GOVERNMENT NOTICE

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Government Notices

MINISTRY OF MINES AND ENERGY

No. 83

COMMENCEMENT OF THE DIAMOND ACT, 1999

Under section 81 of the Diamond Act, 1999 (Act No. 13 of 1999), I hereby determine that the Act shall come into operation on 1 April 2000.

JESAYA NYAMU
MINISTER OF MINES AND ENERGY

Windhoek, 1 March 2000

MINISTRY OF MINES AND ENERGY

No. 84

DIAMOND REGULATIONS

The Minister of Mines and Energy has under section 69 of the Diamond Act, 1999 (Act 13 of 1999), made the regulations contained in the Schedule, which shall come into effect on 1 April 2000.

SCHEDULE

Definitions

1. In these regulations “the Act” means the Diamond Act, 1999 (Act 13 of
1999), and any word or expression to which a meaning has been assigned in the Act shall bear the meaning so assigned to it.

**Application for licence**

2. An application for a licence in terms of section 16 of the Act shall be substantially in the form as set out in Form 1 of Annexure A.

**Issue of licence**

3. A licence issued in terms of section 17 of the Act shall be issued substantially in the form as set out in Form 2 of Annexure A.

**Fees for licences**

4. (1) An application for a licence in terms of section 16 of the Act shall be accompanied by a non-refundable fee as set out in Annexure B.

   (2) The fee payable in respect of the issue of a diamond cutting licence, a diamond tool-making licence or a diamond research licence shall be a fee as set out in Annexure B, payable not later than the date of issue thereof, and annually thereafter not later than the anniversary of the date of issue.

   (3) The fee payable in respect of the issue of a diamond dealer's licence shall be a fee as set out in Annexure B, payable not later than the date of issue thereof, and annually thereafter not later than the anniversary of the date of issue.

**Application for permit**

5. (1) An application in terms of section 28 of the Act for a permit referred to in section 27(a), (b), (e), (d), (e), (f), (g), (h), (i) or (j) of the Act shall be substantially in the form as set out in Form 3 of Annexure A.

   (2) An application in terms of section 28 of the Act for a permit referred to in section 27(k) of the Act shall be substantially in the form as set out in Form 4 of Annexure A.

**Issue of permit**

6. (1) A permit referred to in section 27(a), (b), (e), (d), (e), (f), (g), (h), (i) or (j) and issued in terms of section 29 of the Act shall be issued substantially in the form as set out in Form 5 of Annexure A.

   (2) A permit referred to in section 27(k) and issued in terms of section 29 of the Act shall be issued substantially in the form as set out in Form 6 of Annexure A.

   (3) Unless the Minister otherwise determines, a permit referred to in section 27(k) shall automatically expire-

      (a) if the holder thereof is convicted of any offence under this Act;

      (b) if the holder thereof is convicted of any offence in respect of any high value mineral or any controlled mineral as defined in the Minerals (Prospecting and Mining) Act;

      (c) if the holder thereof is in Namibia or elsewhere under any law convicted of theft, fraud, forgery, uttering, robbery, perjury, housebreaking with intent to commit a crime, bribery or an attempt to commit any of these offences, or any other offence involving dishonesty, or any offence relating to the unlawful dealing in or possession of ivory or rhinoceros horns;

      (d) if the holder thereof is elsewhere than in Namibia convicted under any law of any offence relating to the unlawful dealing in or possession of diamonds;
(e) at the same time as any work permit or temporary residence permit, as the case may be, issued to the holder thereof under the laws of Namibia expires, unless such permit is simultaneously replaced by another valid work permit or temporary residence permit, or a permanent residence permit, or Namibian citizenship;

(f) if any condition endorsed on such permit in terms of section 29(2) of the Act is not complied with.

(4) When a permit referred to in section 27(k) of the Act expires for any reason referred to in sub-regulation (3) the holder of such permit shall within a period of seven days or within such longer period as the Minister may determine on good cause shown, leave the restricted area for which it was issued.

Fees for permits

7. An application in terms of section 28 of the Act for a permit referred to in section 27(a),(b),(c),(d),(e),(f),(g),(h),(i) or (j) of the Act shall be accompanied by a non-refundable fee as set out in Annexure B.

Certificate of approval of premises

8. (1) A certificate of approval of premises on which unpolished diamonds may be sold or disposed of as referred to in section 38(1)(c) and issued in terms of section 39(4) of the Act shall be issued substantially in the form as set out in Form 7 of Annexure A.

(2) A certificate of approval of premises on which unpolished diamonds may be received or purchased as referred to in section 38(2)(c) and issued in terms of section 39(4) of the Act shall be issued substantially in the form as set out in Form 8 of Annexure A.

