify -
 - (i) the reasons for its intention to alter or withdraw the exemption;
 - (ii) the date from when the alteration or withdrawal is to become effective; and
 - (iii) the corrective measure, if any, which the applicant must take in order for the board not to alter or withdraw the exemption; and

[The word "Board" should be capitalised.]

- (iv) by when such measure must be taken; and
- (c) afford the applicant a reasonable opportunity to make representations in respect of the notice.

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(8) The Board must compile a list for its current financial year, to be updated on the last working day of every month, containing information in respect of -

- (a) every person to whom an exemption has been granted in terms of subregulation (2);
- (b) the nature of the exemption granted in terms of subregulation (2); and
- (c) any other information in respect of exemptions the board considers necessary.

[The word "Board" should be capitalised.]

(9) A person to whom an exemption has been granted by the Board under subregulation (2), does not acquire any right or legitimate expectation in respect of future benevolent lotteries because an exemption has previously been granted by the Board under that subregulation in respect of any other benevolent lottery.

PART 8

LOTTERY MANAGERS

Application for lottery manager certificate

43. (1) A person who wishes to apply for certification as a lottery manager as contemplated in section 66(1) of the Act must apply on Form 8 set out in Annexure 2 and such application must be accompanied by -

- (a) the relevant fee set out in Annexure 1;
- (b) copies of the educational and professional qualifications and experience in financial management of the applicant;
- (c) two recent passport photographs of the applicant; and
- (d) a police clearance certificate that is not older than 3 months from the date on which it was issued.

(2) A person may be certified as a lottery manager under subsection (3) of section 66 of the Act if such person is not subject to any of the disqualifications referred to in subsection (4) of that section or section 34 of the Act.

Provision of security by lottery managers

44. If a benevolent lottery is to be conducted by a lottery manager, the Board must require the lottery manager to provide security or a guarantee in the amount and in the form the Board considers reasonable.

Lottery manager certificate

45. The Board must, on payment of the fee set out in Annexure 1, issue to the applicant a certificate as lottery manager on Form 9 set out in Annexure 2.

Appeals to Minister

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46. (1) A person who wishes to appeal against the decision of the Board as contemplated in section 66(7) of the Act must submit an appeal to the Minister within 14 days after the person has been notified of the decision of the Board.

- (2) The appeal referred to in subregulation (1) must -
- (a) be in writing and signed by the appellant; and
 - (b) identify the decision being appealed against, give particulars of the decision and state the grounds of appeal.

PART 9

APPLICATIONS, FEES AND PENALTIES FOR LATE PAYMENTS

Applications, licences and certificates

47. (1) An application in terms of the Act may not be submitted to the Board unless the application is made in the respective completed form set out in Annexure 2 and the appropriate application fee set out in Annexure 1 has been paid to the Board.

(2) An application fee paid as contemplated in subregulation (1) or any part of such is not refundable to the applicant.

Fee for certificates

48. The Chief Executive Officer may not, in terms of any provision of the Act, issue a certificate unless the fee prescribed for such a certificate in Annexure 1 has been paid to the Board.

Penalties for late payments of fees

49. If the amount payable in terms of these regulations in respect of any fee is not paid in full to the Board before the expiry of the period allowed by these regulations for the payment of such fee, the licence holder concerned is liable to pay a penalty set out in Annexure 1.

PART 10

PROMOTIONAL COMPETITIONS

False information about competitions

50. (1) A person may not directly or indirectly in relation to a promotional competition inform another person that a participant has -

- (a) won a competition, if -
 - (i) a competition in fact has not been conducted;
 - (ii) the person has not in fact won the competition;

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- (iii) the prize for that competition is subject to a previously undisclosed condition; or
 - (iv) the person is required to offer further consideration for the prize, after the results of the competition have been announced; or
- (b) a right to a prize -
- (i) to which the person does not in fact have a right;
 - (ii) if the prize was generally available or offered to all similarly situated persons or class of persons; or
 - (iii) if, before becoming eligible to receive the prize, the person is required to offer further consideration for the prize or to purchase any particular goods or services.

(2) A person who contravenes or fails to comply with subregulation (1) commits an offence and is on conviction liable to a fine not exceeding N\$10 000 or to imprisonment for a period not exceeding two years, or to both such fine and such imprisonment.

Offer to participate in competition

- 51.** (1) An offer to participate in a promotional competition must clearly state -
- (a) the benefit or competition to which the offer relates;
 - (b) the steps required by a person to accept the offer or to participate in the competition;
 - (c) the basis on which the results of the competition will be determined;
 - (d) the closing date for the competition;
 - (e) the medium through or by which the results of the competition will be made known; and
 - (f) any person from whom, any place if, and any date and time on or at which -
 - (i) a person may obtain a copy of the competition rules; and
 - (ii) a successful participant may receive any prize.
- (2) The requirements of subregulation (1) may be satisfied either -
- (a) directly on any medium through which a person participates in a promotional competition;
 - (b) on a document accompanying any medium contemplated in paragraph (a); or
 - (c) in any advertisement that -

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- (i) is published during the time and throughout the area in which the promotional competition is conducted; and
- (ii) draws attention to and is clearly associated with the promotional competition.

Minimum age of participants

52. (1) A promoter may not offer or award to a person under the age of 18 years, a prize or benefit comprising or consisting of or involving goods or services which in terms of a law, code of conduct or ethics, agreement or rule may not -

- (a) be sold or supplied to;
- (b) be used by; or
- (c) in any other way benefit, a person under the age of 18 years.

(2) Advertising material in respect of a promotional competition or which offers or awards goods or services contemplated in subregulation (1) may not be directed at persons under the age of 18 years in respect of those goods or services.

