

GOVERNMENT GAZETTE

OF THE

REPUBLIC OF NAMIBIA

N\$14.40 WINDHOEK - 30 December 2008 No. 4190

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GOVERNMENT NOTICE

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Government Notice

OFFICE OF THE PRIME MINISTER

No. 292 2008

PROMULGATION OF ACT OF PARLIAMENT

The following Act which has been passed by the Parliament and signed by the President in terms of the Namibian Constitution is hereby published in terms of Article 56 of that Constitution.

No. 9 of 2008: Controlled Wildlife Products and Trade Act, 2008.

ACT

To provide for the implementation of the Convention on International Trade in Endangered Species of Wild Fauna and Flora; and to provide for incidental matters.

(Signed by the President on 14 December 2008)

BE IT ENACTED by the Parliament of the Republic of Namibia as follows:

Definitions and interpretation

1. (1) In this Act unless the context indicates otherwise any word to which a meaning has been assigned by the Convention bears that meaning, and –

"Appendix" means the relevant appendix to the Convention;

"controlled wildlife product" means any animal or plant (or any portion thereof), as well as any product or substance derived from any plant or animal as set out in Schedule 1;

"Convention" means the Convention on International Trade in Endangered Species of Wild Fauna and Flora, signed at Washington on 3 March, 1973, as amended in Bonn on 22 June 1979 and acceded to by Namibia on 18 December 1990, the text of which is set out in Schedule 2;

"deal in" means sell, buy, offer or expose for sale or purchase, barter or offer as valuable consideration;

"Minister" means the Minister responsible for environment;

"Ministry" means the Ministry of Environment and Tourism;

"staff member" means a staff member as defined in section 1 of the Public Service Act, 1995 (Act No. 13 of 1995);

"this Act" includes the Convention as well as regulations made under section 9;

- (2) Any reference to "Article", and "Appendix" is construed to be a reference to that Article or Appendix of the Convention.
- (3) Any provision of this Act is construed with reference to the Convention and any provision of the Convention is deemed to be part of this Act and for that purpose
 - (a) the Management Authority for Namibia is the Minister;
 - (b) the Scientific Authority for Namibia is the technical committee established by section 3;
 - (c) a species is deemed to be included in an Appendix only if it appears in the relevant column of Schedule 3 and also subject to such further qualifications as set out in the relevant entry or such further notes as may appear in that Schedule.

Relation with other laws

2. The prohibition of any action, the prohibition of the possession of any thing or the requirement for a permit or certificate to do anything or to possess anything are in addition to any prohibition or requirement contained in any other law.

Technical committee

- **3.** (1) A technical committee that must perform the duties and which has the powers assigned to the Scientific Authority by the Convention is hereby established.
 - (2) The technical committee consists of –
 - (a) two staff members from the Ministry;
 - (b) one staff member whose duties relate to veterinary services;
 - (c) one staff member whose duties relate to botanical research;
 - (d) one staff member from the Ministry of Fisheries and Marine Resources;
 - (e) one staff member whose duties relate to the National Museum.
- (3) The Minister must appoint the members of the technical committee on the recommendation of the Minister responsible for the ministry, office or agency where the relevant staff member is employed.
- (4) The members of the technical committee must be appointed for a period of three years and may be reappointed on the expiry of that period.
- (5) A member of the committee ceases to hold office if he or she ceases to be a staff member as contemplated in subsection (2).
- (6) The Minister may remove a member of the committee, if in his or her opinion
 - (a) the organisation of the public service has changed in such a manner or the person in question has been appointed in another post that has the effect that the appointment of the person on the committee concerned is no longer appropriate;
 - (b) the person concerned is for any other reason unable to effectively fulfil his or her duties as a member of the committee.
- (7) If a position on the committee becomes vacant as contemplated in subsection (5) or (6), the Minister may appoint a member to fill the vacant position and the new member holds the position for the unexpired portion of the term of office of the member in whose position he or she has been appointed.
 - (8) A quorum at a meeting of the committee is four members.

- (9) The Minister must appoint one of the members of the committee as the chairperson.
 - (10) The chairperson must preside at a meeting of the committee.
- (11) If the chairperson is not present at a meeting of the committee, the members must elect one of them to preside at that meeting.
- (12) Any decision of the committee is taken by a majority of the members present at a meeting of the committee, and in the case of an equality of votes, the person who presides at such a meeting has a casting vote as well as his or her deliberative vote.
 - (13) The committee must meet at least once a year.
- (14) The committee must meet at such times and places as the committee has determined or in the absence of such determination as the chairperson or the Minister has determined.
 - (15) The Minister may at any time call a meeting of the committee.
- (16) No decision of the committee is invalid only because there was a vacancy on the committee or because a person participated in the deliberations of the committee or voted while such person was not entitle to participate or vote if there was a quorum at the meeting concerned and a majority of the members present voted in favour of the decision in question.
- (17) Subject to the provisions of this Act, the committee may determine its own procedure.

Possession of and dealing with controlled wildlife products

- **4.** (1) Any person who -
- (a) possesses any controlled wildlife product the possession of which is unlawful in terms of Schedule 1;
- (b) deals in any controlled wildlife product if the dealing therein is unlawful in terms of Schedule 1;
- (c) manufactures anything from a controlled wildlife product if such manufacture is unlawful in terms of Schedule 1:
- imports any controlled wildlife product if the import thereof is unlawful in terms of Schedule 1; or
- (e) exports any controlled wildlife product if the export thereof is unlawful in terms of Schedule 1,

commits an offence unless he or she has been issued with a permit contemplated in subsection (3) authorising the act in question and unless he or she complies with the conditions specified in the permit.

- (2) A person who has contravened subsection (1) is –
- (a) if he or she has only possessed the product as contemplated in subsection (1)(a), on conviction liable to a fine not exceeding N\$20 000 or imprisonment for a period not exceeding five years or to both such fine and such imprisonment;
- (b) if he or she has performed any action referred to in subsection (1)(b), (1)(c), (1)(d) or (1)(e), on conviction liable to a fine not exceeding N\$200 000 or to imprisonment for a period not exceeding 20 years or to both such fine and such imprisonment.
- (3) The Minister may issue a permit in the form determined by him or her authorising any action in respect of a controlled wildlife product.
- (4) The Minister may specify any class of action or class of product for which the permit in question is issued.
- (5) The Minister may impose such conditions as he or she considers necessary in order to achieve the objects of this Act when issuing a permit in terms of subsection (3), which conditions may include
 - (a) requirements relating to the keeping of records;
 - (b) requirements relating to the marking of specific specimens or any requirements that will facilitate the identification of specific specimens;
 - (c) requirements relating to the registration of specific specimens.
- (6) The class of controlled wildlife products for which the permit is issued, the conditions subject to which the permit is issued, the period for which and the actions authorised by the permit, must be indicated on the permit in question.

Acts prohibited by Convention

- **5.** (1) No person may –
- (a) import;
- (b) export;
- (c) re-export; or
- (d) introduce from the sea,

whether to or from Namibia, in contravention of Article III, Article IV or Article V any specimen contemplated in those Articles, unless the certificates and permits contemplated in those Articles have been obtained or unless the actions concerned are exempt from the obtaining of such certificates or permits.

(2) Any person who contravenes subsection (1) commits an offence and is on conviction liable to a fine not exceeding N\$200 000 or to imprisonment for a period not exceeding 20 years or to both such fine and such imprisonment.

- (3) The court convicting a person of a contravention of subsection (1) may impose an additional fine or make a compensation order in favour of the State as authorised by laws relating to criminal procedure to compensate the State for
 - (a) all costs relating to the care or medical treatment of live specimens and the disposal thereof, whether incurred before the order or thereafter;
 - (b) all costs relating to the return of any specimen (whether alive or dead) to its country of origin;
 - (c) an amount equivalent to all costs incurred to restore a population of wildlife harmed through unlawful removal from the wild by the person convicted or commensurate with the impact of unlawful removal by the person convicted:

Provided that any costs referred to in paragraph (a) and (b) may also be recovered by civil suit from any person convicted of an offence relating to the specimen concerned, or from whom such specimen has been seized.

Inspectors

- **6.** (1) The Minister may appoint any staff member in the Ministry to be an inspector.
- (2) An inspector must be issued with a certificate of appointment signed by the Minister and he or she must on request produce such certificate when exercising any power under this Act.
- (3) A customs officer, police officer or nature conservator may exercise any power conferred upon an inspector by this Act, in addition to any other powers vesting in such officer by any other law.
- (4) For the purposes of subsection (3) "customs officer" means an officer as defined in section 1 of the Customs and Excise Act, 1998 (Act No. 20 of 1998), "police officer" means a member of a police force established under any law, and "nature conservator" has the meaning assigned thereto by the Nature Conservation Ordinance, 1975 (Ordinance No. 4 of 1975).

Powers of inspectors

- 7. (1) An inspector may request any person who in his or her opinion possesses anything in respect of which a permit or certificate is required
 - (a) to make such thing available for inspection;
 - (b) to produce the relevant permit or certificate.
- (2) An inspector may examine any consignment which he or she reasonably suspects of containing any specimen of a species referred to in Schedule 3 or any controlled wildlife product.
- (3) An inspector may search any premises that is not used as a private dwelling in order to determine whether any provision of this Act has been or is being contravened.

- (4) An inspector may request a police officer to accompany him or her while he or she is investigating any matter relating to this Act and the police officer may exercise any power vesting in that police officer in the presence of the inspector concerned: Provided that a police officer may not search a person of a different gender from the inspector concerned in the presence of that inspector.
- (5) An inspector may request a veterinarian to examine any live animal to determine any question relevant for the exercise of a discretion under this Act.
 - (6) An inspector may –
 - (a) seize any specimen if the person in possession thereof does not produce the permit or certificate required by this Act in respect of that specimen;
 - (b) seize anything used for, or in relation with the commission of any offence under this Act which includes a vehicle, firearm, trap, container, cage or other equipment associated with the hunting, transport, capturing, collecting, keeping, processing or manufacturing of the specimen concerned;
 - (c) seize anything that may afford evidence of the commission of an offence under this Act;
 - (d) take such samples from any specimen or anything relating to such specimen that may be relevant for the determination of a question relevant for the exercise of a discretion under this Act, or that may afford evidence for the commission of an offence under this Act;
 - (e) examine any book, record, or document, as well as any computer system in which he or she reasonably believes anything relevant to an offence or duty contemplated in this Act is stored;
 - (f) instruct any person to afford such assistance as is reasonably required to interpret or extract relevant information from such book, record, document or computer system;
 - (g) put any question to any person that in the opinion of the inspector is necessary in order to exercise any discretion conferred by this Act or to clarify any matter in any record or book or with relation to any specimen.
- (7) When an inspector seizes anything in terms of this Act, he or she must issue a receipt for such item.
 - (8) Any person who –
 - (a) hinders or obstructs an inspector from exercising any power under this Act:
 - (b) refuses or fails to comply with any request made by an inspector under this Act without a reasonable excuse; or
 - (c) without a reasonable excuse, fails or refuses to answer a question put by an inspector under this Act,

commits an offence and is on conviction liable to a fine not exceeding N\$4 000 or imprisonment for a period not exceeding one year or to both such fine and such imprisonment.

Forfeiture

- **8.** (1) Subject to subsection (2) the provisions of the Criminal Procedure Act, 1977 (Act No. 51 of 1977), relating to the seizure and the forfeiting to the State of a thing relating to an offence, by a police officer or peace officer including provisions relating to the forfeiture, handling and storage of anything seized under that Act, as well as the provisions relating to rights of third parties when anything is declared forfeited, is applicable to anything seized under this Act and a reference in that Act to a peace officer or police officer is construed as a reference to an inspector.
- (2) If the thing seized is a living plant or animal, the inspector may return such animal to its country of origin or release that plant or animal in an appropriate place in Namibia or deal therewith in any manner conducive to the well-being thereof, regardless of any rights in that thing that has not been conclusively determined but this subsection does not affect a claim of compensation for an unlawful seizure or disposal.
- (3) Anything forfeited to the State as contemplated in this section must, if circumstances permit
 - (a) be returned to the country of export or origin of the specimen as appropriate and any cost incurred in relation thereto may be recovered from any person convicted of an offence under this Act if the offence relates to the specimen concerned;
 - (b) be deposited in an appropriate institution, collection or museum or otherwise disposed of in a manner that benefits conservation.

Regulations

- **9.** (1) The Minister may make regulations –
- (a) prescribing the forms on which application must be made for permits or certificates required by this Act;
- (b) prescribing the procedures with which an applicant for a permit or certificate must comply;
- (c) prescribing any matter relating to the packaging of any specimen;
- (d) prescribing requirements with which persons who keep live specimens must comply in order to promote the well-being of the specimen concerned;
- (e) prescribing any procedure that must be followed when any animal, plant or any part thereof or specimen thereof is imported, exported or introduced from the sea;
- (f) prescribing that any person who possesses, deals in, manufactures, imports or exports any controlled wildlife product or specimen (whether that person requires a permit or not) must keep records in the prescribed form and manner;

- (g) prescribing the manner that a prescribed class of specimens may or must be marked, prohibiting the tampering with or the performance of any action with respect to such mark and prescribing any other matter relating to such mark;
- (h) prescribing fees for the issuing of permits, registration or other administrative actions connected with the implementation of this Act;
- (i) prescribing any matter that is necessary or expedient to prescribe in order to achieve the objects of this Act;
- (2) Regulations made under subsection (1) may create offences with a penalty that does not exceed a fine of N\$8 000 or two years imprisonment or both such fine and such imprisonment.

Delegation of powers

- **10.** (1) Any power –
- (a) vesting in the Minister (except the power to make regulations);
- (b) vesting in the committee,

may be delegated to any staff member in the Ministry.

- (2) When a power is delegated under this section, the authority that delegates the power concerned, may delegate any class of the powers vesting in that authority under such conditions as may be expedient.
- (3) When powers are delegated under this section, the authority that delegates such powers, may issue directives circumscribing any issue with relation to the exercise of that power as may appear expedient.
- (4) A delegation in terms of this section may at any time be withdrawn or amended.
- (5) The committee may assign the research of any technical question to any person (whether a member or not) under such conditions as may be agreed with that person.
- (6) A person to whom the research of a technical matter has been assigned as contemplated in subsection (5), must prepare a report on the research conducted to be submitted to the committee.
- (7) If the committee adopts the report referred to in subsection (6), it is deemed that the committee has conducted the research in question.

Amendment of Schedules

- 11. (1) Whenever Appendices I, II or III is amended, the Minister must by notice in the *Gazette* amend Schedule 3 accordingly.
- (2) For the purpose of criminal liability or the seizure or forfeiture of any article, an amendment to Schedule 3 takes effect on the date when the notice is published as contemplated in subsection (1) or on a later date specified in that notice.