(3) A certificate of approval of premises on which unpolished diamonds may be processed, or on which research or tests in connection with unpolished diamonds may be conducted, as referred to in section 40(1)(b) and issued in terms of section 41(2), read with section 39 of the Act, shall be issued substantially in the form as set out in Form 9 of Annexure A.

Application for registration of authorized representative

9. An application for the registration of any person as an authorized representative in terms of section 43(1) of the Act shall be substantially in the form as set out in Form 10 of Annexure A.

Issue of certificate of registration as authorized representative

10. A certificate of registration as an authorized representative issued in terms of section 43(9) of the Act shall be issued substantially in the form as set out in Form 11 of Annexure A.

Registers in respect of unpolished diamonds

11. (1) The following registers shall be kept in terms of section 46(1) of the Act:

(a) A producer, contractor sub-contractor, holder of an exclusive prospecting licence and holder of a non-exclusive prospecting licence shall keep a register of unpolished diamonds won, recovered, received, transported, sold, disposed of or exported substantially in the form as set out in Form 12 of Annexure A;
(b) a dealer shall keep a register of unpolished diamonds purchased, imported, received or disposed of substantially in the form as set out in Form 13 of Annexure A;

(c) a cutter shall keep a register of unpolished diamonds purchased, imported, received, processed or disposed of substantially in the form as set out in Form 14 of Annexure A;

(d) a tool-maker shall keep a register of unpolished diamonds purchased, received, processed or disposed of substantially in the form as set out in Form 15 of Annexure A;

(c) a researcher shall keep a register of unpolished diamonds purchased, received, processed or disposed of substantially in the form as set out in Form 16 of Annexure A.

(2) A register referred to in subregulation (1) shall be kept in numerical and chronological order and shall with respect to each calendar month contain the particulars set out in the relevant form referred to in that subregulation, which particulars shall be recorded in handwritten form.

(3) Except where otherwise provided for by the relevant security plan approved under section 50 of the Act, a producer, contractor, sub-contractor, holder of an exclusive prospecting licence or licensee shall keep a separate register referred to in sub-regulation (1) at every place specified in terms of regulation 16(1)(g) where diamonds are kept.

(4) A holder of a non-exclusive prospecting licence shall keep a separate register referred to in sub-regulation (1) at every place where unpolished diamonds are kept.

Notes of receipt or purchase in respect of unpolished diamonds

12. The note of receipt or purchase referred to in section 47(1) of the Act shall be completed substantially in the form as set out in Form 17 of Annexure A.

Security check of persons employed or engaged in activities related to unpolished diamonds

13. (1) Whenever any person is required to perform a security check on an employee or a natural person in terms of section 48(1)(a) of the Act, he or she shall-

(a) obtain a certificate of conduct from the Namibian Police Force;

(b) obtain a certified copy of the following documents in respect of that employee or natural person:

(i) passport;

(ii) identity document;

(iii) temporary or permanent residence permit, as the case may be;

(iv) work permit;

(c) if the employee is not a Namibian citizen, or a permanent resident in Namibia, obtain a document, issued by a competent authority of the country of which that employee or natural person is a citizen, stating whether that employee or natural person has been convicted of any offence in that country or any other country and giving particulars of the nature of and the sentence imposed for any offence;

(d) retain all documents obtained for the purposes of a security check for a period of at least three years from the date on which the employee or natural person has ceased to be engaged in the activity or operation for which the security check has been performed, or for a period of at least three years from the date on which the security check is completed, whichever date is the later of the two.
(2) If the documents referred to in sub-regulation (1) indicate that the employee or natural person-

(a) has been convicted of any offence under this Act or the Diamond Industry Protection Proclamation, 1939 (Proclamation No. 17 of 1939);

(b) has been convicted of any offence in respect of any high value mineral or any controlled mineral as defined in the Minerals (Prospecting and Mining) Act;

(c) has in Namibia or elsewhere under any law been convicted of theft, fraud, forgery, uttering, robbery, perjury, housebreaking with intent to commit a crime, bribery or an attempt to commit any of these offences, or any other offence involving dishonesty, or any offence relating to the unlawful dealing in or possession of ivory or rhinoceros horns;

(d) has elsewhere than in Namibia been convicted under any law of any offence relating to the unlawful dealing in or possession of diamonds;

(e) has any charge or investigation relating to any offence mentioned in paragraph (a), (b), (c) or (d) pending against him or her,

that employee or natural person shall not be suitable to be engaged in any activity or operation referred to in section 48(1).

(3) An employee or natural person engaged in any activity or operation referred to in section 48(1) shall immediately inform the relevant producer, holder of an exclusive prospecting licence or licensee of any conviction referred to in sub-regulation 2(a), (b), (c) or (d) or of the fact that any charge or investigation referred to in sub-regulation 2(e) is pending against him or her.