Nature of prizes

53. (1) A promoter may not offer or award goods, services or benefits as prizes to a particular winner in a promotional competition -

- (a) in respect of which the sale, possession, use, distribution, rendering or delivery is unlawful;
- (b) which are substantially similar to goods, services or benefits offered or awarded as prizes in a competition, game or sports pool conducted by or on behalf of the State Lottery during the period in which that promotional competition is being held;
- (c) in respect of which the possession, use, rendering, distribution or delivery is dependent on the payment of a fee or any consideration to any person in order to fully possess or use the prize;
- (d) in respect of which any licence, approval, endorsement, sanction, consent, agreement or any form of authorisation by -
 - (i) any organ of State;
 - (ii) a legal guardian of a person; or
 - (iii) any other person,

is required for the lawful possession or use of those goods, services or benefits; or

- (e) if, subject to subregulation (2), the winner of a prize is expected or obliged to endorse, promote or advertise the goods or services of the promoter, unless that winner after being publicly announced as a winner of the promotional competition

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is informed of such in writing consents to endorse, promote or advertise the goods or services of the promoter.

[It appears that the word “and” may have been omitted before the word “consents”.]

(2) If the winner referred to in subregulation (1)(e) is a person under the age of 18 years, any consent given by that person is not sufficient without the written consent of the legal guardian of that person.

(3) Any provision in the rules of a promotional competition requiring the prize winner to -

- (a) permit the use of his or her image in marketing material;
- (b) participate in any marketing activity; or
- (c) be present when the draw is taking place or the winners are announced,

without affording him or her the opportunity to decline an invitation to do so or informing him or her of the right to decline such an invitation, is void.

Advertising material

54. The rule of a promotional competition relating to the manner and date of announcing the winners of the competition must be published in advertising material promoting that competition.

Prohibited participation

55. (1) A person who is -

- (a) a director, member, partner, an employee or agent of or a consultant to a promoter; or
- (b) a spouse, life partner, parent, child, brother, sister, business partner or an associate of a person contemplated in paragraph (a),

may not participate in a promotional competition held by that promoter.

(2) The promoter of a promotional competition may not award a prize to any of the persons referred to in subregulation (1).

(3) Any advertising material in respect of a promotional competition must in legible type alert prospective participants in that competition to the prohibitions referred to in subregulations (1) and (2).

Electronically transmitted entry

56. (1) The promoter of a promotional competition may not require any consideration to be paid by or on behalf of any participant in the promotional competition, other than the reasonable costs of posting or otherwise transmitting an entry form or device.

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(2) The reasonable cost of electronically transmitting an entry referred to in subregulation (1) may not exceed N\$10.

(3) The reasonable cost stated in subregulation (2) includes the total cost for all subsequent electronic communication to the participant in respect of that particular entry.

Requirements for promotional competitions

57. (1) The promoter must ensure that an independent accountant, registered auditor or legal practitioner as approved by the Board, oversees and certifies the conducting of the competition and must report this through the internal audit reporting or other appropriate validation or verification procedures of the promoter.

(2) For the purposes of -

(a) section 72(4)(b)(i) of the Act, the maximum value of prizes which may be offered in each calendar year is the amount of N\$12 000 000; and

(b) section 72(4)(b)(ii) of the Act, the maximum number of prizes which may be offered in each calendar year may not exceed six, each of which may not exceed a total value of N\$1 000 000.

(3) For the purposes of section 72(4)(b)(iv) of the Act, the minimum value for the purpose of excluding a competition from being a promotional competition is N\$5.

(4) Subject to section 72(4)(g) of the Act and to subregulation (1), the person who conducts a promotional competition must, for a period of at least three years, retain -

(a) full details of the promoter, including identity or registration numbers, addresses and contact numbers;

(b) the rules of the promotional competition;

(c) a copy of the offer to participate in the promotional competition;

(d) the names and identity numbers of the persons responsible for conducting the promotional competition;

(e) a full list of all the prizes offered in the promotional competition;

(f) a representative selection of materials marketing the promotional competition or an electronic copy of such materials, but such copy must be easily accessible in a generally available format;

(g) a list of all instances when the promotional competition was marketed, including details on the dates, the medium used and places, if the marketing took place;

(h) the names and identity numbers of the persons responsible for conducting the selection of prize winners in the promotional competition;

(i) an acknowledgment of receipt of the prize signed by the prize winner or legal guardian, if applicable, and his or her identity number, and the date of receipt of

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the prize, or if this is not possible, proof by the promoter that the prize was sent by post or other electronic means to the winner using his or her provided details;

- (j) declarations by the persons contemplated in paragraph (d) made under oath or affirmation that the prize winners were to their best knowledge not directors, members, partners, employees, agents or consultants of or any other person who directly or indirectly controls or is controlled by the promoter or marketing service providers in respect of the promotional competition, or the spouses, life partners, business partners or immediate family members of the persons responsible for conducting the promotional competition;
- (k) the basis on which the prize winners were determined;
- (l) a summary describing the proceedings to determine the winners, including the names of the persons participating in determining the prize winners, the date and place where that determination took place and whether those proceedings were open to the general public;
- (m) whether an independent person oversaw the determination of the prize winners, and his or her name and identity number;
- (n) the means by which the prize winners were announced and the frequency of such announcement;
- (o) a list of the names and identity numbers of the prize winners;
- (p) a list of the dates when the prizes were handed over or paid to the prize winners;
- (q) in the event that a prize winner could not be contacted, the steps taken by the promoter to contact the winner or otherwise inform the winner of his or her winning a prize; and
- (r) in the event that a prize winner did not receive or accept his or her prize, the reason for his or her not so receiving or accepting the prize, and the steps taken by the promoter to hand over or pay the prize to that prize winner.

(5) A promoter must, on request in writing by the Board, immediately at his, her or its own expense submit a report based on documents or materials referred to in subregulation (4) to the Board.