- (3) The Minister may amend Schedule 1 by notice in the *Gazette*.
- (4) When the Minister amends Schedule 1, the Minister may specify in respect of any animal, plant, part, product or substance that
 - (a) the possession thereof is unlawful;
 - (b) dealing therein is unlawful;
 - (c) the import thereof is unlawful;
 - (d) the export thereof is unlawful,

or that more than one of these actions are unlawful.

- (5) When controlled wildlife products are specified as contemplated in this section, the Minister may distinguish between
 - (a) different species, varieties or populations of plants or animals;
 - (b) the country or region from which the animal or plant originates;
 - (c) the product or substance for which the act in question is specified;
 - (d) the process used when the animal or plant has been caught or collected or the process used in extracting or manufacturing the product or substance; or
 - (e) any other criterion that is relevant for the achievement of the objects of this Act.
- (6) When the Minister amends Schedule 1, any act referred to in subsection (4), may be made conditional upon the compliance with any formalities relating to the registration, marking or any other action that will facilitate the identification of any specific specimen.

Jurisdiction of magistrate's court

12. Despite any provision of any other law, a magistrate's court has the power to impose any sentence or make any order in respect of an offence under this Act.

Repeal of laws and transitional provisions

- **13.** (1) The Controlled Game Products Proclamation, 1980 (Proclamation AG. 42 of 1980), is repealed.
- (2) Any person who has possessed anything lawfully before this Act comes into operation or before Schedule 1 or 3 is amended in such a manner that the person concerned would require a permit after such amendment, must apply for a permit authorising such possession within six months from such commencement or amendment.
- (3) The provisions of subsection (2) apply to a person who is the holder of a permit issued in terms of the law repealed by subsection (1).

(4) If a person contemplated in subsection (2) or (3) has failed to apply within the period provided for in subsection (2), the possession in question is deemed to be possession without a permit as contemplated in section 4(1).

Short title and commencement

14. This Act is called the Controlled Wildlife Products and Trade Act, 2008 and comes into operation on a date determined by the Minister by notice in the *Gazette*.

SCHEDULE 1 CONTROLLED WILDLIFE PRODUCTS

(Section 1)

- 1. Subject to paragraph 2 and 3 no person may possess, manufacture any object from, deal in, import into, or export from Namibia any tusk, horn, head, ear, trunk, skin, tail or foot or any part thereof, of any elephant or rhinoceros, or any part of any species or other specimen mentioned in Appendix I unless the action in question is authorised by a permit.
- 2. Paragraph 1 does not apply to the possession of up to five items of worked ivory with a total weight of less than 1 kg for personal use only.
- 3. Paragraph 1 does not apply to omakipa or other ivory carvings that are possessed or transferred in accordance with the customary law or the long-standing customs of any group of people indigenous to Namibia.
- 4. Paragraph 1 does not authorise the sale of the items referred to in that paragraph to any person who does not possess that item in accordance with such customary law or custom.
- 5. Subject to paragraph 7, no person may possess, deal in, import or export to or from Namibia any live mammal of the order carnivora, without a permit authorising the action in question.
- 6. Subject to paragraph 7, no person may import or export to or from Namibia any specimen derived from a mammal of the order carnivora without a permit authorising the action in question.
- 7. Paragraph 5 and 6 do not apply to any domesticated form of mammal of the order carnivora.

SCHEDULE 2 TEXT OF CONVENTION

(Section 1)

The Contracting States,

Recognizing that wild fauna and flora in their many beautiful and varied forms are an irreplaceable part of the natural systems of the earth which must be protected for this and the generations to come;

Conscious of the ever-growing value of wild fauna and flora from aesthetic, scientific, cultural, recreational and economic points of view;

Recognizing that peoples and States are and should be the best protectors of their own wild fauna and flora;

Recognizing, in addition, that international co-operation is essential for the protection of certain species of wild fauna and flora against over-exploitation through international trade; Convinced of the urgency of taking appropriate measures to this end; Have agreed as follows:

Article I Definitions

For the purpose of the present Convention, unless the context otherwise requires:

- (a) "Species" means any species, subspecies, or geographically separate population thereof;
- (b) "Specimen" means:
 - (i) any animal or plant, whether alive or dead;
 - (ii) in the case of an animal: for species included in Appendices I and II, any readily recognizable part or derivative thereof; and for species included in Appendix III, any readily recognizable part or derivative thereof specified in Appendix III in relation to the species; and
 - (iii) in the case of a plant: for species included in Appendix I, any readily recognizable part or derivative thereof; and for species included in Appendices II and III, any readily recognizable part or derivative thereof specified in Appendices II and III in relation to the species;
- (c) "Trade" means export, re-export, import and introduction from the sea;
- (d) "Re-export" means export of any specimen that has previously been imported;
- (e) "Introduction from the sea" means transportation into a State of specimens of any species which were taken in the marine environment not under the jurisdiction of any State;
- (f) "Scientific Authority" means a national scientific authority designated in accordance with Article IX;
- (g) "Management Authority" means a national management authority designated in accordance with Article IX;
- (h) "Party" means a State for which the present Convention has entered into force.

Article II Fundamental Principles

- 1. Appendix I shall include all species threatened with extinction which are or may be affected by trade. Trade in specimens of these species must be subject to particularly strict regulation in order not to endanger further their survival and must only be authorized in exceptional circumstances.
 - 2. Appendix II shall include:
 - (a) all species which although not necessarily now threatened with extinction may become so unless trade in specimens of such species is subject to strict regulation in order to avoid utilization incompatible with their survival; and
 - (b) other species which must be subject to regulation in order that trade in specimens of certain species referred to in sub-paragraph (a) of this paragraph may be brought under effective control.
- 3. Appendix III shall include all species which any Party identifies as being subject to regulation within its jurisdiction for the purpose of preventing or restricting exploitation, and as needing the co-operation of other Parties in the control of trade.
- 4. The Parties shall not allow trade in specimens of species included in Appendices I, II and III except in accordance with the provisions of the present Convention.

Article III

Regulation of Trade in Specimens of Species Included in Appendix I

- 1. All trade in specimens of species included in Appendix I shall be in accordance with the provisions of this Article.
- 2. The export of any specimen of a species included in Appendix I shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
 - (b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora;
 - (c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
 - (d) a Management Authority of the State of export is satisfied that an import permit has been granted for the specimen.
- 3. The import of any specimen of a species included in Appendix I shall require the prior grant and presentation of an import permit and either an export permit or a re-export certificate. An import permit shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of import has advised that the import will be for purposes which are not detrimental to the survival of the species involved;
- (b) a Scientific Authority of the State of import is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it: and
- (c) a Management Authority of the State of import is satisfied that the specimen is not to be used for primarily commercial purposes.
- 4. The re-export of any specimen of a species included in Appendix I shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:
 - (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention;
 - (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
 - (c) a Management Authority of the State of re-export is satisfied that an import permit has been granted for any living specimen.
- 5. The introduction from the sea of any specimen of a species included in Appendix I shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved;
 - (b) a Management Authority of the State of introduction is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and
 - (c) a Management Authority of the State of introduction is satisfied that the specimen is not to be used for primarily commercial purposes.

Article IV

Regulation of Trade in Specimens of Species Included in Appendix II

- 1. All trade in specimens of species included in Appendix II shall be in accordance with the provisions of this Article.
- 2. The export of any specimen of a species included in Appendix II shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;

- (b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
- (c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.
- 3. A Scientific Authority in each Party shall monitor both the export permits granted by that State for specimens of species included in Appendix II and the actual exports of such specimens. Whenever a Scientific Authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystems in which it occurs and well above the level at which that species might become eligible for inclusion in Appendix I, the Scientific Authority shall advise the appropriate Management Authority of suitable measures to be taken to limit the grant of export permits for specimens of that species.
- 4. The import of any specimen of a species included in Appendix II shall require the prior presentation of either an export permit or a re-export certificate.
- 5. The re-export of any specimen of a species included in Appendix II shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:
 - (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention; and
 - (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.
- 6. The introduction from the sea of any specimen of a species included in Appendix II shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved; and
 - (b) a Management Authority of the State of introduction is satisfied that any living specimen will be so handled as to minimize the risk of injury, damage to health or cruel treatment.
- 7. Certificates referred to in paragraph 6 of this Article may be granted on the advice of a Scientific Authority, in consultation with other national scientific authorities or, when appropriate, international scientific authorities, in respect of periods not exceeding one year for total numbers of specimens to be introduced in such periods.

Article V Regulation of Trade in Specimens of Species Included in Appendix III

- 1. All trade in specimens of species included in Appendix III shall be in accordance with the provisions of this Article.
- 2. The export of any specimen of a species included in Appendix III from any State which has included that species in Appendix III shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:
 - (a) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
 - (b) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.
- 3. The import of any specimen of a species included in Appendix III shall require, except in circumstances to which paragraph 4 of this Article applies, the prior presentation of a certificate of origin and, where the import is from a State which has included that species in Appendix III, an export permit.
- 4. In the case of re-export, a certificate granted by the Management Authority of the State of re-export that the specimen was processed in that State or is being re-exported shall be accepted by the State of import as evidence that the provisions of the present Convention have been complied with in respect of the specimen concerned.

Article VI Permits and Certificates

- 1. Permits and certificates granted under the provisions of Articles III, IV, and V shall be in accordance with the provisions of this Article.
- 2. An export permit shall contain the information specified in the model set forth in Appendix IV, and may only be used for export within a period of six months from the dateon which it was granted.
- 3. Each permit or certificate shall contain the title of the present Convention, the name and any identifying stamp of the Management Authority granting it and a control number assigned by the Management Authority.
- 4. Any copies of a permit or certificate issued by a Management Authority shall be clearly marked as copies only and no such copy may be used in place of the original, except to the extent endorsed thereon.
- 5. A separate permit or certificate shall be required for each consignment of specimens.
- 6. A Management Authority of the State of import of any specimen shall cancel and retain the export permit or re-export certificate and any corresponding import permit presented in respect of the import of that specimen.

7. Where appropriate and feasible a Management Authority may affix a mark upon any specimen to assist in identifying the specimen. For these purposes "mark" means any indelible imprint, lead seal or other suitable means of identifying a specimen, designed in such a way as to render its imitation by unauthorized persons as diffcult as possible.

Article VII Exemptions and Other Special Provisions Relating to Trade

- 1. The provisions of Articles III, IV and V shall not apply to the transit or transhipment of specimens through or in the territory of a Party while the specimens remain in Customs control.
- 2. Where a Management Authority of the State of export or re-export is satisfied that a specimen was acquired before the provisions of the present Convention applied to that specimen, the provisions of Articles III, IV and V shall not apply to that specimen where the Management Authority issues a certificate to that effect.
- 3. The provisions of Articles III, IV and V shall not apply to specimens that are personal or household effects. This exemption shall not apply where:
 - (a) in the case of specimens of a species included in Appendix I, they were acquired by the owner outside his State of usual residence, and are being imported into that State; or
 - (b) in the case of specimens of species included in Appendix II:
 - (i) they were acquired by the owner outside his State of usual residence and in a State where removal from the wild occurred;
 - (ii) they are being imported into the owner's State of usual residence; and
 - (iii) the State where removal from the wild occurred requires the prior grant of export permits before any export of such specimens; unless a Management Authority is satisfied that the specimens were acquired before the provisions of the present Convention applied to such specimens.
- 4. Specimens of an animal species included in Appendix I bred in captivity for commercial purposes, or of a plant species included in Appendix I artificially propagated for commercial purposes, shall be deemed to be specimens of species included in Appendix II.
- 5. Where a Management Authority of the State of export is satisfied that any specimen of an animal species was bred in captivity or any specimen of a plant species was artificially propagated, or is a part of such an animal or plant or was derived there from, a certificate by that Management Authority to that effect shall be accepted in lieu of any of the permits or certificates required under the provisions of Article III, IV or V. VII6 VII6
- 6. The provisions of Articles III, IV and V shall not apply to the non-commercial loan, donation or exchange between scientists or scientific institutions

registered by a Management Authority of their State, of herbarium specimens, other preserved, dried or embedded museum specimens, and live plant materials which carry a label issued or approved by a Management Authority.

- 7. A Management Authority of any State may waive the requirements of Articles III, IV and V and allow the movement without permits or certificates of specimens which form part of a travelling zoo, circus, menagerie, plant exhibition or other travelling exhibition provided that:
 - (a) the exporter or importer registers full details of such specimens with that Management Authority;
 - (b) the specimens are in either of the categories specified in paragraph 2 or 5 of this Article; and
 - (c) the Management Authority is satisfied that any living specimen will be so transported and cared for as to minimize the risk of injury, damage to health or cruel treatment.

Article VIII Measures to Be Taken by the Parties

- 1. The Parties shall take appropriate measures to enforce the provisions of the present Convention and to prohibit trade in specimens in violation thereof. These shall include measures:
 - (a) to penalize trade in, or possession of, such specimens, or both; and
 - (b) to provide for the confiscation or return to the State of export of such specimens.
- 2. In addition to the measures taken under paragraph 1 of this Article, a Party may, when it deems it necessary, provide for any method of internal reimbursement for expenses incurred as a result of the confiscation of a specimen traded in violation of the measures taken in the application of the provisions of the present Convention.
- 3. As far as possible, the Parties shall ensure that specimens shall pass through any formalities required for trade with a minimum of delay. To facilitate such passage, a Party may designate ports of exit and ports of entry at which specimens must be presented for clearance. The Parties shall ensure further that all living specimens, during any period of transit, holding or shipment, are properly cared for so as to minimize the risk of injury, damage to health or cruel treatment.
- 4. Where a living specimen is confiscated as a result of measures referred to in paragraph 1 of this Article:
 - (a) the specimen shall be entrusted to a Management Authority of the State of confiscation:
 - (b) the Management Authority shall, after consultation with the State of export, return the specimen to that State at the expense of that State, or to a rescue centre or such other place as the Management Authority deems appropriate and consistent with the purposes of the present Convention; and

- (c) the Management Authority may obtain the advice of a Scientific Authority, or may, whenever it considers it desirable, consult the Secretariat in order to facilitate the decision under sub-paragraph (b) of this paragraph, including the choice of a rescue centre or other place.
- 5. A rescue centre as referred to in paragraph 4 of this Article means an institution designated by a Management Authority to look after the welfare of living specimens, particularly those that have been confiscated. VIII6 VIII6
- 6. Each Party shall maintain records of trade in specimens of species included in Appendices I, II and III which shall cover:
 - (a) the names and addresses of exporters and importers; and
 - (b) the number and type of permits and certificates granted; the States with which such trade occurred; the numbers or quantities and types of specimens, names of species as included in Appendices I, II and III and, where applicable, the size and sex of the specimens in question. VIII7 VIII7
- 7. Each Party shall prepare periodic reports on its implementation of the present Convention and shall transmit to the Secretariat:
 - (a) an annual report containing a summary of the information specified in subparagraph (b) of paragraph 6 of this Article; and
 - (b) a biennial report on legislative, regulatory and administrative measures taken to enforce the provisions of the present Convention.
- 8. The information referred to in paragraph 7 of this Article shall be available to the public where this is not inconsistent with the law of the Party concerned.