(4) Any employee or natural person who contravenes or fails to comply with a provision of sub-regulation (3) shall be guilty of an offence and liable on conviction to a fine not exceeding N$50 000-00 or to imprisonment for a period not exceeding one year or to both such fine and such imprisonment.

Records of employees, contractors and sub-contractors

14. (1) Every person, being a producer, contractor, sub-contractor, holder of an exclusive or non-exclusive prospecting licence or a licensee shall record in writing-

(a) the names, identity numbers and/or passport numbers of all employees, contractors and sub-contractors employed or engaged or contracted by that person;

(b) particulars of all employees discharged by that person for dishonesty;

(c) particulars of all contractors or sub-contractors engaged or contracted by that person whose approval in terms of section 49 of the Act has been revoked.

(2) The record referred to in sub-regulation (1)(a) shall be kept for a period of at least three years from the date on which any employee, contractor or sub-contractor has ceased to be an employee, contractor or sub-contractor, as the case may be.

(3) The record referred to in sub-regulation (1)(b) and (c) shall be kept for a period of at least three years after the date on which such record was made.

Documents required by persons who carry or transport unpolished diamonds

15. (1) Except where otherwise provided for by the relevant security plan approved under section 50 of the Act, any person who carries or transports any unpolished diamonds outside a restricted area or from any restricted area to another restricted area, as the case may be, shall have in his or her possession-
(a) an original document, issued, signed and dated by the producer, contractor, sub-contractor, holder of an exclusive prospecting licence or a non-exclusive prospecting licence, licensee or permit holder, as the case may be, for or on behalf of whom the unpolished diamonds are carried or transported on his or her own letterhead, stating -

(i) the name and identity or passport number of the person carrying or transporting the unpolished diamonds;

(ii) the origin, destination, number and weight of the unpolished diamonds;

(iii) the date of the intended carriage or transport of the unpolished diamonds;

(iv) the period of time which would probably be required to carry or transport the unpolished diamonds to their destination;

(v) the route by which the unpolished diamonds should be carried or transported, which shall be the shortest possible route which would be reasonable to follow;

(vi) the specific instruction to the carrier or transporter regarding the precise destination of the unpolished diamonds; and

(b) his or her identity document or passport.

(2) Any person who permits the carrying or transport of an unpolished diamond and who fails to provide a document as required in sub-regulation (1) shall be guilty of an offence and liable on conviction to a fine not exceeding N$50 000-00 or to imprisonment not exceeding one year or to both such fine and such imprisonment.

(3) Any person who carries or transports any unpolished diamond without the documents referred to in sub-regulation (1) shall be guilty of an offence and liable on conviction to a fine not exceeding N$50 000-00 or to imprisonment for a period not exceeding one year or to both such fine and such imprisonment.

(4) Any person who without good cause fails to follow the route or instructions referred to in sub-regulation (1)(v) or (vi), shall be guilty of an offence and liable on conviction to a fine not exceeding N$50 000-00 or to imprisonment for a period not exceeding six months or to both such fine and such imprisonment.

(5) A copy of the original document referred to in sub-regulation (1)(a) shall be kept by the person who issued it for a period of at least three years.

(6) Upon delivery of the unpolished diamonds the original document referred to in sub-regulation (1)(a) shall be signed and dated by the person to whom the diamonds are delivered and retained by that person for a period of at least three years.

(7) Any person who contravenes or fails to comply with the provisions of sub-regulations (5) or (6) shall be guilty of an offence and liable on conviction to a fine not exceeding N$25 000-00 or to imprisonment for a period of six months or to both such fine and such imprisonment.

Security plan

16. (1) A security plan referred to in section 50 of the Act shall contain full particulars specifying:

(a) the policy and procedures to be applied and followed with respect to employees involved in offences under the Act or in any way connected to unpolished diamonds;

(b) the systems of surveillance and control of activities on the business premises, other approved premises and in the relevant restricted area or areas covered by such plan;
(e) the systems and procedures to be followed to safeguard any unpolished diamonds;

(d) access of persons to unpolished diamonds and to the premises or area covered by the security plan;

(e) the manner in which and method by which unpolished diamonds, diamondiferous concentrate or sand, soil, clay, gravel, stone or any mineral is or are to be moved on or from the premises or area covered by the security plan to any other place;

(f) systems and procedures regarding the control of movement of employees and other persons on the premises or in the area covered by the security plan;

(g) each location inside or outside the premises or area covered by the security plan where unpolished diamonds shall be kept and the security arrangements at such locations;

(h) the person responsible for the execution and enforcement of the security plan;

(i) in the case of a producer, contractor or sub-contractor, the security arrangements at the place where mining occurs and at every stage of the recovery process until the final intended product is recovered;

(j) the storage of any diamondiferous concentrate, sand, soil, clay, gravel, stone or minerals;

(k) the security arrangements at places where bulk sampling is being carried on;

(l) the systems and procedures to be followed during the transport of any unpolished diamonds, as well as in the case of an exception referred to in regulation 15(1), particulars regarding the documentation that should be kept;

(m) in the case of an exception referred to in regulation 11(3), alternative arrangement with regard to the keeping of registers or records relating to unpolished diamonds;

(n) any other matter which the Minister may require.