Exemptions

58. (1) In this regulation, “applicant” means the person who submits an application to the Board in terms of subregulation (4).

(2) The Board may, subject to any condition it considers fit, grant an exemption with regard to the regulations contemplated in subregulation (4) in respect of any specific promotional competition, and such exemption applies only to that promotional competition.

(3) The Board may, subject to subregulation (7), alter or withdraw any exemption granted in terms of subregulation (2).

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(4) A person intending to conduct a promotional competition may, 90 days prior to the start of a specific promotional competition and in respect of that specific promotional competition, apply to the Board in writing for an exemption in terms of subregulation (1) from the application of regulation 53, 54, 56 or 57.

(5) In the application contemplated in subregulation (4), the applicant must in addition to any other information submitted in terms of this regulation -

- (a) identify the regulation or subregulation in respect of which exemption is sought;
- (b) provide reasons for every exemption sought;
- (c) provide all relevant information necessary for the Board to consider the matters envisaged in subregulation (6); and
- (d) provide an address which the Board may use for purposes of subregulation (7)(a).

(6) In considering any application made in terms of subregulation (4), the Board must have regard to all relevant factors, including -

- (a) the history of the applicant and the promoter intending to conduct the promotional competition;
- (b) the history of promotional competitions previously conducted by the applicant and the promoter, if any;
- (c) the amount of monies paid to or the extent of benefits received by persons in need or other beneficiaries;
- (d) the costs of promotional competitions previously conducted by the applicant and the promoter;
- (e) the amounts spent on marketing and advertising promotional competitions previously conducted by the applicant and the promoter;
- (f) if applicable, the amounts paid to other persons who previously conducted promotional competitions on behalf of the applicant;
- (g) the target market of the proposed promotional competition; and
- (h) measures to audit the promotional competition.

(7) If the Board intends to alter or withdraw any exemption granted in terms of subregulation, the Board must (2) -

- (a) give notice of its intention to the applicant at the address provided by the applicant in its application in terms of subregulation (5)(d);
- (b) in the notice contemplated in paragraph (a) specify -
 - (i) the reasons for its intention to alter or withdraw the exemption;
 - (ii) the date from when the alteration or withdrawal is to become effective; and

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- (iii) the corrective measure, if any, which the applicant must take in order for the board not to alter or withdraw the exemption,
- and by when such measure must be taken; and

[The word “Board” in subparagraph (iii) should be capitalised. The structure of paragraph (b) is reproduced as it appears in the *Government Gazette*, but the closing phrase appears to belong with subparagraph (iii); paragraph (b) may have been intended to appear as follows, using the same structure as regulation 24(7)(b):

- “(b) in the notice contemplated in paragraph (a) specify -
- (i) the reasons for its intention to alter or withdraw the exemption;
- (ii) the date from when the alteration or withdrawal is to become effective; and
- (iii) the corrective measure, if any, which the applicant must take in order for the Board not to alter or withdraw the exemption, and by when such measure must be taken; and”.

Alternatively, paragraph (b) may have been intended to mirror regulations 29(7)(b) and 42(7)(b).]

- (c) afford the applicant a reasonable opportunity to make representations in respect of the notice.
- (8) The Board must compile a list for its current financial year, to be updated on the last working day of every month, containing information in respect of -
- (a) every person to whom an exemption has been granted in terms of subregulation (2);
- (b) the nature of the exemption granted in terms of subregulation (2); and
- (c) any other information in respect of exemptions the Board considers necessary.
- (9) A person to whom an exemption has been granted by the Board under subregulation (2), does not acquire any right or legitimate expectation in respect of future promotional competitions because an exemption has previously been granted by the Board under that subregulation in respect of any other promotional competition.

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ANNEXURE 1

FEES AND PENALTIES

APPLICATION AND REGISTRATION FEES	N\$
Application for State Lottery or sports pool licence	150 000
Issuing of original State Lottery or sports pool licence	1 000 000
Guarantee in respect of State Lottery licence (refundable)	100 000 000
Duplicate State Lottery or sports pool licence	10 000
Annual fee for State Lottery or sports pool licence	250 000
Application for registration of benevolent society	1 000
Registration and benevolent certificate	2 000
Application for lottery manager certificate	500
Lottery manager certificate	4 000
REGISTRATION OF EACH SCHEME BY SOCIETY	
1. For each lottery promoted by a society, the total prize value does not exceed N\$10 000	nil
2. For each lottery promoted by a society, the total prize value exceeds N\$10 000 but not N\$50 000	200
3. For each lottery promoted by a society, the total prize value exceeds N\$50 000 but not N\$100 000	320
4. For each lottery promoted by a society, the total prize value exceeds N\$100 000 but not N\$500 000	530
5. For each lottery promoted by a society, the total prize value exceeds N\$500 000 up to N\$1 million	1 000
PENALTIES FOR LATE PAYMENT OF ANNUAL FEES - PART 10	
On the outstanding amount	10%

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ANNEXURE 2

To view content without printing, scroll down.

To print at full scale (A4), double-click the icon below.



Forms 1-9

[Note that the names of the Forms in the ARRANGEMENT OF REGULATIONS do not all match the names of the Forms that appear in Annexure 2. The Forms in the Annexure are reproduced as they appear in the *Government Gazette*. Misspellings and other errors in the Forms are not annotated.]

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ANNEXURE 2

FORMS

FORM 1

LOTTERIES BOARD
APPLICATION FOR STATE LOTTERY OR SPORTS POOL LICENCE
(Section 31 and Regulation 2(1))

DOCUMENTS TO BE SUBMITTED WITH THIS APPLICATION

1. Certified copy of certificate of incorporation and certificate of registration of the company (if the Applicant is a company);
2. Certified copies of share certificates (if the Applicant is a company);
3. Proof of directorship (certified copies) (if the Applicant is a company);
4. Title deed, lease or sublease agreement of premises to be utilised for the operation of the State Lottery (certified copy);
5. Detailed proposal of the project;
6. Proof of funding for the project;
7. Copies of audited financial statements of the Applicant;
8. Certified copy of tax clearance certificate; and
9. Proof of shareholding.