Article IX Management and Scientific Authorities

- 1. Each Party shall designate for the purposes of the present Convention:
- (a) one or more Management Authorities competent to grant permits or certificates on behalf of that Party; and
- (b) one or more Scientific Authorities.
- 2. A State depositing an instrument of ratification, acceptance, approval or accession shall at that time inform the Depositary Government of the name and address of the Management Authority authorized to communicate with other Parties and with the Secretariat.
- 3. Any changes in the designations or authorizations under the provisions of this Article shall be communicated by the Party concerned to the Secretariat for transmission to all other Parties.
- 4. Any Management Authority referred to in paragraph 2 of this Article shall, if so requested by the Secretariat or the Management Authority of another Party, communicate to it impression of stamps, seals or other devices used to authenticate permits or certificates.

Article X Trade with States not Party to the Convention

Where export or re-export is to, or import is from, a State not a Party to the present Convention, comparable documentation issued by the competent authorities in that State which substantially conforms with the requirements of the present Convention for permits and certificates may be accepted in lieu thereof by any Party.

Article XI Conference of the Parties

- 1. The Secretariat shall call a meeting of the Conference of the Parties not later than two years after the entry into force of the present Convention.
- 2. Thereafter the Secretariat shall convene regular meetings at least once every two years, unless the Conference decides otherwise and extraordinary meetings at any time on the written request of at least one-third of the Parties.
- 3. At meetings, whether regular or extraordinary, the Parties shall review the implementation of the present Convention and may:
 - (a) make such provision as may be necessary to enable the Secretariat to carry out its duties, and adopt financial provisions;
 - (b) consider and adopt amendments to Appendices I and II in accordance with Article XV;
 - (c) review the progress made towards the restoration and conservation of the species included in Appendices I, II and III;
 - (d) receive and consider any reports presented by the Secretariat or by any Party; and
 - (e) where appropriate, make recommendations for improving the effectiveness of the present Convention.
- 4. At each regular meeting, the Parties may determine the time and venue of the next regular meeting to be held in accordance with the provisions of paragraph 2 of this Article.
- 5. At any meeting, the Parties may determine and adopt rules of procedure for the meeting.
- 6. The United Nations, its Specialized Agencies and the International Atomic Energy Agency, as well as any State not a Party to the present Convention, may be represented at meetings of the Conference by observers, who shall have the right to participate but not to vote.
- 7. Any body or agency technically qualified in protection, conservation or management of wild fauna and flora, in the following categories, which has informed the Secretariat of its desire to be represented at meetings of the Conference by observers, shall be admitted unless at least one-third of the Parties present object:
 - (a) international agencies or bodies, either governmental or nongovernmental, and national governmental agencies and bodies; and

(b) national non-governmental agencies or bodies which have been approved for this purpose by the State in which they are located. Once admitted, these observers shall have the right to participate but not to vote.

Article XII The Secretariat

- 1. Upon entry into force of the present Convention, a Secretariat shall be provided by the Executive Director of the United Nations Environment Programme. To the extent and in the manner he considers appropriate, he may be assisted by suitable inter-governmental or non-governmental international or national agencies and bodies technically qualified in protection, conservation and management of wild fauna and flora.
 - 2. The functions of the Secretariat shall be:
 - (a) to arrange for and service meetings of the Parties;
 - (b) to perform the functions entrusted to it under the provisions of Articles XV and XVI of the present Convention;
 - (c) to undertake scientific and technical studies in accordance with programmes authorized by the Conference of the Parties as will contribute to the implementation of the present Convention, including studies concerning standards for appropriate preparation and shipment of living specimens and the means of identifying specimens;
 - (d) to study the reports of Parties and to request from Parties such further information with respect thereto as it deems necessary to ensure implementation of the present Convention;
 - (e) to invite the attention of the Parties to any matter pertaining to the aims of the present Convention;
 - (f) to publish periodically and distribute to the Parties current editions of Appendices I, II and III together with any information which will facilitate identification of specimens of species included in those Appendices;
 - (g) to prepare annual reports to the Parties on its work and on the implementation of the present Convention and such other reports as meetings of the Parties may request;
 - (h) to make recommendations for the implementation of the aims and provisions of the present Convention, including the exchange of information of a scientific or technical nature;
 - (i) to perform any other function as may be entrusted to it by the Parties.

Article XIII International Measures

1. When the Secretariat in the light of information received is satisfied that any species included in Appendix I or II is being affected adversely by trade in specimens of that species or that the provisions of the present Convention are not being effectively

implemented, it shall communicate such information to the authorized Management Authority of the Party or Parties concerned.

- 2. When any Party receives a communication as indicated in paragraph 1 of this Article, it shall, as soon as possible, inform the Secretariat of any relevant facts insofar as its laws permit and, where appropriate, propose remedial action. Where the Party considers that an inquiry is desirable, such inquiry may be carried out by one or more persons expressly authorized by the Party.
- 3. The information provided by the Party or resulting from any inquiry as specified in paragraph 2 of this Article shall be reviewed by the next Conference of the Parties which may make whatever recommendations it deems appropriate.

Article XIV

Effect on Domestic Legislation and International Conventions

- 1. The provisions of the present Convention shall in no way affect the right of Parties to adopt:
 - (a) stricter domestic measures regarding the conditions for trade, taking, possession or transport of specimens of species included in Appendices I, II and III, or the complete prohibition thereof; or
 - (b) domestic measures restricting or prohibiting trade, taking, possession or transport of species not included in Appendix I, II or III.
- 2. The provisions of the present Convention shall in no way affect the provisions of any domestic measures or the obligations of Parties deriving from any treaty, convention, or international agreement relating to other aspects of trade, taking, possession or transport of specimens which is in force or subsequently may enter into force for any Party including any measure pertaining to the Customs, public health, veterinary or plant quarantine fields.
- 3. The provisions of the present Convention shall in no way affect the provisions of, or the obligations deriving from, any treaty, convention or international agreement concluded or which may be concluded between States creating a union or regional trade agreement establishing or maintaining a common external Customs control and removing Customs control between the parties thereto insofar as they relate to trade among the States members of that union or agreement.
- 4. A State party to the present Convention, which is also a party to any other treaty, convention or international agreement which is in force at the time of the coming into force of the present Convention and under the provisions of which protection is afforded to marine species included in Appendix II, shall be relieved of the obligations imposed on it under the provisions of the present Convention with respect to trade in specimens of species included in Appendix II that are taken by ships registered in that State and in accordance with the provisions of such other treaty, convention or international agreement.
- 5. Notwithstanding the provisions of Articles III, IV and V, any export of a specimen taken in accordance with paragraph 4 of this Article shall only require a certificate from a Management Authority of the State of introduction to the effect that the specimen was taken in accordance with the provisions of the other treaty, convention or international agreement in question.

6. Nothing in the present Convention shall prejudice the codification and development of the law of the sea by the United Nations Conference on the Law of the Sea convened pursuant to Resolution 2750 C (XXV) of the General Assembly of the United Nations nor the present or future claims and legal views of any State concerning the law of the sea and the nature and extent of coastal and flag State jurisdiction.

Article XV Amendments to Appendices I and II

- 1. The following provisions shall apply in relation to amendments to Appendices I and II at meetings of the Conference of the Parties:
 - (a) Any Party may propose an amendment to Appendix I or II for consideration at the next meeting. The text of the proposed amendment shall be communicated to the Secretariat at least 150 days before the meeting. The Secretariat shall consult the other Parties and interested bodies on the amendment in accordance with the provisions of sub-paragraphs (b) and (c) of paragraph 2 of this Article and shall communicate the response to all Parties not later than 30 days before the meeting.
 - (b) Amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes "Parties present and voting" means Parties present and casting an affrmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.
 - (c) Amendments adopted at a meeting shall enter into force 90 days after that meeting for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
- 2. The following provisions shall apply in relation to amendments to Appendices I and II between meetings of the Conference of the Parties:
 - (a) Any Party may propose an amendment to Appendix I or II for consideration between meetings by the postal procedures set forth in this paragraph.
 - (b) For marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties. It shall also consult inter-governmental bodies having a function in relation to those species especially with a view to obtaining scientific data these bodies may be able to provide and to ensuring co-ordination with any conservation measures enforced by such bodies. The Secretariat shall communicate the views expressed and data provided by these bodies and its own findings and recommendations to the Parties as soon as possible.
 - (c) For species other than marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties, and, as soon as possible thereafter, its own recommendations.
 - (d) Any Party may, within 60 days of the date on which the Secretariat communicated its recommendations to the Parties under sub-paragraph (b) or (c) of this paragraph, transmit to the Secretariat any comments on

the proposed amendment together with any relevant scientific data and information.

- (e) The Secretariat shall communicate the replies received together with its own recommendations to the Parties as soon as possible.
- (f) If no objection to the proposed amendment is received by the Secretariat within 30 days of the date the replies and recommendations were communicated under the provisions of sub-paragraph (e) of this paragraph, the amendment shall enter into force 90 days later for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
- (g) If an objection by any Party is received by the Secretariat, the proposed amendment shall be submitted to a postal vote in accordance with the provisions of sub-paragraphs (h), (i) and (j) of this paragraph.
- (h) The Secretariat shall notify the Parties that notification of objection has been received.
- (i) Unless the Secretariat receives the votes for, against or in abstention from at least one-half of the Parties within 60 days of the date of notification under sub-paragraph (h) of this paragraph, the proposed amendment shall be referred to the next meeting of the Conference for further consideration.
- (j) Provided that votes are received from one-half of the Parties, the amendment shall be adopted by a two-thirds majority of Parties casting an affirmative or negative vote.
- (k) The Secretariat shall notify all Parties of the result of the vote.
- (1) If the proposed amendment is adopted it shall enter into force 90 days after the date of the notification by the Secretariat of its acceptance for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
- 3. During the period of 90 days provided for by sub-paragraph (c) of paragraph 1 or sub-paragraph (l) of paragraph 2 of this Article any Party may by notification in writing to the Depositary Government make a reservation with respect to the amendment. Until such reservation is withdrawn the Party shall be treated as a State not a Party to the present Convention with respect to trade in the species concerned.

Article XVI Appendix III and Amendments thereto

1. Any Party may at any time submit to the Secretariat a list of species which it identifies as being sub ject to regulation within its jurisdiction for the purpose mentioned in paragraph 3 of Article II. Appendix III shall include the names of the Parties submitting the species for inclusion therein, the scientific names of the species so submitted, and any parts or derivatives of the animals or plants concerned that are specified in relation to the species for the purposes of sub-paragraph (b) of Article I.

- 2. Each list submitted under the provisions of paragraph 1 of this Article shall be communicated to the Parties by the Secretariat as soon as possible after receiving it. The list shall take effect as part of Appendix III 90 days after the date of such communication. At any time after the communication of such list, any Party may by notification in writing to the Depositary Government enter a reservation with respect to any species or any parts or derivatives, and until such reservation is withdrawn, the State shall be treated as a State not a Party to the present Convention with respect to trade in the species or part or derivative concerned.
- 3. A Party which has submitted a species for inclusion in Appendix III may withdraw it at any time by notification to the Secretariat which shall communicate the withdrawal to all Parties. The withdrawal shall take effect 30 days after the date of such communication.
- 4. Any Party submitting a list under the provisions of paragraph 1 of this Article shall submit to the Secretariat a copy of all domestic laws and regulations applicable to the protection of such species, together with any interpretations which the Party may deem appropriate or the Secretariat may request. The Party shall, for as long as the species in question is included in Appendix III, submit any amendments of such laws and regulations or any interpretations as they are adopted.

Article XVII Amendment of the Convention

- 1. An extraordinary meeting of the Conference of the Parties shall be convened by the Secretariat on the written request of at least one-third of the Parties to consider and adopt amendments to the present Convention. Such amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes "Parties present and voting" means Parties present and casting an affrmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.
- 2. The text of any proposed amendment shall be communicated by the Secretariat to all Parties at least 90 days before the meeting.
- 3. An amendment shall enter into force for the Parties which have accepted it 60 days after two-thirds of the Parties have deposited an instrument of acceptance of the amendment with the Depositary Government. Thereafter, the amendment shall enter into force for any other Party 60 days after that Party deposits its instrument of acceptance of the amendment.

Article XVIII Resolution of Disputes

- 1. Any dispute which may arise between two or more Parties with respect to the interpretation or application of the provisions of the present Convention shall be subject to negotiation between the Parties involved in the dispute.
- 2. If the dispute can not be resolved in accordance with paragraph 1 of this Article, the Parties may, by mutual consent, submit the dispute to arbitration, in particular that of the Permanent Court of Arbitration at The Hague, and the Parties submitting the dispute shall be bound by the arbitral decision.

Article XIX Signature

The present Convention shall be open for signature at Washington until 30th April 1973 and thereafter at Berne until 31st December 1974.

Article XX Ratification, Acceptance, Approval

The present Convention shall be subject to ratification, acceptance or approval. Instruments of ratification, acceptance or approval shall be deposited with the Government of the Swiss Confederation which shall be the Depositary Government.

Article XXI Accession

The present Convention shall be open indefinitely for accession. Instruments of accession shall be deposited with the Depositary Government.

Article XXII Entry into Force

- 1. The present Convention shall enter into force 90 days after the date of deposit of the tenth instrument of ratification, acceptance, approval or accession, with the Depositary Government.
- 2. For each State which ratifies, accepts or approves the present Convention or accedes thereto after the deposit of the tenth instrument of ratification, acceptance, approval or accession, the present Convention shall enter into force 90 days after the deposit by such State of its instrument of ratification, acceptance, approval or accession.

Article XXIII Reservations

- 1. The provisions of the present Convention shall not be subject to general reservations. Specific reservations may be entered in accordance with the provisions of this Article and Articles XV and XVI.
- 2. Any State may, on depositing its instrument of ratification, acceptance, approval or accession, enter a specific reservation with regard to:
 - (a) any species included in Appendix I, II or III; or
 - (b) any parts or derivatives specified in relation to a species included in Appendix III.
- 3. Until a Party withdraws its reservation entered under the provisions of this Article, it shall be treated as a State not a Party to the present Convention with respect to trade in the particular species or parts or derivatives specified in such reservation.