(2) Any holder of an exclusive prospecting licence shall be required to submit a security plan within thirty days after the first diamond was won or recovered by such holder or after bulk sampling has commenced.

(3) Whenever any material changes occur regarding the matters which are required to be covered by a security plan, or in the scope of the activities giving rise to such plan, such plan shall be amended accordingly subject to the provisions of section 50(6) of the Act.

Records to be kept by cutter

17. (1) A cutter shall, in respect of each unpolished diamond purchased, received, imported, exported, sold or disposed of, record in writing a description of such diamond, which description shall contain particulars of the weight, value, shape, colour and clarity of such diamond, unless such cutter is, on good cause shown, exempted in writing by the Commissioner from keeping records of any of the prescribed particulars.

(2) A cutter shall, in respect of each diamond processed by that cutter, promptly record in writing a description of such diamond after it has been processed, which description shall contain particulars of the weight, value, cut, colour and clarity of such diamond.
(3) The records referred to in sub-regulations (1) and (2) shall be kept for a period of at least three years, in such a manner that such records are ready and suitable for inspection at the request of a diamond inspector or police official exercising his or her powers under the Act.

(4) Any person who contravenes or fails to comply with the provisions of this regulation shall be guilty of an offence and liable on conviction to a fine not exceeding N$100 000-00 or to imprisonment for a period not exceeding two years or to both such fine and such imprisonment.

Registration of diamond prospecting or mining vessels

18. Every producer, holder of an exclusive prospecting licence, contractor or sub-contractor who is required to register a vessel as a diamond prospecting or mining vessel in terms of section 57(1) of the Act shall complete and submit to the Commissioner a document substantially in the form as set out in Form 18 of Annexure A.

Export of polished diamonds

19. (1) Any person who intends to export from Namibia any polished diamonds of a total weight of 10 carats or more shall notify the Minister, as required by section 64(1) of the Act, of that fact, by completing and delivering a notification substantially in the form as set out in Form 19 of Annexure A within the period required by that section.

(2) For the purposes of this regulation, delivery shall not be regarded to have taken place unless the Minister has acknowledged receipt in writing of the document referred to in sub-regulation (1).

Search of animals

20. Any search in terms of sections 66 or 67 of the Act may include a search of any animal.
ANNEXURE A

FORMS

FORM 1

NEW LICENCE APPLICATION FOR LICENCE IN TERMS OF SECTION 16

REPUBLIC OF NAMIBIA
MINISTRY OF MINES AND ENERGY
DIAMOND ACT, 1999 (ACT 13 OF 1999)

1. Kind of licence applied for: Dealer [ ] Cutter [ ] Tool-maker [ ] Researcher [ ]

2. Particulars of applicant if prospective licensee is a natural person: (In case of partnerships, provide particulars required in paragraph 2 in respect of each partner on a separate sheet of paper)

   2.1 First name(s): ..............................................................
   2.2 Surname(s): ..............................................................
   2.3 Identity No/Passport No: (attach certified copy) ................
   2.4 Nationality: (attach proof) ...........................................
   2.5 Postal Address: ..........................................................
   2.6 Residential Address: .................................................
   2.7 Telephone No: ..........................................................
   2.8 Fax No: ...................................................................
   2.9 If permanently resident in Namibia, state Permanent Residence Permit No: (attach proof) .................................................
   2.10 Tax No: (attach proof) ................................................
   2.11 Interest (%) held in partnership (in case of partnership)

3. Particulars of prospective licensee if it is a juristic person (company or close corporation) already in existence:

   3.1 Name: ................................................................
   3.2 Namibian Registration No: ...........................................
   3.3 Telephone No: ..........................................................
   3.4 Fax No: ................................................................
   3.5 Business Address: ....................................................
   3.6 Postal Address: ........................................................
   3.7 Tax No: ................................................................
   3.8 Name of chief executive: ............................................
   3.9 Full name, nationality, identity number/passport number of every director/member:

   .................................................................................

   .................................................................................

   .................................................................................

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   .................................................................................

3.10 Particulars of each interest held (%) in the juristic person and name, address and nationality of holder(s) of controlling interest (as defined in Section 1 of the Act): 

   .................................................................................

   .................................................................................

   .................................................................................