1. Name of Applicant:

2. Trading name of Applicant (if Applicant is a company):
.....

3. Contact details of the Applicant:

Physical address:

Postal address:

Telephone No: Fax:

E-mail address:

4. Please provide details of the shareholders of the Applicant (if the Applicant is a company):
(use separate sheet if necessary)

Name of Shareholder:

Postal address:

% of shares held:

5. Please provide details of the directors of the Applicant (if the Applicant is a company):

Name of Director:

Postal address:

Telephone No/Fax No:

6. Please provide details about the Company Secretary (if the Applicant is a company):

.....
.....

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7. Have any of the directors or the Company Secretary been convicted of an offence or been involved in any way with personal or company insolvency in Namibia or any other country:
 YES NO

If yes, please give details of the nature, date and the sentence imposed:

.....

8. Has the Applicant, a subsidiary or associated entity of the Applicant ever been, or is currently being investigated by any law enforcement authority in Namibia or any other country:
 YES NO

If yes, please give details below:

.....

9. Provide details of how the Applicant will be financing the operations of the State Lottery:

.....

10. Please provide details of the experience of the Applicant in conducting lotteries:

.....

11. Please provide the details of the envisaged employment levels of the State Lottery:

No. of citizen employees	No. of non-citizen employees
.....

12. Please provide the job classification of employees noted in (11) above:

	Citizens	Non-citizens
Non-supervisory		
Supervisory		
Middle management		
Senior management		

13. Please provide the names and contact details of four professional referees:

- a)
- b)
- c)

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I
(please print name clearly) certify that the information supplied on this application form is true and correct. I understand that failure to provide true and correct information could result in the failure of this application.

.....
Signature of Applicant

THUS SIGNED and sworn to before me this day of 20

.....
COMMISSIONER OF OATHS

FULL NAMES:

ADDRESS:

CAPACITY:

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FORM 2

LOTTERIES BOARD
STATE LOTTERY OR SPORTS POOL LICENCE
(Section 33(2) and Regulation 4(1))

STATE LOTTERY OR SPORTS POOL LICENCE NO:

In terms of section 33(2) of the Act, a licence is hereby granted to:

.....

Address of Licencee:

.....

The licence is valid for the period of years, effective from
to

Name
Chief Executive Officer

Date issued

Lotteries Board
Private Bag 13306
Windhoek
Tel: +264 61 284 2111
Website: www.meft.gov.na

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FORM 3

LOTTERIES BOARD
APPLICATION FOR DUPLICATE STATE LOTTERY LICENCE OR SPORTS POOL
(Regulation 5(1))

DOCUMENTS TO BE SUBMITTED WITH THIS APPLICATION

- Copy of certificate of incorporation or certification of registration (if the Applicant is a company).
- Copy of original licence.

1. I

(Name of Applicant) do hereby make an application in terms of regulation 98 for a duplicate State Lottery licence.

2. Trading name of Applicant (if Applicant is a company):

3. Contact details of the Applicant:

Physical address:

Postal address:

Telephone No: Fax:

E-mail address:

4. Please provide details of the original licence:

Licence no: Date of issue:

Place of issue: Date of expiry:

5. Please indicate reasons for this application:

.....
.....

I,, certify that the information supplied on this application (please print name clearly) form is true and correct. I understand that failure to provide true and correct information will result in the failure of this application.

.....
Signature of Applicant

THUS SIGNED and sworn to before me this day of 20

COMMISSIONER OF OATHS

FULL NAMES:

ADDRESS:

CAPACITY:

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FORM 4

LOTTERIES BOARD
APPLICATION FOR GRANT FROM TRUST FUND
(Section 45(1) and Regulation 14(1))

INSTRUCTIONS

1. Please indicate (with a cross in the relevant box) if your application for a grant is in terms of:

- Charities (Section 44(3)(a) of the Act)
- Arts, Culture, Sport, National Heritage and Conservation (Section 44(3)(b) of the Act)
- Youth Empowerment, Psych-social disorders, Science and Innovation (Section 44(3)(c) of the Act)
- Miscellaneous Purpose and National Emergencies e.g. droughts and floods (Section 44(3)(d) of the Act)

2. This application form is in five parts:

- In section A: Details of the organisation
- In section B: Explanation on the funding required
- In section C: Information of organisational finances
- In section D: Details of at least two contactable references
- In section E: Mandatory documents to be submitted with the application form

NB: if there is not enough space on this form for your answers, please use and attach further sheets of paper

.....

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SECTION A: DETAILS OF YOUR ORGANISATION

- A1 Name of organisation
- A2 Postal address:
- Postal Code:
- A3 Street address:
-
- Region:
- A4 Telephone number:
- A5 Fax number:
- A6 E-mail address:
- A7 When was your organisation formed?
- A8 What kind of registered organisation are you? (E.g. Non-Profit Organisation, Section 21 Company, Public Benefit Trust)
- A9 When was your organisation registered?
- A10 Registration number:
- (Please attach a copy of your registration certificate)
- A11 Details of the main contact person with executive powers (e.g. Manager/Programme Director)
- Name:
- Position:
- Namibian I.D. Number:
- (Attach Certified Copy of ID)
- Address: Tel:
- A12 Details of a second contact person (e.g. Chairperson):
- Name:
- Position:
- Namibian I.D. Number:
- (Attach certified copy of ID)
- Address: Tel:
- A13 Names and position of the Members of the Management Committee: (Members are required to attach certified copy of ID):
1. Name:
- Position:
- I.D. Number: Tel:.....
2. Name:
- Position:
- I.D. Number: Tel:.....