Article XXIV Denunciation

Any Party may denounce the present Convention by written notification to the Depositary Government at any time. The denunciation shall take effect twelve months after the Depositary Government has received the notification.

Article XXV Depositary

- 1. The original of the present Convention, in the Chinese, English, French, Russian and Spanish languages, each version being equally authentic, shall be deposited with the Depositary Government, which shall transmit certified copies thereof to all States that have signed it or deposited instruments of accession to it.
- 2. The Depositary Government shall inform all signatory and acceding States and the Secretariat of signatures, deposit of instruments of ratification, acceptance, approval or accession, entry into force of the present Convention, amendments thereto, entry and withdrawal of reservations and notifications of denunciation.
- 3. As soon as the present Convention enters into force, a certified copy thereof shall be transmitted by the Depositary Government to the Secretariat of the United Nations for registration and publication in accordance with Article 102 of the Charter of the United Nations.

In witness whereof the undersigned Plenipotentiaries, being duly authorized to that effect, have signed the present Convention.

Done at Washington this third day of March, One Thousand Nine Hundred and Seventy-three.

SCHEDULE 3 APPENDICES TO CONVENTION (Section 1)

Species included in these Appendices are referred to:

- a) by the name of the species; or
- b) as being all of the species included in a higher taxon or designated part thereof.

The abbreviation "spp" is used to denote all species of a higher taxon.

Other references to taxa higher than species are for the purposes of information or classification only.

The common names included after the scientific names of families are for reference only. They are intended to indicate the species within the family concerned that are included in the Appendices. In most cases this is not all of the species within the family.

The following abbreviations are used for plant taxa below the level of species:

- a) "ssp." is used to denote subspecies; and
- b) "var(s)" is used to denote variety (varieties).

As none of the species or higher taxa of FLORA included in Appendix I is annotated to the effect that its hybrids shall be treated in accordance with the provisions of Article III of the Convention, this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers of these hybrids are not subject to the provisions of the Convention.

The names of the countries in parentheses placed against the names of species in Appendix III are those of the Parties submitting these species for inclusion in this Appendix.

When a species is included in one of the Appendices, all parts and derivatives of the species are also included in the same Appendix unless the species is annotated to indicate that only specific parts and derivatives are included. The symbol "#" followed by a number placed against the name of a species or higher taxon included in Appendix II or III refers to a footnote that indicates the parts or derivatives of plants that are designated as 'specimens' subject to the provisions of the Convention in accordance with Article I, paragraph (b), subparagraph (iii).

Any number following a species (that is not preceded with the sign "#" as contemplated in the previous paragraph) in the table below refers to the footnote with the corresponding number following the table.

These notes are also part of the Schedule and must be read with the table below.

Appendix I	Appendix II	Appendix III
	FAUNA (ANIMALS)	
	PHYLUMCHORDAT.	A
CL	ASS MAMMALIA (MAMMA	ALS)
ARTIODACTYLA		
Antilocapridae Pronghorn		
Antilocapra americana (Only the population of Mexico; no other population is included in the Appendices)		
Bovidae Antelopes, cattle, duil	kers, gazelles, goats, sheep, etc.	
Addax nasomaculatus		
	Ammotragus lervia	
		Antilope cervicapra (Nepal)
	Bison bison athabascae	
Bos gaurus (Excludes the domesticated form, which is referenced as Bos frontalis, and is not subject to the provisions of the Convention)		

	T	1
Bos mutus (Excludes the		
domesticated form, which is		
referenced as <i>Bos grunniens</i> , and is not subject to the		
provisions of the Convention)		
Bos sauveli		
Bos sauven		Pubalus armas (Nopel)
		Bubalus arnee (Nepal) (Excludes the domesticated form, which is referenced as Bubalus bubalis)
Bubalus depressicornis		
Bubalus mindorensis		
Bubalus quarlesi		
	Budorcas taxicolor	
Capra falconeri		
Capricornis milneedwardsii		
Capricornis rubidus		
Capricornis sumatraensis		
Capricornis thar		
Capiteornis inai	Cephalophus brookei	
Control	Cephalophus dorsalis	
Cephalophus jentinki	C - 1 - 1 - 1 · · · · · · · ·	
	Cephalophus ogilbyi	
	Cephalophus silvicultor	
	Cephalophus zebra	
	Damaliscus pygargus pygargus	
Gazel la cuvieri		
		Gazel la dorcas (Algeria, Tunisia)
Gazel la leptoceros		
Hippotragus niger variani		
	Kobus leche	
Naemorhedus baileyi		
Naemorhedus caudatus		
Naemorhedus goral		
Naemorhedus griseus		
Nanger dama		
Oryx dammah		
Oryx leucoryx		
	Ovis ammon (Except the	
	subspecies included in Appendix I)	
Ovis ammon hodgsonii		
Ovis ammon nigrimontana		
_	Ovis canadensis (Only the population of Mexico; no other population is included	
	in the Appendices)	

Ovis orientalis ophion		
	Ovis vignei (Except the subspecies included in Appendix I)	
Ovis vignei vignei		
Pantholops hodgsonii		
	Philantomba monticola	
Pseudoryx nghetinhensis		
Rupicapra pyrenaica ornata		
	Saiga borealis	
	Saiga tatarica	
		Tetracerus quadricornis (Nepal)
Camelidae Guanaco, vicuna		
	Lama glama guanicoe	
Vicugna vicugna (Except the populations of: Argentina [the populations of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rio ja and San Juan]; Bolivia [the whole population]; Chile [population of the Primera Regiön]; and Peru [the whole population]; which are included in Appendix II)	Vicugna vicugna (Only the populations of Argentina ¹ [the populations of the Provinces of Jujuy and Cata-marca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rio ja and San Juan]; Bolivia ² [the whole population]; Chile ³ [population of the Primera Región]; Peru ⁴ [the whole population]; all other populations are included in Appendix I)	
Cervidae Deer, guemals, munt	jacs, pudus	
Axis calamianensis		
Axis kuhlii		
Axis porcinus annamiticus		
Blastocerus dichotomus		
	Cervus elaphus bactrianus	Comment
		Cervus elaphus barbarus (Algeria, Tunisia)
Cervus elaphus hanglu		
Dama dama mesopotamica		
Hippocamelus spp.		

		Mazama temama cerasina
Muntiacus crinifrons		(Guatemala)
Muntiacus vuquangensis		
Municus vuquangensis		Odocoileus virginianus mayensis (Guatemala)
Ozotoceros bezoarticus		
	Pudu mephistophiles	
Pudu puda		
Rucervus duvaucelii		
Rucervus eldii		
Hippopotamidae Hippopotamu	ises	
	Hexaprotodon liberiensis	
	Hippopotamus amphibius	
Moschidae Musk deer		
Moschusspp. (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Appendix II)		
	Moschus spp. (Except the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan, which are included in AppendixI)	
Suidae Babirusa, pygmy hog		
Babyrousa babyrussa		
Babyrousa bolabatuensis		
Babyrousa celebensis		
Babyrousa togeanensis		
Sus salvanius		
Tayassuidae Peccaries		
	Tayassuidae spp. (Except the species included in AppendixI and the populations of <i>Pecari tajacu</i> of Mexico and the United States of America, which are not included in the Appendices)	
Catagonus wagneri		
CARNIVORA		
Ailuridae Red panda		
Ailurus fulgens		
Canidae Bush dog, foxes, wolv	/es	1
		Canis aureus (India)
Canis lupus (Only the populations of Bhutan, India, Nepal and Pakistan; all other populations are included in Appendix II)		

	Canis lupus (Except the	
	populations of Bhutan, India,	
	Nepal and Pakistan, which are	
	included in AppendixI)	
	Cerdocyon thous	
	Chrysocyon brachyurus	
	Cuon alpinus	
	Lycalopex culpaeus	
	Lycalopex fulvipes	
	Lycalopex griseus	
	Lycalopex gymnocercus	
Speothos venaticus		
		Vulpes bengalensis (India)
	Vulpes cana	
	vuipes cuita	Vulpes vulpes griffthi (India)
		Vulpes vulpes montana (India)
		Vulpes vulpes pusilla (India)
	Vulnag z and -	varpes varpes pasata (maia)
	Vulpes zerda	
Eupleridae Fossa, falanouc, M		
	Cryptoprocta ferox	
	Eupleres goudotii	
	Fossa fossana	
Felidae Cats		
	Felidae spp. (Except the	
	species included in Appendix I.	
	Specimens of the domesticated	
	form are not subject to the	
	provisions of the Convention)	
Acinonyx jubatus (Annual export quotas for live speci-		
mens and hunting trophies		
are granted as follows:		
Botswana: 5; Namibia: 150;		
Zimbabwe: 50. The trade in		
such specimens is subject to		
the provisions of Article III of the Convention)		
,		
Caracal caracal (Only the population of Asia; all other		
populations are included in		
Appendix II)		
Catopuma temminckii		
Felis nigripes		
Leopardus geoffroyi		
Leopardus jacobitus		
Leopardus pardalis		
Leopardus tigrinus		
Leopardus wiedii		
Lynx pardinus		

Neofelis nebulosa		
Panthera leo persica		
Panthera onca		
Panthera pardus		
Panthera tigris		
Pardofelis marmorata		
Prionailurus bengalensis bengalensis (Only the populations of Bangladesh, India and Thailand; all other populations are included in Appendix II) Prionailurus planiceps		
Prionailurus rubiginosus (Only the population of India; all other populations are included in Appendix II) Puma concolor coryi		
Puma concolor costaricensis		
Puma concolor couguar		
Puma yagouaroundi (Only the populations of Central and North America; all other populations are included in Appendix II)		
Uncia uncia		
Herpestidae Mongooses	I	
		Herpestes edwardsi (India)
		Herpestes fuscus (India)
		Herpestes javanicus auro- punctatus (India)
		Herpestes smithii (India)
		Herpestes urva (India)
		Herpestes vitticollis (India)
Hyaenidae Aardwolf		
		Proteles cristata (Botswana)
Mephitidae Hog-nosed skunk		
	Conepatus humboldtii	
Mustelidae Badgers, martens,	weasels, etc.	
Lutrinae Otters		
	Lutrinae spp. (Except the species included in Appendix I)	
Aonyx capensis microdon (Only the populations of Cameroon and Nigeria; all other populations are included in Appendix II) Enhydra lutris nereis		
2, 01 01 0001 05 1001 005		

Lantua fali		
Lontra felina		
Lontra longicaudis		
Lontra provocax		
Lutra lutra		
Lutra nippon		
Pteronura brasiliensis		
Mustelinae Grisons, honey ba	dger, martens, tayra, weasels	
		Eira barbara (Honduras)
		Galictis vittata (Costa Rica)
		Martes flavigula (India)
		Martes foina intermedia (India)
		Martes gwatkinsii (India)
		Mel livora capensis (Botswana)
		Mustela altaica (India)
		Mustela erminea ferghanae (India)
		Mustela kathiah (India)
Mustela nigripes		
		Mustela sibirica (India)
Odobenidae Walrus		
		Odobenus rosmarus (Canada)
Otariidae Fur seals, sealions		
	Arctocephalus spp. (Except the species included in Appendix I)	
Arctocephalus townsendi		
Phocidae Seals	1	1
	Mirounga leonina	
Monachus spp.		
Procyonidae Coatis, kinkajou,	olingos	
		Bassaricyon gabbii (Costa Rica)
		Bassariscus sumichrasti (Costa Rica)
		Nasua narica (Honduras)
		Nasua nasua solitaria
		(Uruguay)
		(Uruguay) Potos flavus (Honduras)
Ursidae Bears, giant panda		
Ursidae Bears, giant panda	Ursidae spp. (Except the species included in Appendix I)	
Ursidae Bears, giant panda Ailuropoda melanoleuca		
Ailuropoda melanoleuca		

Ursus arctos (Only the populations of Bhutan, China,		
Mexico and Mongolia; all other populations are included		
in Appendix II)		
Ursus arctos isabel linus		
Ursus thibetanus		
Viverridae Binturong, civets, li	nsangs, otter-civet, palm civets	
		Arctictis binturong (India)
		Civettictis civetta (Botswana)
	Cynogale bennettii	
	Hemigalus derbyanus	
		Paguma larvata (India)
		Paradoxurus hermaphroditus (India)
		Paradoxurus jerdoni (India)
	Prionodon linsang	
Prionodon pardicolor		
		Viverra civettina (India)
		Viverra zibetha (India)
		Viverricula indica (India)
CETACEA Dolphins, porpoise	s, whales	
	CETACEA spp. (Except the	
	species included in Appendix	
	I. A zero annual export quota has been established for live	
	specimens from the Black	
	Sea population of Tursiops	
	truncatus removed from the wild and traded for primarily	
	commercial purposes)	
Balaenidae Bowhead whale, ri		
Balaena mysticetus		
Eubalaena spp.		
Balaenopteridae Humpback wh	nale, rorquals	
Balaenoptera acutorostrata	, 1	
(Except the population of West		
Greenland, which is included		
in Appendix II)		
Balaenoptera bonaerensis		
Balaenoptera borealis Balaenoptera edeni		
Balaenoptera musculus		
•		
Balaenoptera physalus		
Megaptera novaeangliae		
Delphinidae Dolphins Orcael la brevirostris		
Sotalia spp.		
Sousa spp.		