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   .................................................................................
4. Particulars of prospective licensee if it is a juristic person (company or close corporation) to be incorporated in the event that a licence is granted to it:

4.1 Proposed name: ____________________________

4.2 Name of proposed chief executive: ____________________________

4.3 Full name, nationality, identity number/passport number of every person to become director/member:

4.4 Particulars of each interest (%) to be held in the juristic person and name, address and nationality of any person(s) to become holder(s) of controlling interest (as defined in Section 1 of the Act):

4.5 Particulars of applicant applying on behalf of prospective licensee:

(Note: If applicant is a natural person, complete 4.5.1 - 4.5.9; 4.5.20 and 4.5.21 below. If applicant is a juristic person (company or close corporation) complete 4.5.10 - 4.5.21 below)

4.5.1 First names: ____________________________

4.5.2 Surname: ____________________________

4.5.3 Identity No/Passport No: (attach certified copy) ____________________________

4.5.4 Nationality: ____________________________

4.5.5 Postal address: ____________________________

4.5.6 Residential address: ____________________________

4.5.7 Telephone No: ____________________________ (work) ____________________________ (home)

4.5.8 Fax No: ____________________________

4.5.9 If permanently resident in Namibia, state Permanent Residence Permit No: ____________________________

4.5.10 Name: ____________________________

4.5.11 Namibian Registration No: ____________________________

4.5.12 Telephone No: ____________________________

4.5.13 Fax No: ____________________________

4.5.14 Business Address: ____________________________

4.5.15 Postal Address: ____________________________

4.5.16 Tax No: ____________________________

4.5.17 Name of chief executive: ____________________________

4.5.18 Full name, nationality, identity number/passport number of every director/member:

4.5.19 Particulars of each interest held (%) in the juristic person and name, address and nationality of holder(s) of controlling interest (as defined in Section 1 of the Act):

4.5.20 ____________________________

4.5.21 ____________________________
4.5.20 Give details of relationship with (including any interest in) and reason for applying on behalf of prospective licensee: ____________________________

_______________________________________________________

_______________________________________________________

4.5.21 I undertake to incorporate and register the company/close corporation mentioned in paragraph 4.1 above with such particulars as furnished in paragraph 4.1 - 4.4 above within a period of ________________ in the event of the application being granted.

SIGNATURE ____________________ DATE ______________

5. In the case of all applicants, give full particulars of any person, who by virtue of an agreement with the prospective licensee, or by virtue of any direct or indirect interest in the prospective licensee, or by any other means, is able to exert influence over the conduct of the affairs of the prospective licensee - (See Section 16(4)(b)(iv) of the Act): ____________________________

_______________________________________________________

_______________________________________________________

6. State whether applicant named in paragraph 2.1 and 2.2 or 3.1 or whether any person mentioned in paragraph 3.8, 3.9, 3.10, 4.2, 4.3, 4.4, 4.5.1, 4.5.2, 4.5.17, 4.5.18, 4.5.19 or 5 -

6.1 has ever been declared insolvent: ____________________________

(If yes, provide details on separate sheet of paper)

6.2 is of unsound mind and has been declared so by a competent court: ______

(If yes, provide details on separate sheet of paper)

6.3 has ever been convicted of a criminal offence, in or outside the Republic of Namibia:

_______________________________________________________

(If yes, provide details on separate sheet of paper)

6.4 has ever been arrested for or charged with, or acquitted of, any criminal offence, in or outside the Republic of Namibia:

_______________________________________________________

(If yes, provide details on separate sheet of paper)

6.5 has any investigation in connection with any criminal offence pending against him/her: ____________________________

(If yes, provide details on separate sheet of paper)

7. Attach business plan including full details of the following:

7.1 Number of employees to be employed in connection with activities authorised by the licence.

7.2 Names, positions and nationality of key personnel and details of expertise, including copy of their curriculum vitae.

7.3 Experience and expertise of prospective licensee/shareholders/members/directors and/or management in diamond industry.

7.4 Available working capital, financial support and insurance arrangements with regard to diamonds.

7.5 How unpolished diamonds (in case of dealer) or polished diamonds (in case of cutter) will be marketed.

7.6 How tools, implements or other articles or crushed or altered diamonds (in case of toolmaker) will be marketed.

7.7 Object and details of research (in case of researcher).

7.8 Source from which unpolished diamonds will be obtained.

7.9 Any further particulars, information or details of relevant plans or programs.
FORM 1

8. Full particulars of address where business premises referred to in Section 18 of the Act is or will be situated (If particulars not yet available, then provide as soon as possible as licence will not be issued unless provided):

9. *I (full names) hereby declare that the contents of this application are to the best of my knowledge and belief true and correct in all respects after due inquiry and I declare that I am aware of the provisions of section 71(g) of the Act.