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3. Name:
Position:
I.D. Number: Tel:.....

4. Name:
Position:
I.D. Number: Tel:.....

5. Name:
Position:

A14 Are you affiliated organisations? If Yes, name them:
.....
.....
.....

A15 Are you an umbrella body?..... If yes, what organisation are you affiliated to (Attach a list if necessary)

A16 Describe the main purpose of your organisation
.....
.....
.....
.....
.....
.....
.....
.....
.....

A17 Describe the nature of services and/or products that your organisation provides and the people who will benefit from the services and/or products:
.....
.....
.....
.....
.....
.....

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A18 In which region/s do you operate? (Tick next to the region/s that apply to you)

Erongo Hardap Karas
 Kavango East Kavango West Khomas
 Kunene Oshana Omaheke
 Oshana Oshana Oshikoto
 Otjozondjupa Zambezi

A19 Please fill in the information below on your staff composition

NO. OF PAID STAFF		NO. OF VOLUNTEERS	
No. of full-time staff	No. of part-time staff	No. of full-time volunteers	No. of part-time volunteers

A20 Please provide current employment equity status/equity plan for your organisation

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SECTION B: THE FUNDS YOU ARE APPLYING FOR AND HOW YOU WILL USE THEM IF GRANTED.

B1 Are you applying for: (Tick the relevant box?)

- A grant in support of your overall operations? OR
 Funding for specific projects? If Yes, they are:
 Already in existence?
 An expansion?
 New?

B2 What amount of money are you requesting?

B3 For what period? (e.g. 1 year, 2 years, multi-year etc)

B4 Please attach a detailed budget with a motivation on the utilisation of grant. For capital expenditure attach supporting documents such as quotations, architectural and proof of ownership.

B5 Indicate which groups of people will benefit from the funding. If granted and how many? [Give numbers]

Children: Women:

Children with disabilities: Adults with disabilities

Youths: The elderly:

People living with HIV/AIDS: The chronically ill:

Drug Abusers: Criminal Offenders:

The Unemployed: The homeless:

Other (specify):

B6 Indicate the specific areas of the people who will benefit from the funds reside:

.....

B7 Have you benefited from the fund before? If Yes fill in the box

Project Number	Year	Amount received and what for?	Have you submitted all the progress reports?

B8 If you applied but were not funded, please give reasons

.....

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SECTION C: INFORMATION ON YOUR ORGANISATION'S FINANCIAL DETAILS

C1 Bank details

Name in which the account is held:

Name of Bank:

Type of account:

Account number:

Branch:

Branch Code:

C2 List 3 people who are authorised to sign payment instruments on your account/s:

Name:

Position in Organisation:

Name:

Position in Organisation:

Name:

Position in Organisation:

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SECTION D: REFEREES

Please give the details of three credible referees from the community in support of your application e.g. police commissioner, religious leader, local councillor, etc. (Referees must be independent and may NOT be employees, committee members or volunteers)

1. Name:
 Position:
 I.D. Number: Tel:.....
2. Name:
 Position:
 I.D. Number: Tel:.....
3. Name:
 Position:
 I.D. Number: Tel:.....

SECTION E: MANDATORY DOCUMENTS

The following documents should be attached to this form as applicable

- o Organisation founding documents (this requirement is applicable to organisations that have not previously been funded by the State Lottery Trust Fund or if the objectives of the organisation have since changed)
 - Constitution/Articles and Memorandum of Association/Trust deed
 - Institutions established by an Act of Parliament must only cite the enabling Act
 - Proof of registration for non-profit organizations, section 21 companies, Public Benefit Trusts and schools registered with the Ministry of Education (except private schools)
 - (Local Authorities and Tertiary Institutions are excluded from this requirement but they must cite enabling Act).
- o Detailed project business plan
- o Detailed Project Budget (specific line items with unit cost, quantities, total cost per item)
- o Project motivation
- o Most recent annual financial statements of the organisation -
 - for a year for organizations that have previously received funding from the State Lottery Trust Fund
 - for two consecutive years signed and dated by a registered and independent Accounting Officer or an Auditor in the case of organisations that have not been previously funded by the State Lottery Trust Fund
- o Signed Auditors report or Accounting Officer
- o Application for declared heritage site development/renovations must be accompanied by approval from relevant regional or national authority.

DECLARATION

I confirm, on behalf of: ..
 (Name or organisation) that I am authorised to sign

this declaration, and that to the best of my knowledge all answers to the questions on this form are accurate. If this application is successful, this organisation will use the grant only for the purposes specified in this application, and will comply with all the terms and conditions attached to the grant. I confirm that the organisation has the power to accept the grant subject to conditions and repay the grant if the grant conditions are not met.

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Name:

Namibian Identity number:

Position in organisation

Date:

Signature:

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FORM 5

LOTTERIES BOARD
APPLICATION FOR REGISTRATION AS BENEVOLENT SOCIETY
(Section 60(1) and Regulation 31(1))

When completed this form should be sent with the documents listed on page 4 and the appropriate fee to:

Lotteries Board
Private Bag 13306
Windhoek
Tel: +264 61 284 2111
Website: www.meft.gov.na

You are reminded that under no circumstances should steps be taken to promote lotteries (i.e. by advertising and/or placing tickets on sale) under the scheme submitted to the Board, until notification has been received that the society has been registered.