Eschrichtiidae Grey whale		
Eschrichtius robustus		
Iniidae River dolphins		
Lipotes vexillifer		
Neobalaenidae Pygmy right wh	nale	
Caperea marginata		
Phocoenidae Porpoises		
Neophocaena phocaenoides		
Phocoena sinus		
Physeteridae Sperm whales		
Physeter catodon		
Platanistidae River dolphins		
Platanistaspp.		
Ziphiidae Beaked whales, bottl	e-nosed whales	
Berardius spp.		
Hyperoodonspp.		
CHIROPTERA		
Phyllostomidae Broad-nosed b	at	
		Platyrrhinus lineatus
		(Uruguay)
Pteropodidae Fruit bats, flying	foxes	
	Acerodon spp. (Except the species included in Appendix I)	
Acerodon jubatus	species included in Appendix	
Acerodon jubatus	species included in Appendix	
Acerodon jubatus Pteropus insularis	species included in Appendix I) Pteropus spp. (Except the species included in Appendix	
	species included in Appendix I) Pteropus spp. (Except the species included in Appendix	
Pteropus insularis	species included in Appendix I) Pteropus spp. (Except the species included in Appendix	
Pteropus insularis Pteropus loochoensis	species included in Appendix I) Pteropus spp. (Except the species included in Appendix	
Pteropus insularis Pteropus loochoensis Pteropus mariannus	species included in Appendix I) Pteropus spp. (Except the species included in Appendix	
Pteropus insularis Pteropus loochoensis Pteropus mariannus Pteropus molossinus	species included in Appendix I) Pteropus spp. (Except the species included in Appendix	
Pteropus insularis Pteropus loochoensis Pteropus mariannus Pteropus molossinus Pteropus pelewensis	species included in Appendix I) Pteropus spp. (Except the species included in Appendix	
Pteropus insularis Pteropus loochoensis Pteropus mariannus Pteropus molossinus Pteropus pelewensis Pteropus pilosus	species included in Appendix I) Pteropus spp. (Except the species included in Appendix	
Pteropus insularis Pteropus loochoensis Pteropus mariannus Pteropus molossinus Pteropus pelewensis Pteropus pilosus Pteropus samoensis	species included in Appendix I) Pteropus spp. (Except the species included in Appendix	
Pteropus insularis Pteropus loochoensis Pteropus mariannus Pteropus molossinus Pteropus pelewensis Pteropus pilosus Pteropus samoensis Pteropus tonganus	species included in Appendix I) Pteropus spp. (Except the species included in Appendix	
Pteropus insularis Pteropus loochoensis Pteropus mariannus Pteropus molossinus Pteropus pelewensis Pteropus pilosus Pteropus samoensis Pteropus tonganus Pteropus ualanus	species included in Appendix I) Pteropus spp. (Except the species included in Appendix	
Pteropus insularis Pteropus loochoensis Pteropus mariannus Pteropus molossinus Pteropus pelewensis Pteropus pilosus Pteropus samoensis Pteropus tonganus Pteropus ualanus Pteropus yapensis	species included in Appendix I) Pteropus spp. (Except the species included in Appendix	
Pteropus insularis Pteropus loochoensis Pteropus mariannus Pteropus molossinus Pteropus pelewensis Pteropus pilosus Pteropus samoensis Pteropus tonganus Pteropus ualanus Pteropus yapensis CINGULATA	species included in Appendix I) Pteropus spp. (Except the species included in Appendix	Cabassous centralis (Costa Rica)

	Chaetophractus nationi (A zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in AppendixI and the trade in them shall be regulated accordingly)	
Priodontes maximus		
DASYUROMORPHIA		
Dasyuridae Dunnarts		
Sminthopsis longicaudata		
Sminthopsis psammophila		
Thylacinidae Tasmanian wolf,	thylacine	
Thylacinus cynocephalus (possibly extinct)		
DIPROTODONTIA		
Macropodidae Kangaroos, wal	labies	
	Dendrolagus inustus	
	Dendrolagus ursinus	
Lagorchestes hirsutus		
Lagostrophus fasciatus		
Onychogalea fraenata		
Onychogalea lunata		
Phalangeridae Cuscuses		
	Phalanger intercastellanus	
	Phalanger mimicus	
	Phalanger orientalis	
	Spilocuscus kraemeri	
	Spilocuscus maculatus	
	Spilocuscus papuensis	
Potoroidae Rat-kangaroos		
Bettongia spp.		
Caloprymnus campestris (possibly extinct)		
Vombatidae Northern hairy-nos	sed wombat	
Lasiorhinus krefftii		
LAGOMORPHA		
Leporidae Hispid hare, volcano	o rabbit	
Caprolagus hispidus		
Romerolagus diazi		
MONOTREMATA		
Tachyglossidae Echidnas, spin	y anteaters	
	Zaglossus spp.	
PERAMELEMORPHIA		
Chaeropodidae Pig-footed band	dicoots	
Chaeropus ecaudatus (possibly extinct)		

Peramelidae Bandicoots, echymiperas		
Perameles bougainville		
Thylacomyidae Bilbies		
Macrotis lagotis		
Macrotis leucura		
PERISSODACTYLA		
Equidae Horses, wild asses, zel	bras	
Equus africanus (Excludes the domesticated form, which is referenced as <i>Equus asinus</i> , and is not subject to the provisions of the Convention)		
Equus grevyi		
	Equus hemionus (Except the subspecies included in Appendix I)	
Equus hemionus hemionus		
Equus hemionus khur	`	
	Equus kiang	
Equus przewalskii		
	Equus zebra hartmannae	
Equus zebra zebra		
Rhinocerotidae Rhinoceroses		
Rhinocerotidae spp. (Except the subspecies included in Appendix II)		
	Ceratotherium simum simum (Only the populations of South Africa and Swaziland; all other populations are included in Appendix I. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and hunting trophies. All other specimens shall be deemed to be specimens of species included in AppendixI and the trade in them shall be regulated accordingly)	
Tapiridae Tapirs		
Tapiridae spp. (Except the species included in Appendix II)		
	Tapirus terrestris	
PHOLIDOTA		
Manidae Pangolins		

	Manis spp. (A zero annual export quota has been established for <i>Manis crassicaudata</i> , <i>M. culionensis</i> , <i>M. javanica</i> and <i>M. pentadactyla</i> for specimens removed from the wild and traded for primarily commercial purposes)	
PILOSA		
Bradypodidae Three-toed sloth	1	
71	Bradypus variegatus	
Megalonychidae Two-toed slot		
•		Choloepus hoffmanni (Costa Rica)
Myrmecophagidae American a	nteaters	
	Myrmecophaga tridactyla	
		Tamandua mexicana (Guatemala)
PRIMATES Apes, monkeys		
	PRIMATES spp. (Except the species included in Appendix I)	
Atelidae Howler and prehensil	e-tailed monkeys	
Alouatta coibensis	·	
Alouatta pal liata		
Alouatta pigra		
Ateles geoffroyi frontatus		
Ateles geoffroyi panamensis		
Brachyteles arachnoides		
Brachyteles hypoxanthus		
Oreonax flavicauda		
Cebidae New World monkeys		
Cal limico goeldii		
Cal lithrix aurita		
Cal lithrix flaviceps		
Leontopithecus spp.		
Saguinus bicolor		
Saguinus geoffroyi		
Saguinus leucopus		
Saguinus martinsi		
Saguinus oedipus		
Saimiri oerstedii		
Cercopithecidae Old World mo	onkeys	I
Cercocebus galeritus	-	
Cercopithecus diana		
Cercopithecus roloway		
Macaca silenus		
Mandrillus leucophaeus		

Mandril lus sphinx		
Nasalis larvatus		
Piliocolobus kirkii		
Piliocolobus rufomitratus		
Presbytis potenziani		
Pygathrix spp.		
Rhinopithecus spp.		
Semnopithecus ajax		
Semnopithecus dussumieri		
Semnopithecus entel lus		
Semnopithecus hector		
Semnopithecus hypoleucos		
Semnopithecus priam		
Semnopithecus schistaceus		
Simias concolor		
Trachypithecus geei		
Trachypithecus pileatus		
Trachypithecus shortridgei		
Cheirogaleidae Dwarf lemurs		
Cheirogaleidae spp.		
Daubentoniidae Aye-aye		
Daubentonia madagascarien-		
sis		
Hominidae Chimpanzees, goril	la, orang-utan	
Goril la beringei		
Goril la goril la		
Pan spp.		
Pongo abelii		
Pongo pygmaeus		
Hylobatidae Gibbons		
Hylobatidae spp.		
Indriidae Avahi, indris, sifakas	, woolly lemurs	
Indriidae spp.		
Lemuridae Large lemurs		
Lemuridae spp.		
Lepilemuridae Sportive lemurs		
Lepilemuridae spp.		
Lorisidae Lorises		
Nycticebus spp.		
Pithecidae Sakis and uakaris		
Cacajao spp.		
Chiropotes albinasus		
PROBOSCIDEA		
Elephantidae Elephants		
Elephas maximus		

Loxodonta africana (Except		
the populations of Botswana,		
Namibia, South Africa and Zimbabwe, which are included		
in Appendix II)		
	Loxodonta africana ⁵ (Only	
	the populations of Botswana ,	
	Namibia, South Africa and Zimbabwe; all other	
	populations are included in	
	Appendix I)	
RODENTIA		
Chinchillidae Chinchillas		
Chinchillaspp. (Specimens of		
the domesticated form are not		
subject to the provisions of the Convention)		
Cuniculidae Paca		
Cumcunuat I ata		Cuniculus paca (Honduras)
D		Cuniculus paca (Holidulas)
Dasyproctidae Agouti		D
		Dasyprocta punctata (Honduras)
Erethizontidae New World por	cupines	
		Sphiggurus mexicanus (Honduras)
		Sphiggurus spinosus (Uruguay)
Muridae Mice, rats		
Leporil lus conditor		
Pseudomys fieldi praeconis		
Xeromys myoides		
Zyzomys pedunculatus		
Sciuridae Ground squirrels, tre	e sauirrels	
Cynomys mexicanus	squiite	
Cynomys mesteanus		Marmota caudata (India)
		Marmota himalayana (India)
	Patufa enn	Marmoia nimatayana (mata)
	Ratufa spp.	Saiumus dannai (Casta Dias)
SCANDENTIA		Sciurus deppei (Costa Rica)
Tupaiidae Tree shrews	Tungiidae enn	
SIRENIA	Tupaiidae spp.	
Dugongidae Dugong		
Dugong dugon		
Trichechidae Manatees	l	<u> </u>
Trichechus inunguis		
Trichechus manatus		
Troncomo manano	Trichechus senegalensis	
	Tronceius seneguensis	

	CLASS AVES (BIRDS)	
ANSERIFORMES		
Anatidae Ducks, geese, swans,	, etc.	
Anas aucklandica		
	Anas bernieri	
Anas chlorotis		
	Anas formosa	
Anas laysanensis		
Anas nesiotis		
Anas oustaleti		
Branta canadensis leuco- pareia		
	Branta ruficollis	
Branta sandvicensis		
		Cairina moschata (Honduras)
Cairina scutulata		
	Coscoroba coscoroba	
	Cygnus melancoryphus	
	Dendrocygna arborea	
		Dendrocygna autumnalis (Honduras)
		Dendrocygna bicolor (Honduras)
	Oxyura leucocephala	
Rhodonessa caryophyllacea (possibly extinct)		
	Sarkidiornis melanotos	
APODIFORMES		
Trochilidae Hummingbirds		
	Trochilidae spp. (Except the species included in Appendix I)	
Glaucis dohrnii		
CHARADRIIFORMES		
Burhinidae Thick-knee		
		Burhinus bistriatus (Guatemala)
Laridae Gull		\
Larus relictus		
Scolopacidae Curlews, greensh	ıanks	<u>I</u>
Numenius borealis		
Numenius tenuirostris		
Tringa guttifer		
CICONIIFORMES		1
Balaenicipitidae Shoebill, wha	le-headed stork	
	Balaeniceps rex	
	1	l

included in A Aceros nipalensis Anorrhinus s Anthracocero Berenicornis Buceros sp	ridae spp. uber alvus orodia
Jabiru mycteria Mycteria cinerea Phoenicopteridae Flamingos Phoenicopte Threskiornithidae Ibises, spoonbills Eudocimus re Geronticus eremita Nipponia nippon Platalea leuce COLUMBIFORMES Columbidae Doves, pigeons Caloenas nicobarica Ducula mindorensis Gal licolumb Goura spp. CORACIIFORMES Bucerotidae Hornbills Aceros spp. (Fincluded in A Aceros nipalensis Anorrhinus species incluses Buceros sp species incluses	ridae spp. uber alvus orodia
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Berenicornis Buceros sp species inclu	pp.
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species inclu	spp.
	p. (Except the ded in Appendix
Buceros bicornis	
Penelopides s	spp.
Rhinoplax vigil	
	pp. (Except the ded in Appendix
Rhyticeros subruficollis	
CUCULIFORMES	
Musophagidae Turacos	
Tauraco spp.	
FALCONIFORMES Eagles, falcons, hawks,	vultures
	FORMES spp.
(Except the sin Appendic the species Cathartidae)	

Accipitridae Hawks, eagles		
Aquila adalberti		
Aquila heliaca		
Chondrohierax uncinatus		
wilsonii		
Haliaeetus albicilla		
Harpia harpyja		
Pithecophaga jefferyi		
Cathartidae New World vulture	es	
Gymnogyps californianus		
		Sarcoramphus papa (Honduras)
Vultur gryphus		
Falconidae Falcons		
Falco araeus		
Falco jugger		
Falco newtoni (Only the population of Seychelles)		
Falco pelegrinoides		
Falco peregrinus		
Falco punctatus		
Falco rusticolus		
GALLIFORMES		
Cracidae Chachalacas, currasso	ows, guans	
		Crax alberti (Colombia)
Crax blumenbachii		
		Crax daubentoni (Colombia)
		Crax globulosa (Colombia)
		<i>Crax rubra</i> (Colombia, Costa Rica, Guatemala, Honduras)
Mitu mitu		
Oreophasis derbianus		
		Ortalis vetula (Guatemala, Honduras)
		Pauxi pauxi (Colombia)
Penelope albipennis		
		Penelope purpurascens (Honduras)
		Penelopina nigra (Guatemala)
Pipile jacutinga		,
Pipile pipile		
Megapodiidae Megapodes, scr	ubfowl	
Macrocephalon maleo		
	vl, partridges, pheasants, tragopa	nns
		Arborophila campbelli (Malaysia)

		Arborophila charltonii (Malaysia)
	Argusianus argus	
		Caloperdix oculeus (Malaysia)
Catreus wal lichii		
Colinus virginianus ridgwayi		
Crossoptilon crossoptilon		
Crossoptilon mantchuricum		
	Gal lus sonneratii	
	Ithaginis cruentus	
Lophophorus impejanus		
Lophophorus lhuysii		
Lophophorus sclateri		
Lophura edwardsi		
		Lophura erythrophthalma (Malaysia)
		Lophura ignita (Malaysia)
Lophura imperialis		
Lophura swinhoii		
		Melanoperdix niger (Malaysia)
		Meleagris ocellata (Guatemala)
	Pavo muticus	
	Polyplectron bicalcaratum	
	Polyplectron germaini	
		Polyplectron inopinatum (Malaysia)
	Polyplectron malacense	
Polyplectron napoleonis		
	Polyplectron schleiermacheri	
Rheinardia ocellata		
		Rhizothera dulitensis (Malaysia)
		Rhizothera longirostris (Malaysia)
		Rollulus rouloul (Malaysia)
Syrmaticus el lioti		
Syrmaticus humiae		
Syrmaticus mikado		
Tetraogal lus caspius		
Tetraogal lus tibetanus		
Tragopan blythii		
Tragopan caboti		
Tragopan melanocephalus		
		Tragopan satyra (Nepal)