SIGNATURE: DATE:

(*Owner in case of one-man business, duly authorised person on behalf of company or close corporation, all partners in case of partnership)
No. 2300  Government Gazette 31 March 2000

FORM 2

REPUBLIC OF NAMIBIA
MINISTRY OF MINES AND ENERGY
DIAMOND ACT, 1999 (ACT 13 OF 1999)

LICENCE
(Issued in terms of section 17)

A __________________________________ licence is hereby issued to

__________________________________________

Identity No / Namibian registration No : ______________________
entitling the holder to ______________________

__________________________________________

on the following approved business premises: ______________________

__________________________________________

Conditions of licence (if any):

__________________________________________

Period of validity of licence: ______________________
Remarks (if any):

__________________________________________

DATE STAMP

DIAMOND COMMISSIONER
REPUBLIC OF NAMIBIA
MINISTRY OF MINES AND ENERGY
DIAMOND ACT, 1999 (ACT 13 OF 1999)
APPLICATION IN TERMS OF SECTION 28 FOR PERMIT REFERRED TO IN SECTION 27(a),(b),(c),(d),(e),(f),(g),(h),(i) or (j)

1. Kind of permit applied for: (mark appropriate block)

(a) Possession of unpolished diamond(s) (Sec. 27(a))

(i) Total number of diamonds: ____________________________
(ii) Total mass (in carats up to two decimal places): ____________
(iii) Total value: ____________________________
(iv) Total number of diamonds with mass above 2 carats each: _________
   (Attach separate sheet of paper listing mass of each such diamond)
(v) Source of diamonds (attach proof):
   Name: ____________________________
   Address: ____________________________
(vi) Reason for/purpose of possession: ____________________________
(vii) Date/period for which permit is required: _________

(b) Selling or disposal of unpolished diamond(s) (Sec. 27(b))

(i) Total number of diamonds: ____________________________
(ii) Total mass (in carats up to two decimal places): ____________
(iii) Total value: ____________________________
(iv) Total number of diamonds with mass above 2 carats each: _________
   (Attach separate sheet of paper listing mass of each such diamond)
(v) Source of diamonds (attach proof):
   Name: ____________________________
   Address: ____________________________
(vi) Intended buyer or receiver (if known):
   Name: ____________________________
   Address: ____________________________
(vii) Date/period for which permit is required: _________
(viii) Premises of intended transaction (as referred to in Section 38(1)
   of the Act): ____________________________

(c) Receiving or purchasing of unpolished diamond(s) (Sec. 27(c))

(i) Total number of diamonds: ____________________________
(ii) Total mass (in carats up to two decimal places): ____________
(iii) Total value: ____________________________
(iv) Total number of diamonds with mass above 2 carats each: _________
   (Attach separate sheet of paper listing mass of each such diamond)
(v) Person from whom to be received or purchased (attach proof):
   Name: ____________________________
   Address: ____________________________
(vi) Date/period for which permit is required: _________
(vii) Premises of intended transaction (as referred to in Section 38(2)
   of the Act): ____________________________
FORM 3

(d) Export of unpolished diamond(s) (Sec. 27(d))

(i) Total number of diamonds:

(ii) Total mass (in carats up to two decimal places):

(iii) Total value:

(iv) Total number of diamonds with mass above 2 carats each:

(Attach separate sheet of paper listing mass of each such diamond)

(v) Source of diamonds (attach proof):

Name:

Address:

(vi) Intended recipient:

Name:

Address:

(vii) Date/period for which permit is required:

(viii) Purpose of export:

(ix) Point of export:

(x) Means of export (by rail, air, sea, road):

(e) Import of unpolished diamond(s) (Sec. 27(e))

(i) Total number of diamonds:

(ii) Total mass (in carats up to two decimal places):

(iii) Total value:

(iv) Total number of diamonds with mass above 2 carats each:

(Attach separate sheet of paper listing mass of each such diamond)

(v) Source of diamonds (attach proof):

Name:

Address:

(vi) Date/period for which permit is required:

(vii) Purpose of import:

(viii) Point of entry:

(f) Polishing, crushing or setting of unpolished diamond(s) (Sec. 27(f))

(i) Total number of diamonds:

(ii) Total mass (in carats up to two decimal places):

(iii) Total value:

(iv) Total number of diamonds with mass above 2 carats each:

(Attach separate sheet of paper listing mass of each such diamond)

(v) Source of diamonds (attach proof):

Name:

Address:

(vi) Reason for/purpose of polishing, crushing or setting diamonds:

(vii) Date/period for which permit is required:

(viii) Premises (as referred to in section 40(1) of the Act):

(g) Possession of diamondiferous concentrate (Sec. 27(g))

(i) Total mass of concentrate:

(ii) Description of container(s):

(iii) Number of container(s):

(iv) Place where concentrate to be kept/possessed:

(v) Reason for/purpose of possession:
FORM 3

(vi) Source of concentrate (attach proof):
Name: ________________________________
Address: _____________________________________________________________________
(vii) Date/period for which permit is required: ________________________________

(h) Export of diamondiferous concentrate (Sec. 27(h))