1. Name and address of society applying to register with the Board:
Name:
Address:
(Including Postcode)
Fax:
E-mail (if applicable)
2. Full name, address and telephone number of the person with whom the Board may communicate about this application:
Name:
Address:
(Including Postcode)
Telephone No.:
3. Purposes for which the society was established and conducted as laid down in its constitution or rules:
.....
.....
.....
4. Does the Society have adequate financial resources to conduct a society lottery?
YES NO
If "yes", please provide proof thereof.
5. Name and address of auditor or bookkeeper of society:
Name:
Contact Person:
Address:
(Including Postcode)
Telephone No.:

REGULATIONS
Lotteries Act 13 of 2017
Lotteries Regulations

6. Details of the banking account of society:
 Name of account:
 Bank:
 A/C No.:
 Branch Code:
 Address:
7. Has the society previously been registered with the Lotteries Board?
 Yes No
8. Has the previous application for registration of the society to the Board been?
 (Please provide details)
 Refused Revoked Suspended
9. Has the Society ever received a notice of non-compliance from the Board?
 Yes No
10. If an external lottery manager will be employed to run lotteries on behalf of the society, the name, address and telephone number of the person or organisation concerned:
 Name:
 Registration No.:
 Address:
 (Including Postcode)
 Telephone No.:
11. The following declaration must be signed by at least two (2) Directors of the Society.

DECLARATION

We certify that, to the best of our knowledge and belief, the information we have given in this application is complete and correct. We understand that the Board requires that it be informed immediately if any of the information supplied in this application form changes at a later date.

(Signed): (Signed):
 (FullName): (Full Name):
 (Designation): (Designation):
 (Date): (Date):

DOCUMENTS TO BE SUPPLIED WITH THIS APPLICATION

- i. A copy of the rules or constitution of the society.
- ii. The names and addresses of the management committee of the society.
- iii. A certified copy of the minutes of the meeting or a resolution if the decision was taken to apply for Board registration should be submitted.
- iv. Certificate of registration as a non-profit organisation.
- v. A statement pertaining to the society's financial state of affairs and business (Annual Financial Statements).

REGULATIONS
Lotteries Act 13 of 2017
Lotteries Regulations

OFFICE USE ONLY

Application Fee: N\$ Receipt No.: Date:

Reg. No. Issued: Signed: Date:

REGULATIONS
Lotteries Act 13 of 2017
Lotteries Regulations

FORM 6

LOTTERIES BOARD
CERTIFICATE OF REGISTRATION OF BENEVOLENT SOCIETY
(Section 60(1) and Regulation 31(4))

This is to certify that:

Name of Benevolent Society

.....

has been registered as a benevolent society in terms of section 60 of the Act.

Address of society:

Board Registration No:

Effective date of registration:

Chief Executive Officer

Date issued

Lotteries Board
Private Bag 13306
Windhoek
Tel: +264 61 284 2111
Website: www.meft.gov.na

REGULATIONS
Lotteries Act 13 of 2017
Lotteries Regulations

FORM 7

LOTTERIES BOARD
APPLICATION FOR APPROVAL TO CONDUCT LOTTERY UNDER
BENEVOLENT SOCIETY SCHEME
(Section 57(1) and Regulation 32(3))

BEFORE COMPLETING THIS FORM PLEASE READ IT CAREFULLY AND NOTE PARTICULARLY THE DOCUMENTS REQUIRED BY THE BOARD. FAILURE TO SUBMIT THESE DOCUMENTS IS LIKELY TO DELAY THE APPLICATION

When completed this form should be sent with the documents listed on page 6 and the appropriate fee to:

Lotteries Board
Private Bag 13306
Windhoek
Tel: +264 61 284 2111
Website: www.meft.gov.na

You are reminded that under no circumstances should steps be taken to promote lotteries (i.e. by advertising and/or placing tickets on sale) under the scheme submitted to the Board, until notification has been received that the scheme has been registered.

1. Name and address of the society applying to register a lottery scheme with the Board:
Name:
Address:
(Including Postcode)
2. Registration No. of society with the Board:
3. Full name, address and telephone number of the person with whom the Board may communicate about this application:
Name:
Address:
(Including Postcode)
Telephone No.:
4. Date on which the governing body of the society approved the scheme:
5. The purpose of the lotteries to be promoted under the scheme:
.....
6. Are you using a certified lottery manager? If "yes", please complete (a) below and if "no" please note (b) below.
 - (a) Full name and Board registration number:
Name:
Reg. No.:
Address:
(Including Postcode)
Telephone No.:
 - (b) If using your own member to manage the lottery this person should complete and submit a separate, personal declaration form (attached).

REGULATIONS
Lotteries Act 13 of 2017
Lotteries Regulations

7. Has any person who will be connected with a lottery promoted under the scheme been associated with a Board registered society or another Board registered lottery scheme?
Yes No

If the answer is yes, give full name, date of birth and address of the person and other relevant details, including (if known) Board reference number:

Name:

Reg. No.:

Address:
(Including Postcode)

Telephone No.:

Other Details:

.....

.....

(Please continue on separate sheet if necessary)

8. Has any person who will be connected with a lottery under the scheme:
- (a) been or is a political office bearer; Yes No
 - (b) been or is an un-rehabilitated insolvent; Yes No
 - (c) been or is removed from an office of trust on account of misconduct; Yes No
 - (d) been or is convicted, whether in Namibia or else if, of theft, fraud, forgery or any offence involving dishonesty? Yes No

If yes, full details should be provided on a separate sheet.

9. Details of the prizes to be awarded in the lottery under the scheme.
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.....

10. In respect of the lottery under the scheme, what is the proposed draw date?
.....
(in the case of an "instant" lottery, this should be taken to mean the last date on which tickets will be on sale or, if the lottery includes a latter draw, the date on which the final or major prize winner is ascertained)

11. Funds raised in the proposed lottery will be used exclusively for:
(specific use must be stated)
.....
.....
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.....

REGULATIONS
Lotteries Act 13 of 2017
Lotteries Regulations

- 12. Proposed number of tickets to be offered for sale:
- 13. Proposed date for placing tickets on sale:
- 14. The price at which each ticket will be sold:
- 15. Number and total face value of tickets to be placed on sale:

Number	Face Value

- 16. Means of notifying /publicising winners:
.....
.....
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.....