Tympanuchus cupido attwateri		
GRUIFORMES		
Gruidae Cranes		
Gruidae Cranes	C: 1 (E	
	Gruidae spp. (Except the species included in Appendix I)	
Grus americana		
Grus canadensis nesiotes		
Grus canadensis pul la		
Grus japonensis		
Grus leucogeranus		
Grus monacha		
Grus nigricol lis		
Grus vipio		
Otididae Bustards		
	Otididae spp. (Except the species included in Appendix I)	
Ardeotis nigriceps		
Chlamydotis macqueenii		
Chlamydotis undulata		
Houbaropsis bengalensis		
Rallidae Rail		
Gallirallus sylvestris		
Rhynochetidae Kagu		
Rhynochetos jubatus		
PASSERIFORMES		
Atrichornithidae Scrub-bird		
Atrichornis clamosus		
Cotingidae Cotingas		
Cottingidae Cottingas		Cephalopterus ornatus
		(Colombia)
		Cephalopterus penduliger (Colombia)
Cotinga maculata		
	Rupicola spp.	
Xipholena atropurpurea		
Emberizidae Cardinals, tanager	rs	
	Gubernatrix cristata	
	Paroaria capitata	
	Paroaria coronata	
	Tangara fastuosa	
Estrildidae Mannikins, waxbill		
·	Amandava formosa	
	Lonchura oryzivora	
	Poephila cincta cincta	

Fringillidae Finches	I	1
Carduelis cucul lata		
	Carduelis yarrellii	
Hirundinidae Martin		
Pseudochelidon sirintarae		
Icteridae Blackbird		
Xanthopsar flavus		
Meliphagidae Honeyeater		
Lichenostomus melanops cassidix		
Muscicapidae Old World flyca	tchers	
		Acrocephalus rodericanus (Mauritius)
	Cyornis ruckii	
Dasyornis broadbenti litoralis (possibly extinct)		
Dasyornis longirostris		
	Garrulax canorus	
	Leiothrix argentauris	
	Leiothrix lutea	
	Liocichla omeiensis	
Picathartes gymnocephalus		
Picathartes oreas		
		Terpsiphone bourbonnensis (Mauritius)
Paradisaeidae Birds of paradisa	e	
Paradisaeidae Birds of paradisa	Paradisaeidae spp.	
Paradisaeidae Birds of paradisae Pittidae Pittas		
	Paradisaeidae spp.	
Pittidae Pittas	Paradisaeidae spp.	
Pittidae Pittas Pitta gurneyi	Paradisaeidae spp.	
Pittidae Pittas Pitta gurneyi	Paradisaeidae spp. Pitta guajana	
Pittidae Pittas Pitta gurneyi Pitta kochi	Paradisaeidae spp. Pitta guajana	
Pittidae Pittas Pitta gurneyi Pitta kochi	Pitta guajana Pitta nympha	
Pittidae Pittas Pitta gurneyi Pitta kochi Pycnonotidae Bulbul	Pitta guajana Pitta nympha	
Pittidae Pittas Pitta gurneyi Pitta kochi Pycnonotidae Bulbul	Pitta guajana Pitta nympha Pycnonotus zeylanicus	
Pittidae Pittas Pitta gurneyi Pitta kochi Pycnonotidae Bulbul Sturnidae Mynahs (Starlings)	Pitta guajana Pitta nympha Pycnonotus zeylanicus	
Pittidae Pittas Pitta gurneyi Pitta kochi Pycnonotidae Bulbul Sturnidae Mynahs (Starlings) Leucopsar rothschildi	Pitta guajana Pitta nympha Pycnonotus zeylanicus	
Pittidae Pittas Pitta gurneyi Pitta kochi Pycnonotidae Bulbul Sturnidae Mynahs (Starlings) Leucopsar rothschildi Zosteropidae White-eye	Pitta guajana Pitta nympha Pycnonotus zeylanicus	
Pittidae Pittas Pitta gurneyi Pitta kochi Pycnonotidae Bulbul Sturnidae Mynahs (Starlings) Leucopsar rothschildi Zosteropidae White-eye Zosterops albogularis	Pitta guajana Pitta nympha Pycnonotus zeylanicus	
Pittidae Pittas Pitta gurneyi Pitta kochi Pycnonotidae Bulbul Sturnidae Mynahs (Starlings) Leucopsar rothschildi Zosteropidae White-eye Zosterops albogularis PELECANIFORMES	Pitta guajana Pitta nympha Pycnonotus zeylanicus	
Pitta gurneyi Pitta kochi Pycnonotidae Bulbul Sturnidae Mynahs (Starlings) Leucopsar rothschildi Zosteropidae White-eye Zosterops albogularis PELECANIFORMES Fregatidae Frigatebird	Pitta guajana Pitta nympha Pycnonotus zeylanicus	
Pittidae Pittas Pitta gurneyi Pitta kochi Pycnonotidae Bulbul Sturnidae Mynahs (Starlings) Leucopsar rothschildi Zosteropidae White-eye Zosterops albogularis PELECANIFORMES Fregatidae Frigatebird Fregata andrewsi	Pitta guajana Pitta nympha Pycnonotus zeylanicus	
Pitta gurneyi Pitta kochi Pycnonotidae Bulbul Sturnidae Mynahs (Starlings) Leucopsar rothschildi Zosteropidae White-eye Zosterops albogularis PELECANIFORMES Fregatidae Frigatebird Fregata andrewsi Pelecanidae Pelican	Pitta guajana Pitta nympha Pycnonotus zeylanicus	
Pitta gurneyi Pitta kochi Pycnonotidae Bulbul Sturnidae Mynahs (Starlings) Leucopsar rothschildi Zosteropidae White-eye Zosterops albogularis PELECANIFORMES Fregatidae Frigatebird Fregata andrewsi Pelecanidae Pelican Pelecanus crispus	Pitta guajana Pitta nympha Pycnonotus zeylanicus	

PICIFORMES			
Capitonidae Barbet			
		Semnornis ramphastinus (Colombia)	
Picidae Woodpeckers			
Campephilus imperialis			
Dryocopus javensis richardsi			
Ramphastidae Toucans			
		Baillonius bailloni (Argentina)	
	Pteroglossus aracari		
		Pteroglossus castanotis (Argentina)	
	Pteroglossus viridis		
		Ramphastos dicolorus (Argentina)	
	Ramphastos sulfuratus		
	Ramphastos toco		
	Ramphastos tucanus		
	Ramphastos vitellinus		
		Selenidera maculirostris (Argentina)	
PODICIPEDIFORMES			
Podicipedidae Grebe			
Podilymbus gigas			
PROCELLARIIFORMES			
Diomedeidae Albatross			
Phoebastria albatrus			
PSITTACIFORMES			
	PSITTACIFORMES spp. (Except the species included in Appendix I and Agapornis roseicollis, Melopsittacus undulatus, Nymphicus hol landicus and Psittacula kra-		
	meri, which are not included		
	in the Appendices)		
Cacatuidae Cockatoos			
Cacatua goffni			
Cacatua haematuropygia			
Cacatua moluccensis			
Cacatua sulphurea			
Probosciger aterrimus			
Loriidae Lories, lorikeets			
Eos histrio			
Vini ultramarina	manalranta m =		
Psittacidae Amazons, macaws,	parakeets, parrots		
Amazona arausiaca			

A	
Amazona auropal liata	
Amazona barbadensis	
Amazona brasiliensis	
Amazona finschi	
Amazona guildingii	
Amazona imperialis	
Amazona leucocephala	
Amazona oratrix	
Amazona pretrei	
Amazona rhodocorytha	
Amazona tucumana	
Amazona versicolor	
Amazona vinacea	
Amazona viridigenalis	
Amazona vittata	
Anodorhynchus spp.	
Ara ambiguus	
Ara glaucogularis (Often	
traded under the incorrect	
designation Ara caninde)	
Ara macao	
Ara militaris	
Ara rubrogenys	
Cyanopsitta spixii	
Cyanoramphus cookii	
Cyanoramphus forbesi	
Cyanoramphus novaezelan- diae	
Cyanoramphus saisseti	
Cyclopsitta diophthalma co- xeni	
Eunymphicus cornutus	
Geopsittacus occidentalis (possibly extinct)	
Guarouba guarouba	
Neophema chrysogaster	
Ognorhynchus icterotis	
Pezoporus wal licus	
Pionopsitta pileata	
Primolius couloni	
Primolius maracana	
Psephotus chrysopterygius	
Psephotus dissimilis	
Psephotus pulcherrimus	
(possibly extinct)	
Psittacula echo	

Pyrrhura cruentata		
Rhynchopsitta spp.		
Strigops habroptilus		
RHEIFORMES		
Rheidae Rheas		
Pterocnemia pennata (Except		
Pterocnemia pennata pennata		
which is included in Appendix II)		
	Pterocnemia pennata pennata	
	Rhea americana	
SPHENISCIFORMES		
Spheniscidae Penguins		
1 0	Spheniscus demersus	
Spheniscus humboldti	-1	
STRIGIFORMES Owls		
	STRIGIFORMES spp. (Ex-	
	cept the species included in	
	Appendix I)	
Strigidae Owls		
Heteroglaux blewitti		
Mimizuku gurneyi		
Ninox natalis		
Ninox novaeseelandiae undu- lata		
Tytonidae Barn owls		
Tyto soumagnei		
STRUTHIONIFORMES		
Struthionidae Ostrich		
Struthio camelus (Only		
the populations of Algeria,		
Burkina Faso, Cameroon,		
the Central African Republic,		
Chad, Mali, Mauritania, Morocco, the Niger, Nigeria,		
Senegal and the Sudan; all		
other populations are not		
included in the Appendices)		
TINAMIFORMES		
Tinamidae Tinamous		
Tinamus solitarius		
TROGONIFORMES		
Trogonidae Quetzals		
Pharomachrus mocinno		
CLASS REPTILIA (REPTILES)		
CROCODYLIA Alligators, cai		
	CROCODYLIA spp. (Except the species included in	
	Appendix I)	

Alligatoridae Alligators, saima	na	
Alligatoridae Alligators, caima	lis	
Al ligator sinensis		
Caiman crocodilus apaporien- sis		
Caiman latirostris (Except		
the population of Argentina,		
which is included in Appendix		
II)		
Melanosuchus niger (Except		
the population of Brazil,		
which is included in Appendix		
II, and the population of Ecuador, which is included in		
AppendixII and is subject to a		
zero annual export quota until		
an annual export quota has		
been approved by the CITES		
Secretariat and the IUCN/SSC		
Crocodile Specialist Group)		
Crocodylidae Crocodiles		
Crocodylus acutus (Except the		
population of Cuba, which is		
included in Appendix II)		
Crocodylus cataphractus		
Crocodylus intermedius		
Crocodylus mindorensis		
Crocodylus moreletii		
Crocodylus niloticus [Ex-		
cept the populations of		
Botswana, Ethiopia, Kenya,		
Madagascar, Malawi, Mozam-		
bique, Namibia, South Africa, Uganda, the United Republic		
of Tanzania (subject to an		
annual export quota of no more		
than 1,600 wild specimens		
including hunting trophies, in		
addition to ranched specimens),		
Zambia and Zimbabwe, which		
are included in Appendix II]		
Crocodylus palustris		
Crocodylus porosus (Except		
the populations of Australia, Indonesia and Papua New		
Guinea, which are included in		
Appendix II)		
Crocodylus rhombifer		
Crocodylus siamensis		
Osteolaemus tetraspis		
Tomistoma schlegelii		
Gavialidae Gavial		
Gavialis gangeticus		

RHYNCHOCEPHALIA		
Sphenodontidae Tuatara		
Sphenodon spp.		
SAURIA		
Agamidae Agamas, mastigures	,	
Againidae Againas, mastigures	Uromastyx spp.	
Chamaeleonidae Chameleons	Oromusiya spp.	
Chamaciconidae Chamcicons	Bradypodion spp.	
	Brookesia spp. (Except the species included in Appendix I)	
Brookesia perarmata		
	Calumma spp.	
	Chamaeleo spp.	
	Furcifer spp.	
Cordylidae Spiny-tailed lizards		1
	Cordylus spp.	
Gekkonidae Geckos	, 	•
	Cyrtodactylus serpensinsula	
		Hoplodactylus spp. (New Zealand)
		Naultinus spp. (New Zealand)
	Phelsuma spp.	
	Uroplatus spp.	
Helodermatidae Beaded lizard	, gila monster	
	Heloderma spp. (Except the subspecies included in Appendix I)	
Heloderma horridum char- lesbogerti		
Iguanidae Iguanas		
	Amblyrhynchus cristatus	
Brachylophus spp.		
	Conolophus spp.	
Cyclura spp.		
	Iguana spp.	
	Phrynosoma coronatum	
Sauromalus varius		
Lacertidae Lizards		
Gallotia simonyi		
	Podarcis lilfordi	
	Podarcis pityusensis	
Scincidae Skinks		
	Corucia zebrata	

Teiidae Caiman lizards, tegu l	izards	
- , <u>e</u>	Crocodilurus amazonicus	
	Dracaena spp.	
	Tupinambis spp.	
Varanidae Monitor lizards	1 11	
	Varanus spp. (Except the species included in Appendix I)	
Varanus bengalensis		
Varanus flavescens		
Varanus griseus		
Varanus komodoensis		
Varanus nebulosus		
Xenosauridae Chinese crocod	ile lizard	
	Shinisaurus crocodilurus	
SERPENTES Snakes		
Boidae Boas		
	Boidae spp. (Except the species included in Appendix I)	
Acrantophis spp.		
Boa constrictor occidentalis		
Epicrates inornatus		
Epicrates monensis		
Epicrates subflavus		
Sanzinia madagascariensis		
Bolyeriidae Round Island boa	S	
	Bolyeriidae spp. (Except the species included in Appendix I)	
Bolyeria multocarinata	,	
Casarea dussumieri		
Colubridae Typical snakes, wa	ater snakes, whipsnakes	Į.
		Atretium schistosum (India)
		Cerberus rynchops (India)
	Clelia clelia	
	Cyclagras gigas	
	Elachistodon westermanni	
	Ptyas mucosus	
		Xenochrophis piscator (India)
Elapidae Cobras, coral snakes		
	Hoplocephalus bungaroides	
		Micrurus diastema (Honduras)
		Micrurus nigrocinctus (Honduras)
	Naja atra	
	Naja kaouthia	