(i) Total mass of concentrate: ________________________________
(ii) Description of container(s): ________________________________
(iii) Number of container(s): ________________________________
(iv) Source of concentrate (attach proof):
Name: ___________________________________________________________________
Address: ___________________________________________________________________
(v) Intended recipient:
Name: ________________________________
Address: ___________________________________________________________________
(vi) Date/period for which permit is required: ________________________________
(vii) Purpose of export: ________________________________
(viii) Point of export: ________________________________
(ix) Means of export (by rail, air, sea, road, etc): ________________________________

(i) Removal of sand, soil, clay, gravel, stone or mineral from restricted area (Sec. 27(i))

(i) Total mass/volume: ________________________________
(ii) Description of container(s): ________________________________
(iii) Number of container(s): ________________________________
(iv) Means of removal: ________________________________
(v) Intended destination (full details of address): ________________________________
(vi) Reason for/purpose of removal: ________________________________
(vii) Restricted area from which to be removed: ________________________________
(viii) Date/period for which permit is required: ________________________________

(j) Export of sand, soil, clay, gravel or stone which may contain diamonds (Sec.27(j))

(i) Total mass/volume: ________________________________
(ii) Description of container(s): ________________________________
(iii) Number of container(s): ________________________________
(iv) Source of concentrate (attach proof):
Name: ___________________________________________________________________
Address: ___________________________________________________________________
(v) Intended recipient:
Name: ________________________________
Address: ___________________________________________________________________
(vi) Date/period for which permit is required: ________________________________
(vii) Reasons why it is believed that the material for which the permit is requested may contain diamonds: ________________________________
(viii) Purpose of export: ________________________________
(ix) Point of export: ________________________________
(x) Means of export (by rail, air, sea, road, etc): ________________________________
2. **Particulars of applicant if he/she is a natural person:**

(In case of partnership each partner must supply particulars)

(a) First name(s): ____________________________________________

(b) Surname: _____________________________________________

(c) Identity No/Passport No (attach certified copy): ____________

(d) Nationality (attach proof): ________________________________

(e) Postal address: _________________________________________

(f) Residential address: _________________________________

(g) Telephone no: _________________________________________

(h) Fax no: ______________________________________________

(i) If permanent resident in Namibia, state Permanent Residence Permit No (attach certified copy): _______________________

3. **Particulars of applicant if it is a juristic person:**

(a) Name: ________________________________________________

(b) Telephone no: _________________________________________

(c) Fax no: ______________________________________________

(d) Business address: ______________________________________

(e) Postal address: _________________________________________

(f) Registration no: _________________________________________

(g) Tax no (attach proof): ________________________________

(h) Name of chief executive: ________________________________

(i) Full name, nationality, identity number/passport number of every director/member: ________________________________

(j) Particulars of each interest held (%) in the juristic person and name, address and nationality of holder(s) of controlling interest (as defined in section 1 of the Act): ________________________________

4. **In the case of all applicants, give full particulars of any person, who by virtue of a contract with the applicant, or by virtue of any direct or indirect interest in the applicant, or by any other means, is able to exert influence over the conduct of the affairs of the applicant:**

________________________________________________________________________________________________________________________

5. **State whether applicant named in paragraph 1 or whether any person mentioned in paragraph 2(h), (i) or (j) or 3 -

(a) has ever been convicted of a criminal offence, in or outside the Republic of Namibia:

(If yes, provide details on separate sheet of paper)

(b) has ever been arrested for, or charged with, or acquitted of, any criminal offence in or outside the Republic of Namibia:

(If yes, provide details on separate sheet of paper)
FORM 3

has any investigation in connection with any criminal offence pending against him/her -

(If yes, provide details on separate sheet of paper)

6. *I, ____________________________ (full names) hereby declare that the contents of this application are to the best of my knowledge and belief true and correct in all respects after due inquiry and I declare that I am aware of the provisions of section 71(g) of the Act.

SIGNATURE : ____________________ DATE : ____________________

(*Owner in case of one-man business, duly authorised person on behalf of company or close corporation, all partners in case of partnership)
REPUBLIC OF NAMIBIA
MINISTRY OF MINES AND ENERGY
DIAMOND ACT, 1999 (ACT 13 OF 1999)

APPLICATION IN TERMS OF SECTION 28 FOR PERMIT REFERRED TO IN SECTION 27(k)

(Notes: (i) Permit should be applied for at least 30 days before required.
(ii) A separate application form should be completed by applicant’s spouse and each dependant of 15 years or older who require a permit.)