- 17. Proposed beneficiary:
.....
.....
.....
.....

- 18. Description of draw procedures:
(Please continue on a separate page if necessary)
.....
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.....

- 19. Description of the information on the ticket:
.....
.....
.....
.....

REGULATIONS
Lotteries Act 13 of 2017
Lotteries Regulations

20. Prizes and respective retail value:
(if insufficient space, attach a list)

- 1. N\$
- 2. N\$
- 3. N\$
- 4. N\$

(The Board may require the lottery manager or the society to provide security or a guarantee that prizes are available)

21. Categories of persons to whom tickets may not be sold, if any:

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22. Proposed procedures for dealing with unclaimed prizes:

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23. Proposed procedures for redeeming prizes:

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24. Procedures for handling unsold tickets:

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REGULATIONS
Lotteries Act 13 of 2017
Lotteries Regulations

25. Details of the place, if any, and the manner in which participants may obtain information in respect of the lottery outcome

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.....
.....
.....

DECLARATION

The following declaration should in all cases, be signed by the lottery promoter/manager

I certify that, to the best of my knowledge and belief, the information I have given in this application is complete and correct. I understand that the Board requires that it be informed immediately if any of the information supplied in this application form changes at a later date.

(Signed)

(Date)

(Name in full)

(Designation)

DOCUMENTS TO BE SUBMITTED WITH APPLICATION

- i. A copy of the competition rules
- ii. A certified copy of the minutes of the full meeting of the governing body of the society approving the promotion of lotteries under the scheme.
- iii. A letter or statement from the society’s governing body authorising the promoter to act in such a capacity.
- iv. Detailed estimate of individual items of expenditure and a detailed budget for the proposed project to be funded by the proceeds of the lottery.
- v. Draft ticket. It should be noted that all tickets must specify their price, the full name of the society, promoter’s name & address, the date and place of the draw and the fact that the society is registered with the Lotteries Board.
- vi. A proof of payment made to “Lotteries Board”, for the fees payable on application for registration of the scheme.
- vii. A personal declaration form (attached)), completed by the promoter. The Board may subsequently require any other person connected with the lotteries to complete such form.

Note: Any modification to the scheme should be approved by the Lotteries Board.

OFFICE USE ONLY

App. Fee: N\$ Rec. No.: Date:

Permit No.: Signed: Date:

REGULATIONS
Lotteries Act 13 of 2017
Lotteries Regulations

FORM 8

LOTTERIES BOARD
APPLICATION FOR CERTIFICATION AS LOTTERY MANAGER
(Section 66(1) and Regulation 43(1))

BEFORE COMPLETING THIS FORM PLEASE READ IT CAREFULLY AND NOTE PARTICULARLY THE DOCUMENTS REQUIRED BY THE BOARD. FAILURE TO SUBMIT THESE DOCUMENTS IS LIKELY TO DELAY THE APPLICATION

When completed this form should be sent with the documents listed on page 6 and the appropriate fee to:

Lotteries Board
Private Bag 13306
Windhoek
Tel: +264 61 284 2111
Website: www.meft.gov.na

1. Full name of company, firm etc
.....
.....
2. Nature of business
.....
.....
.....
.....
3. Full name, status and telephone number of the person with whom the Board should communicate about this application
Name:
Status:
Telephone No.:
4. Registration number, registered office and place of business of the company
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.....
.....
.....
5. Date and place of incorporation
(A copy of the memorandum and Articles of Association must accompany this application)
.....
.....

REGULATIONS
Lotteries Act 13 of 2017
Lotteries Regulations

6. Nominal capital
 Par value of shares
 Issued capital

7. Does the company hold an interest of 20% or more in any other company or undertaking?
 Yes No

If yes, please give the names of the other companies or undertakings, and the size of the holdings in each case.

.....

8. Is the applicant a parent company of a group of companies?
 Yes No

If yes, please give the details of all subsidiaries (past and present) of that group and the size of the holding, other than those listed in 7.

.....

9. Is the applicant's company a wholly or partly owned subsidiary of another company?
 Yes No

10. Please list the names, addresses and shareholdings 3% or more of the issued capital of the applicant and the number of remaining shareholders.

Name	Address and Postcode	Shareholding

Number of remaining shareholders

REGULATIONS
Lotteries Act 13 of 2017
Lotteries Regulations

11. Please give names and addresses of any lenders, mortgagees, or providing finances and the terms under which they have undertaken to supply funds in respect of this application.

Name and address	Account No./ Reference No.	Type of Facility	Security, repayments and other terms

12. Is the applicant's company or any other company named in this application, or any officer of such company ever been convicted of any offence (other than traffic offence)
 Yes No

If yes please give details i.e. court at which convicted, date of conviction, offence and penalty.

Court And Date	Offence and Penalty

13. Is there any reason to believe that a prosecution against the applicant company or any of the names companies or officers may be pending?
 Yes No

If yes, give details

.....

14. Has the company at any time previously applied to the Board for certification?
 Yes No

If yes, please give reference

15. Has the company ever been subject of a winding-up petition?
 Yes No

REGULATIONS
Lotteries Act 13 of 2017
Lotteries Regulations

19. Is the company secretary also a director?
 Yes No

20. Has the company or partnership ever been or is currently being investigated by any statutory or government body in the Republic of Namibia in respect of any application or inquiry?
 Yes No

If yes, please give details below

.....