	37 · 11 ·	
	Naja mandalayensis	
	Naja naja	
	Naja oxiana	
	Naja philippinensis	
	Naja sagittifera	
	Naja samarensis	
	Naja siamensis	
	Naja sputatrix	
	Naja sumatrana	
	Ophiophagus hannah	
Loxocemidae Mexican dwarf b	ooa	
	Loxocemidae spp.	
Pythonidae Pythons		
	Pythonidae spp. (Except the subspecies included in Appendix I)	
Python molurus molurus		
Tropidophiidae Wood boas		
	Tropidophiidae spp.	
Viperidae Vipers		
		Crotalus durissus (Honduras)
		Daboia russelii (India)
Vipera ursinii (Only the population of Europe, except the area which formerly constituted the Union of Soviet Socialist Republics; these latter populations are not included in the Appendices)		
	Vipera wagneri	
TESTUDINES		
Carettochelyidae Pig-nosed tur	tles	
, ,	Carettochelys insculpta	
Chelidae Austro-American side	, .	<u>I</u>
	Chelodina mccordi	
Pseudemydura umbrina		
Cheloniidae Marine turtles	l	I
Cheloniidae spp.		
Chelydridae Snapping turtles		
cheryundae onapping turties		Macrochelys temminckii
		(United States of America)
Dermatemydidae Central Ame	rican river turtle	· · · · · · · · · · · · · · · · · · ·
,	Dermatemys mawii	
Dermochelyidae Leatherback t		ı
Dermochelys coriacea		
Emydidae Box turtles, freshwa	ter turtles	<u>L</u>
J = === === == = = = = = = = = = = = =	Glyptemys insculpta	
	2. Jp. cm. js inscriptu	

Glyptemys muhlenbergii		
		Graptemys spp. (United States of America)
	Terrapene spp. (Except the species included in Appendix I)	
Terrapene coahuila		
Geoemydidae Box turtles, fr	eshwater turtles	
Batagur baska		
	Cal lagur borneoensis	
	Cuora spp.	
Geoclemys hamiltonii		
		Geoemyda spengleri (China)
	Heosemys annandalii	
	Heosemys depressa	
	Heosemys grandis	
	Heosemys spinosa	
	Kachuga spp.	
	Leucocephalon yuwonoi	
	Malayemys macrocephala	
	Malayemys subtrijuga	
	Mauremys annamensis	
		Mauremys iversoni (China)
		Mauremys megalocephala (China)
	Mauremys mutica	
		Mauremys nigricans (China)
		Mauremys pritchardi (China)
		Mauremys reevesii (China)
		Mauremys sinensis (China)
Melanochelys tricarinata		
Morenia ocellata		
	Notochelys platynota	
		Ocadia glyphistoma (China)
		Ocadia philippeni (China)
	Orlitia borneensis	
	Pangshura spp. (Except the species included in Appendix I)	
Pangshura tecta		
		Sacalia bealei (China)
		Sacalia pseudocel lata (China)
		Sacalia quadriocel lata (China)
	Siebenrockiella crassicollis	
	Siebenrockiella leytensis	

Platysternidae Big-headed tur	tle	
Thatystermade Big headed tar	Platysternon megacephalum	
Podocnemididae Afro-Americ		
1 oddenemaraac / mo / mieric	Erymnochelys madagasca-	
	riensis	
	Peltocephalus dumerilianus	
	Podocnemis spp.	
Testudinidae Tortoises		
	Testudinidae spp. (Except the	
	species included in Appendix	
	I. A zero annual export	
	quota has been established for Geochelone sulcata for	
	specimens removed from the	
	wild and traded for primarily	
	commercial purposes)	
Astrochelys radiata		
Astrochelys yniphora		
Chelonoidis nigra		
Gopherus flavomarginatus		
Psammobates geometricus		
Pyxis arachnoides		
Pyxis planicauda		
Testudo kleinmanni		
Trionychidae Softshell turtles	, terrapins	
•	Amyda cartilaginea	
Apalone spinifera atra		
Aspideretes gangeticus		
Aspideretes hurum		
Aspideretes nigricans		
	Chitra spp.	
	Lissemys punctata	
	Lissemys scutata	
		Palea steindachneri (China)
	Pelochelys spp.	
		Pelodiscus axenaria (China)
		Pelodiscus maackii (China)
		Pelodiscus parviformis (China)
		Rafetus swinhoei (China)
CI	ASS AMPHIBIA (AMPHIBIA	NS)
ANURA		
Bufonidae Toads		
Altiphrynoides spp.		
Atelopus zeteki		
Bufo periglenes		
Bufo superciliaris		

Nectophrynoides spp.		
Nimbaphrynoides spp.		
Spinophrynoides spp.		
Dendrobatidae Poison frogs		
Denarobundae i oison mogs	Allobates femoralis	
	Cryptophyllobates azurei-	
	ventris	
	Allobates zaparo	
	Dendrobates spp.	
	Epipedobates spp.	
	Phyllobates spp.	
Mantellidae Mantellas		
	Mantella spp.	
Microhylidae Red rain frog, to	mato frog	
Dyscophus antongilii		
	Scaphiophryne gottlebei	
Myobatrachidae Gastric-brood	ing frogs	
	Rheobatrachus spp.	
Ranidae Frogs		
	Euphlyctis hexadactylus	
	Hoplobatrachus tigerinus	
CAUDATA		
Ambystomatidae Axolotls		
	Ambystoma dumerilii	
	Ambystoma mexicanum	
Cryptobranchidae Giant salam	anders	
Andrias spp.		
CLAS	SS ELASMOBRANCHII (SHA	ARKS)
LAMNIFORMES		
Cetorhinidae Basking shark		
	Cetorhinus maximus	
Lamnidae Great white shark		
	Carcharodon carcharias	
ORECTOLOBIFORMES		
Rhincodontidae Whale shark		
	Rhincodon typus	
RAJIFORMES		
Pristidae Sawfishes		
Pristidae spp. (Except the species included in Appendix II)		
	Pristis microdon (For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable aquaria for primarily conservation purposes)	

CLASS ACTINOPTERYGII (FISHES)			
ACIPENSERIFORMES Paddlefishes, sturgeons			
	ACIPENSERIFORMES spp. (Except the species included in Appendix I)		
Acipenseridae Sturgeons			
Acipenser brevirostrum			
Acipenser sturio			
ANGUILLIFORMES			
Anguillidae Freshwater eels			
	Anguilla anguilla (Entry into force delayed 18 months, i.e. until 13 March 2009)		
CYPRINIFORMES			
Catostomidae Cui-ui			
Chasmistes cujus			
Cyprinidae Blind carps, places	ok		
	Caecobarbus geertsi		
Probarbus jullieni			
OSTEOGLOSSIFORMES			
Osteoglossidae Arapaima, bon	ytongue		
	Arapaima gigas		
Scleropages formosus			
PERCIFORMES			
Labridae Wrasses			
	Cheilinus undulatus		
Sciaenidae Totoaba			
Totoaba macdonaldi			
SILURIFORMES			
Pangasiidae Pangasid catfish			
Pangasianodon gigas			
SYNGNATHIFORMES			
Syngnathidae Pipefishes, seaho	orses		
	Hippocampus spp.		
CLASS	SARCOPTERYGII (LUNGF	ISHES)	
CERATODONTIFORMES			
Ceratodontidae Australian lung	rfish		
	Neoceratodus forsteri		
COELACANTHIFORMES			
Latimeriidae Coelacanths			
Latimeria spp.			
PHYLUMECHINODERMATA			
CLASS HOLOTHUROIDEA (SEA CUCUMBERS)			
ASPIDOCHIROTIDA			
Stichopodidae Sea cucumbers			
		Isostichopus fuscus (Ecuador)	

PHYLUMARTHROPODA		
CLASS ARACHNIDA (SCORPIONS AND SPIDERS)		
ARANEAE		
Theraphosidae Red-kneed tara	ntulas, tarantulas	
	Aphonopelma albiceps	
	Aphonopelma pal lidum	
	Brachypelma spp.	
SCORPIONES		
Scorpionidae Scorpions		
	Pandinus dictator	
	Pandinus gambiensis	
	Pandinus imperator	
	CLASS INSECTA (INSECTS)	
COLEOPTERA		
Lucanidae Cape stag beetles		
		Colophon spp. (South Africa)
LEPIDOPTERA		
Papilionidae Birdwing butterfl	ies, swallowtail butterflies	
	Atrophaneura jophon	
	Atrophaneura pandiyana	
	Bhutanitis spp.	
	Ornithoptera spp. (Except the	
	species included in Appendix I)	
Ornithoptera alexandrae		
Papilio chikae		
Papilio homerus		
Papilio hospiton		
	Parnassius apollo	
	Teinopalpus spp.	
	Trogonoptera spp.	
	Troides spp.	
	PHYLUMANNELIDA	
CLA	SS HIRUDINOIDEA (LEECI	HES)
ARHYNCHOBDELLIDA		
Hirudinidae Medicinal leech		
	Hirudo medicinalis	
	PHYLUM M O LLUS C A	
CLASS	BIVALVIA (CLAMS AND MU	JSSELS)
MYTILOIDA		
Mytilidae Marine mussels		
	Lithophaga lithophaga	
UNIONOIDA		
Unionidae Freshwater mussels	, pearly mussels	
Conradil la caelata		
	Cyprogenia aberti	

Dromus dromas		
Epioblasma curtisi		
Epioblasma florentina		
Epioblasma sampsonii		
Epioblasma sulcata perobliqua		
Epioblasma torulosa guberna- culum		
	Epioblasma torulosa ran- giana	
Epioblasma torulosa torulosa		
Epioblasma turgidula		
Epioblasma walkeri		
Fusconaia cuneolus		
Fusconaia edgariana		
Lampsilis higginsii		
Lampsilis orbiculata orbiculata		
Lampsilis satur		
Lampsilis virescens		
Plethobasus cicatricosus		
Plethobasus cooperianus		
	Pleurobema clava	
Pleurobema plenum		
Potamilus capax		
Quadrula intermedia		
Quadrula sparsa		
Toxolasma cylindrella		
Unio nickliniana		
Unio tampicoensis tecomatensis		
Vil losa trabalis		
VENEROIDA		
Tridacnidae Giant clams		
	Tridacnidae spp.	
CLASS GA	STROPODA (SNAILS AND O	CONCHES)
ARCHAEOGASTROPODA		
Haliotidae Abalones		
		Haliotis midae (South Africa)
MESOGASTROPODA		
Strombidae Queen conch		
	Strombus gigas	
STYLOMMATOPHORA		
Achatinellidae Agate snails, oahu tree snails		
Achatinella spp.		

Camaenidae Green tree snail			
	Papustyla pulcherrima		
	PHYLUMCNIDARIA		
CLASS AN	THOZOA (CORALS, SEA AN	NEMONES)	
ANTIPATHARIA Black corals	3		
	ANTIPATHARIA spp.		
GORGONACEAE			
Coralliidae			
		Corallium elatius (China)	
		Corallium japonicum (China)	
		Corallium konjoi (China)	
		Corallium secundum (China)	
HELIOPORACEA			
Helioporidae Blue corals			
	Helioporidae spp. (Includes		
	only the species <i>Heliopora</i> coerulea. Fossils are not		
	subject to the provisions of		
	the Convention)		
SCLERACTINIA Stony corals	3		
	SCLERACTINIA spp.		
	(Fossils are not subject to the provisions of the Convention)		
STOLONIFERA	provisions of the Convention)		
Tubiporidae Organ-pipe corals			
Tuoiportuue Organ pipe coruis	Tubiporidae spp. (Fossils are		
	not subject to the provisions of		
	the Convention)		
(CEA DEDNIC	CLASS HYDROZOA	MEDICAE	
	S, FIRE CORALS, STINGING	MEDUSAE)	
MILLEPORINA			
Milleporidae Fire corals	Millonopidae ann (Fossila era		
	Milleporidae spp. (Fossils are not subject to the provisions of		
	the Convention)		
STYLASTERINA			
Stylasteridae Lace corals			
	Stylasteridae spp. (Fossils are		
	not subject to the provisions of the Convention)		
	FLORA(PLANTS)		
AGAVACEAE Agaves	I LONA (I LANIS)		
Agave parviflora			
oare par rijora	Agave victoriae-reginae #1		
	Nolina interrata		
AMARYLLIDACEAE Snowd	AMARYLLIDACEAE Snowdrops, sternbergias		
It'l ZZZZ i i ZZZ i i ZZ	Galanthus spp. #1		
	Sternbergia spp. #1		
		<u> </u>	

APOCYNACEAE Elephant tru	ınks, hoodias	
	<i>Hoodia</i> spp. #9	
	Pachypodium spp. #1 (Except the species included in Appendix I)	
Pachypodium ambongense		
Pachypodium baronii		
Pachypodium decaryi		
	Rauvolfia serpentina #2	
ARALIACEAE Ginseng		
	Panax ginseng #3 Only the population of the Russian Federation; no other population is included in the Appendices)	
	Panax quinquefolius #3	
ARAUCARIACEAE Monkey	-puzzle tree	
Araucaria araucana		
BERBERIDACEAE May-app		
DDOMEI IA CEA E A 1 1 1	Podophyllum hexandrum #2	
BROMELIACEAE Air plants,		
	Tillandsia harrisii #1	
	Tillandsia kammii #1	
	Tillandsia kautskyi #1	
	Tillandsia mauryana #1	
	Tillandsia sprengeliana #1	
	Tillandsia sucrei #1	
CA CTA CEAE C:	Tillandsia xerographica #1	
CACTACEAE Cacti	G. CT. CT. T. 6 "4	
	CACTACEAE spp. 44 (Except the species included in Appendix I and except <i>Pereskia</i> spp., <i>Pereskiopsis</i> spp. and <i>Quiabentia</i> spp.)	
Ariocarpus spp.		
Astrophytum asterias		
Aztekium ritteri		
Coryphantha werdermannii		
Discocactus spp.		
Echinocereus ferreirianus spp.		
lindsayi		
Echinocereus schmollii		
Escobaria minima		
Escobaria sneedii		
Mammillaria pectinifera		
Mammillaria solisioides		
Melocactus conoideus		

Melocactus deinacanthus		
Melocactus glaucescens		
Melocactus paucispinus		
Obregonia denegrii		
Pachycereus militaris		
Pediocactus bradyi		
Pediocactus knowltonii		
Pediocactus paradinei		
Pediocactus peeblesianus		
Pediocactus sileri		
Pelecyphora spp.		
Sclerocactus brevihamatus spp. tobuschii		
Sclerocactus erectocentrus		
Sclerocactus glaucus		
Sclerocactus mariposensis		
Sclerocactus mesae-verdae		
Sclerocactus nyensis		
Sclerocactus papyracanthus		
Sclerocactus pubispinus		
Sclerocactus wrightiae		
Strombocactus spp.		
Turbinicarpus spp.		
Uebelmannia spp.		
CARYOCARACEAE Ajo		
J	Caryocar costaricense #1	
COMPOSITAE (Asteraceae) k		
Saussurea costus		
CRASSULACEAE Dudleyas		
,	Dudleya stolonifera	
	Dudleya traskiae	
CUPRESSACEAE Alerce, cyp	, , , , , , , , , , , , , , , , , , ,	l
Fitzroya cupressoides		
Pilgerodendron uviferum		
CYATHEACEAE Tree-ferns		
The results of the second of t	Cyathea spp. #1	
CYCADACEAE Cycads		
	CYCADACEAE spp. #1 (Except the species included in Appendix I)	
Cycas beddomei		
DICKSONIACEAE Tree-ferms	3	
	Cibotium barometz #1	
	Dicksonia spp. #1 (Only the populations of the Americas; no other population is included in the Appendices)	
		<u> </u>

DIDIEREACEAE Alluaudias, didiereas		
DIDIEREACEAE Alluaudias,	DIDIEREACEAE spp. #1	
DIOSCOREACEAE Elephants		
PIOSCOKEACEAE Elephanu	Dioscorea deltoidea #1	
DDOSED ACE AE Vanus flytro		
DROSERACEAE Venus flytra		
ELIDITODDIA CE A E C	Dionaea muscipula #1	
EUPHORBIACEAE Spurges	E 1 1: #1 (C 1)	
	Euphorbia spp. #1 (Succulent species only except the species included in Appendix I. Artificially propagated specimens of cultivars of Euphorbia trigona, artificially propagated specimens of crested, fanshaped or colour mutants of Euphorbia lactea, when grafted on artificially propagated root stock of Euphorbia neriifolia, and artificially propagated specimens of cultivars of Euphorbia Milii when they are traded in shipments of 100 or more plants and readily recognizable as artificially propagated specimens, are not subject to the provisions of the Convention)	
Euphorbia ambovombensis	Convention)	
Euphorbia capsaintemariensis		
Euphorbia cremersii (Includes the forma viridifolia and the var. rakotozafyi)		
Euphorbia cylindrifolia (Includes the ssp. tuberifera)		
the vars. ampanihyensis, robinsonii and spirosticha)		
Euphorbia francoisii		
Euphorbia moratii (Includes the vars. antsingiensis, bemarahensis and multiflora)		
Euphorbia parvicyathophora		
Euphorbia quartziticola		
Euphorbia tulearensis		
FOUQUIERIACEAE Ocotillo	S	1
-	Fouquieria columnaris #1	
Fouquieria fasciculata	-	
Fouquieria purpusii		
GNETACEAE Gnetums	l	l
		Gnetum montanum #1 (Nepal)

JUGLANDACEAE Gavilan		
JOGE/HVD/ICE/IE Gavilan	Oreomunnea pterocarpa #1	
LEGUMINOSAE (Fabaceae)	Afrormosia, cristobal, rosewood	sandalwood
ELGGIMI (GGI IL (Lucuccuc) I	Caesalpinia echinata #10	, sandar wood
Dalbergia nigra	Coessus primar communia in 19	
0		Dalbergia retusa #5 [population of Guatemala (Guatemala)]Dalbergia stevensonii #5 [population of Guatemala (Guatemala)]Dipteryx panamensis (Costa Rica, Nicaragua)
	Pericopsis elata #5	
	Platymiscium pleiostachyum #1	
	Pterocarpus santalinus #7	
LILIACEAE Aloes		
	Aloe spp. #1 (Except the species included in Appendix I. Also excludes Aloe vera, also referenced as Aloe barbadensis which is not included in the Appendices)	
Aloe albida		
Aloe albiflora		
Aloe alfredii		
Aloe bakeri		
Aloe bel latula		
Aloe calcairophila		
Aloe compressa (Includes the vars. paucituberculata, rugosquamosa and schisto- phila)		
Aloe delphinensis		
Aloe descoingsii		
Aloe fragilis		
Aloe haworthioides (Includes the var. aurantiaca)		
Aloe helenae		
Aloe laeta (Includes the var. maniaensis)		
Aloe paral lelifolia		
Aloe parvula		
Aloe pil lansii		
Aloe polyphyl la		
Aloe rauhii		
Aloe suzannae		
Aloe versicolor		
Aloe vossii		

MACNOLIACEAE Magnalia		
MAGNOLIACEAE Magnolia		Magnolia liliifera var. obovata
		#1(Nepal)
MELIACEAE Mahoganies, Sp	panish cedar	
		Cedrela odorata #5 [Population
		of Colombia (Colombia)
		Population of Guatemala (Guatemala) Population of
		Peru (Peru)]
	Swietenia humilis #1	7.3
	Swietenia macrophylla #6	
	(Populations of the Neotropics)	
	Swietenia mahagoni #5	
NEPENTHACEAE Pitcher-pla		T .
	Nepenthes spp. #1 (Except the species included in Appendix I)	
Nepenthes khasiana		
Nepenthes rajah		
ORCHIDACEAE Orchids		
	ORCHIDACEAE spp. 7 #1	
	(Except the species included in Appendix I)	
(For all of the following Appendix-I species, seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers are not subject to the provisions		
of the Convention)		
Aerangis el lisii		
Dendrobium cruentum		
Laelia jongheana		
Laelia lobata		
Paphiopedilum spp.		
Peristeria elata		
Phragmipedium spp.		
Renanthera imschootiana		
OROBANCHACEAE Broomr	ape	
	Cistanche deserticola #1	
PALMAE (Arecaceae) Palms		
	Beccariophoenix madagasca- riensis #1	
Chrysalidocarpus decipiens		
	Lemurophoenix hal leuxii	
	Marojejya darianii	
	Neodypsis decaryi #1	
	Ravenea louvelii	
	Ravenea rivularis	

	Satranala decussilvae	
	Voanioala gerardii	
PAPAVERACEAE Poppy	voamoara gerarun	
Тити Еклеели горру		Meconopsis regia #1 (Nepal)
PINACEAE Guatemala fir		meconopsis regiu ii (ivepai)
Abies guatemalensis		
PODOCARPACEAE Podocar	<u> </u>	
1 ODGE INT NELL I OGGE	23	Podocarpus neriifolius #1
		(Nepal)
Podocarpus parlatorei		
PORTULACACEAE Lewisias	, portulacas, purslanes	
	Anacampseros spp. #1	
	Avonia spp. #1	
	Lewisia serrata #1	
PRIMULACEAE Cyclamens	•	
-	Cyclamen spp. 8 #1	
PROTEACEAE Proteas		
	Orothamnus zeyheri #1	
	Protea odorata #1	
RANUNCULACEAE Golden	seals, yellow adonis, yellow roo	t
	Adonis vernalis #2	
	Hydrastis canadensis #8	
ROSACEAE African cherry, s	tinkwood	
	Prunus africana #1	
RUBIACEAE Ayugue		
Balmea stormiae		
SARRACENIACEAE Pitcher-	plants (New World)	
	Sarracenia spp. #1 (Except the species included in Appendix I)	
Sarracenia oreophila	,	
Sarracenia rubra spp. alaba- mensis		
Sarracenia rubra spp. jo- nesii		
SCROPHULARIACEAE Kuth	κi	
	Picrorhiza kurrooa #2 (Excludes Picrorhiza scrophulariiflora)	
STANGERIACEAE Stangeria		
	Bowenia spp.#1	
Stangeria eriopus		
TAXACEAE Himalayan yew	1	
	Taxus chinensis and infra- specific taxa of this species #2	

	Taxus cuspidata and infra- specific taxa of this species9 #2		
	Taxus fuana and infraspecific taxa of this species #2		
	Taxus sumatrana and infra- specific taxa of this species#2		
	Taxus wal lichiana #2		
THYMELAEACEAE (Aquilat	riaceae) Agarwood, ramin		
	Aquilaria spp. #1		
	Gonystylus spp. #1		
	Gyrinops spp. #1		
TROCHODENDRACEAE (Tetracentraceae) Tetracentron			
		Tetracentron sinense #1 (Nepal)	
VALERIANACEAE Himalayan spikenard			
	Nardostachys grandiflora #2		
WELWITSCHIACEAE Welwi	itschia		
	Welwitschia mirabilis #1		
ZAMIACEAE Cycads			
	ZAMIACEAE spp. #1 (Except the species included in Appendix I)		
Ceratozamia spp.			
Chigua spp.			
Encephalartos spp.			
Microcycas calocoma			
ZINGIBERACEAE Ginger lily			
	Hedychium philippinense #1		
ZYGOPHYLLACEAE Lignum-vitae			
		Bulnesia sarmientoi #11 (Argentina)	
	Guaiacum spp. #2		

- 1. Population of Argentina (listed in Appendix II): For the exclusive purpose of allowing international trade in wool sheared from live vicuñas, in cloth, and in derived manufactured products and other handicraft artefacts. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservaciön y Manejo de la Vicuña, and the selvages the words "VICUÑA-ARGENTINA". Other products must bear a label including the logotype and the designation "VICUÑA-ARGENTINA-ARTESANÍA". All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.
- 2. Population of Bolivia (listed in Appendix II): For the exclusive purpose of allowing international trade in wool sheared from live vicuñas, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages

the words 'VICUÑA-BOLIVIA'. Other products must bear a label including the logotype and the designation 'VICUÑA-BOLIVIA-ARTESAN'IA'. All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

- 3. Population of Chile (listed in Appendix II): For the exclusive purpose of allowing international trade in wool sheared from live vicuñas, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words "VICUÑA-CHILE". Other products must bear a label including the logotype and the designation "VICUÑAA-CHILE-ARTESANÍA". All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.
- 4. Population of Peru (listed in Appendix II): For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in the stock extant at the time of the ninth meeting of the Conference of the Parties (November 1994) of 3249 kg of wool, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words "VICUÑA-PERÚ". Other products must bear a label including the logotype and the designation "VICUÑA-PERÚ-ARTESANÚA". All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.
- 5. Populations of Botswana, Namibia, South Africa and Zimbabwe (listed in Appendix II): For the exclusive purpose of allowing:
 - a) trade in hunting trophies for non-commercial purposes;
 - b) trade in live animals to appropriate and acceptable destinations, as defined in Resolution Conf. 11.20, for Botswana and Zimbabwe and for in situ conservation programmes for Namibia and South Africa;
 - c) trade in hides;
 - d) trade in hair:
 - e) trade in leather goods for commercial or non-commercial purposes for Botswana, Namibia and South Africa and for non-commercial purposes for Zimbabwe:
 - f) trade in individually marked and certified ekipas incorporated in finished jewellery for non-commercial purposes for Namibia and ivory carvings for non-commercial purposes for Zimbabwe;
 - g) trade in registered raw ivory (for Botswana, Namibia, South Africa and Zimbabwe, whole tusks and pieces) subject to the following:
 - i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin);
 - ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing committee, to have suffcient

national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoP14) concerning domestic manufacturing and trade;

- iii) not before the Secretariat has verified the prospective importing countries and the registered government-owned stocks;
- iv) raw ivory pursuant to the conditional sale of registered government-owned ivory stocks agreed at CoP12, which are 20,000 kg (Botswana), 10,000 kg (Namibia) and 30,000 kg (South Africa);
- v) in addition to the quantities agreed at CoP12, government-owned ivory from Botswana, Namibia, South Africa and Zimbabwe registered by 31 January 2007 and verified by the Secretariat may be traded and despatched, with the ivory in paragraph g) iv) above, in a single sale per destination under strict supervision of the Secretariat;
- vi) the proceeds of the trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; and
- vii) the additional quantities specified in paragraph g) v) above shall be traded only after the Standing committee has agreed that the above conditions have been met; and
- h) no further proposals to allow trade in elephant ivory from populations already in Appendix II shall be submitted to the Conference of the Parties for the period from CoP14 and ending nine years from the date of the single sale of ivory that is to take place in accordance with provisions in paragraphs g) i), g) ii), g) iii), g) vi) and g) vii). In addition such further proposals shall be dealt with in accordance with Decisions 14.77 and 14.78.

On a proposal from the Secretariat, the Standing committee can decide to cause this trade to cease partially or completely in the event of non-compliance by exporting or importing countries, or in the case of proven detrimental impacts of the trade on other elephant populations. All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

- 6. Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of the Convention: "Hatiora x graeseri" Schlumbergera x buckleyi "Schlumbergera russelliana x Schlumbergera truncata" Schlumbergera orssichiana x Schlumbergera truncata" Schlumbergera opuntioides x Schlumbergera truncata" Schlumbergera truncata (cultivars) "Cactaceae spp. colour mutants lacking chlorophyll, grafted on the following grafting stocks: Harrisia 'Jusbertii', Hylocereus trigonus or Hylocereus undatus "Opuntia microdasys (cultivars)".
- 7. Artificially propagated hybrids of the following genera are not subject to the provisions of the Convention, if conditions, as indicated under a) and b), are met: Cymbidium, Dendrobium, Phalaenopsis and Vanda:

- a) Specimens are readily recognizable as artificially propagated and do not show any signs of having been collected in the wild such as mechanical damage or strong dehydration resulting from collection, irregular growth and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other pests; and
- b) i) when shipped in non-flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates or individual shelves of CC-containers) each containing 20 or more plants of the same hybrid; the plants within each container must exhibit a high degree of uniformity and healthiness; and the shipment must be accompanied by documentation, such as an invoice, which clearly states the number of plants of each hybrid; or
 - ii) when shipped in flowering state, with at least one fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail sale, e.g. labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification.

Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents.

- 8. Artificially propagated specimens of cultivars of Cyclamen persicum are not subject to the provisions of the Convention. However, the exemption does not apply to such specimens traded as dormant tubers.
- 9. Artificially propagated hybrids and cultivars of Taxus cuspidata, live, in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text 'artificially propagated', are not subject to the provisions of the Convention.

#1All parts and derivatives, except:

- a) seeds, spores and pollen (including pollinia);
- b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
- c) cut flowers of artificially propagated plants; and
- d) fruits and parts and derivatives thereof of artificially propagated plants of the genus Vanilla.

#2All parts and derivatives except:

- a) seeds and pollen; and
- b) finished products packaged and ready for retail trade.

#3Whole and sliced roots and parts of roots.

#4All parts and derivatives, except:

- a) seeds, except those from Mexican cacti originating in Mexico, and pollen;
- b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
- c) cut flowers of artificially propagated plants;
- d) fruits and parts and derivatives thereof of naturalized or artificially propagated plants; and
- e) separate stem joints (pads) and parts and derivatives thereof of naturalized or artificially propagated plants of the genus Opuntia subgenus Opuntia.

#5Logs, sawn wood and veneer sheets.

#6Logs, sawn wood, veneer sheets and plywood.

#7Logs, wood-chips, powder and extracts.

#8Underground parts (i.e. roots, rhizomes): whole, parts and powdered.

#9All parts and derivatives except those bearing a label "Produced from Hoodia spp. material obtained through controlled harvesting and production in collaboration with the CITES Management Authorities of Botswana/Namibia/South Africa under agreement no. BW/NA/ZA xxxxxxx".

#10Logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.

#11Logs, sawn wood, veneer sheets, plywood, powder and extracts.