21. Will the business of managing lotteries be the only business carried on by the applicant?
 Yes No

22. Please give the following details of financial requirements

An estimate of the capital expenditure required:	N\$
Working capital for the first 12 months	N\$
Fees payable to the board	N\$
Other requirements (if applicable State what these are)	N\$
Total	N\$

23. Please give details of the resources available to meet requirements:

a. From applicant's own resources:

Nature of resources	Name, address and postcode of holder	A/C Reference	Amount

b. From other resources: (If a company, state place of incorporation, if individual state date of birth and identity number)

Name, address and postcode of proposed source	Rate of interest	Security, repayment and other terms	Amount

Please submit documentary evidence (e.g. letter from a bank) to support the details given at 23a and b above.

REGULATIONS
Lotteries Act 13 of 2017
Lotteries Regulations

24. What are the proposed collection and banking arrangements for the proceeds from the lotteries?

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25. Describe how the proceeds from each separate lottery will be identifiable and accounted for entirely separately all the time.

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26. What protection will there be for the proceeds ultimately due to client societies and prize winners in the event of the financial collapse of the business?

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27. Describe the basis on which the business will be paid for its services by client societies (e.g. fixed percentages or proceeds, fixed fee per lottery etc)

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28. From what source will tickets be obtained?

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29. What type of lotteries do you propose to manage?

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REGULATIONS
Lotteries Act 13 of 2017
Lotteries Regulations

30. Have arrangements been made to manage lotteries on behalf of particular societies?
 Yes No

If yes please give details

Name of society	Address

31. Please give the names of the persons who will be responsible for the management of the applicant business

Name	Details of responsibility

32. Please give details of any experience the applicant (or anyone directly associated with the applicant) has in managing lotteries

.....

33. Has anyone named any person in this application been associated in any way with any other application to the Board?
 Yes No

If yes, please state the name of the person concerned; give details of any such application and quote the Board's reference number

.....

34. Please give the name and address of the accountant and legal practitioners, if applicable, acting for the applicant.

Legal practitioner's name:
 Address and postcode:

REGULATIONS
Lotteries Act 13 of 2017
Lotteries Regulations

Telephone:

Accountant's name:

Address and postcode:

Telephone:

FURTHER INFORMATION AND DECLARATION

If there is any further information that you wish the Board to take into account when considering your application for a certificate please give it below.

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- 35. The Board may not grant a certificate as a lottery manager in terms of section 66(3) of the Lotteries Act, 2017 if it is not satisfied that the applicant is a fit and proper person to manage a lottery. It may also refuse an application if among other things it appears that a person who would be likely to manage any part of the business, or benefit from it is not a fit and proper person. In the discharge of this duty, the Board will seek information from the police about the applicant and other persons associated with the application including any convictions they may have had. This does not absolve those concerned with the application from the duty of declaring any such convictions in full on this form or on their personal declaration forms.
- 36. Applicants are warned that the discovery of any material falsification or omission of the information required may result in the Board's refusal to grant a certificate, or revocation of a certificate if one has been issued. Pending a decision by the Board all changes affecting any of the information given in this application must be notified to the Board without delay.
- 37. The following declaration must be signed in all cases:
If the applicant is a company, by both the company secretary of and director (who is not also the secretary) of the company.

I/We certify to the best of my/our knowledge and belief that the information given in this application is complete and correct.

(Signed): (Signed):

(FullName): (Full Name):

(Designation): (Designation):

(Date): (Date):

OFFICE USE ONLY

Application fee: N\$ Receipt No:

Permit number Issued: Signature:

Have you enclosed all necessary documents? See following notes.

REGULATIONS
Lotteries Act 13 of 2017
Lotteries Regulations

DOCUMENTS TO BE SUBMITTED WITH APPLICATION

- i) If the applicant is a company, the Memorandum and Articles of Association (see Para.6).
- ii) Accounts for the most recent three years, if available. If not audited, the latest accounts should be reviewed by a qualifying auditor, who should report whether the accounts have been properly compiled and they are prepared on a basis consistent with generally accepted accounting practices.
- iii) Interim accounts, if the most recent accounts are more than 6 months old. Such accounts should be reviewed by a qualifying auditor, who should report whether the accounts have been properly compiled and whether they are prepared on a basis consistent with the accounting policies used in the applicant's annual accounts.
- iv) Detailed projections, including a profit and loss account, balance sheet and cash flow for the next 12 months, together with a report on these by qualifying auditors.
- v) A statement of the manner in which the applicant will obtain or provide sufficient funds to operate for the next 12 months. Documentary evidence (e.g. bank letters) should be provided to support any information given about available resources.
- vi) Details of who will be responsible for preparing the accounting records and for managing funds, together with their qualification and experience.
- vii) A brief description of the accounting records to be used, indicating whether manual or computerised. If computerised, a note of the software package should be provided.
- viii) Any available note of the proposed systems and internal controls.

(It is recognised that those with small businesses may not necessarily always be in position to provide all the information and evidence referred to above. If an applicant has difficulties in this respect, he or she should explain this to the Board in determining his or her application. The Board will then advise him whether this is acceptable.)

- ix) Personal declaration forms (attached) must be completed by:
 - a. All directors, the company secretary and all shareholders and others with financial interest of 3 percent or more in the proposed business;
 - b. Personal responsible for management of the lottery; and
 - c. Any other person from the Board requires such a declaration.
- x) A copy of the identity document and two copies of a recent photograph (passport size) in relation to each person completing a personal declaration form (attached).
- xi) Proof of payment to the Board for the fee payable on application for a certificate.

REGULATIONS
Lotteries Act 13 of 2017
Lotteries Regulations

FORM 9

LOTTERIES BOARD
CERTIFICATE AS LOTTERY MANAGER
(Section 66(3) and Regulation 45))

This is to certify that:

Name

Has been registered as a lottery manager in terms of Section 66(3) of the Act

Address:

Board Registration No:

Effective date of Registration:

Chief Executive Officer

Date issued

Lotteries Board
Private Bag 13306
Windhoek
Tel: +264 61 284 2111
Website: www.meft.gov.na