1. Particulars of applicant:
   (a) First name(s): ____________________________
   (b) Surname: ____________________________
   (c) Sex: ____________________________
   (d) Identity No/Passport No (attach certified copy): ____________________________
   (e) Nationality (attach proof): ____________________________
   (f) Postal address: ____________________________
   (g) Residential address: ____________________________
   (h) Telephone No: ____________________________ (work) ____________________________ (home)
   (i) Fax No: ____________________________
   (j) If permanently resident in Namibia, state Permanent Residence Permit No (attach certified copy): ____________________________
   (k) Work permit No (attach copy): ____________________________
   (l) Expiry date of work permit: ____________________________

2. Particulars of employer:
   (a) Name: ____________________________
   (b) Postal address: ____________________________
   (c) Business address: ____________________________
   (d) Telephone No: ____________________________
   (e) Fax No: ____________________________
   (f) Capacity/position in which applicant is employed: ____________________________

3. Particulars of restricted area(s) for which permit is required:
   (a) Area(s): ____________________________
   (b) Point of entry: ____________________________
   (c) Point of departure: ____________________________
   (d) Purpose for which permit is required: ____________________________
   (e) Date/period for which permit is required: ____________________________

4. If applicant is spouse, child, other family member or dependent of existing permit holder employed in restricted area, give particulars of such permit holder:
   (a) First name(s): ____________________________
   (b) Surname: ____________________________
   (c) Postal address: ____________________________
   (d) Residential address: ____________________________
   (e) Permit no: ____________________________
   (f) Relationship to permit holder: ____________________________
FORM 4

5. **Particulars of any dependants under the age of 15 years who should be covered by this permit:**

   **Dependant No. 1:**
   (a) First name(s): ____________________________
   (b) Surname: _________________________________
   (c) Relationship to applicant: __________________________
   (d) Age: _____________________________________
   (e) Sex: ______________________________________
   (f) Date of birth: _______________________________

   **Dependant No. 2:**
   (a) First name(s): ____________________________
   (b) Surname: _________________________________
   (c) Relationship to applicant: __________________________
   (d) Age: _____________________________________
   (e) Sex: ______________________________________
   (f) Date of birth: _______________________________

   (In case of more than two such dependants provide particulars as above on separate sheet of paper)

6. **Particulars of and statement by person in control of restricted area (e.g. producer, contractor or sub-contractor):**

   (a) Name: _________________________________
   (b) Postal address: ___________________________
   (c) Residential/business address: ___________________________
   (d) Telephone no: __________________________
   (e) I ____________________________ (full names), telephone no ____________________________ in my capacity as __________________________ hereby support/do not support (delete whichever is not applicable) the above application by __________________________ (state names of applicant), subject to the following recommendations (if any):

   ___________________________________________________________________

   ___________________________________________________________________

   ___________________________________________________________________

   If application is not supported, state reasons:

   ___________________________________________________________________

   ___________________________________________________________________

   ___________________________________________________________________

   ___________________________________________________________________

   ___________________________________________________________________

   I am duly authorised by ____________________________ (name of producer, contractor, sub-contractor in charge of restricted area) to make this statement.

   SIGNATURE: __________________________ DATE: ________________

7. **State whether applicant -**

   (a) has ever been convicted of a criminal offence, in or outside the Republic of Namibia:
   (If yes, provide details on separate sheet of paper)

   (b) has ever been arrested for, or charged with, or acquitted of, any criminal offence, in or outside the Republic of Namibia:

   (If yes, provide details on separate sheet of paper)
FORM 4

(c) has any investigation in connection with any criminal offence pending against him/her:
(If yes, provide details on separate sheet of paper)

SIGNATURE OF APPLICANT: _____________ DATE: _____________
(If signed on behalf of applicant, also state full names of person signing.)

<table>
<thead>
<tr>
<th>APPROVED</th>
<th>NOT APPROVED</th>
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<tbody>
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</table>

Signature of police officer / diamond inspector / Diamond Commissioner or other authorised person.

DATE STAMP
REPUBLIC OF NAMIBIA
MINISTRY OF MINES AND ENERGY
DIAMOND ACT, 1999 (ACT 13 OF 1999)

PERMIT REFERRED TO IN SECTION 27(a), (b), (c), (d), (e), (f), (g), (h), (i) or (j)
(Issued in terms of Section 28)

Permission is hereby granted to:

Name: ____________________________________________________________

Identity No/Passport No/Namibian Registration No: ______________________

Address: _________________________________________________________

to: _______________________________________________________________

(state "sell or dispose of", "receive", "purchase", "export", "possess", "import", "polish", "set", "crush", "remove" as the case may be)

the following: _____________________________________________________

(state "unpolished diamond", "diamondiferous concentrate", "sand", "soil", "clay", "gravel", "stone" or "minerals", as the case may be)

Description: ______________________________________________________

..............................................................................................................

Conditions (if any): ...................................................................................

..............................................................................................................

Remarks (if any): ....................................................................................

..............................................................................................................

Permit is valid from ______________ until ______________

DIAMOND COMMISSIONER

DATE STAMP
REPUBLIC OF NAMIBIA
MINISTRY OF MINES AND ENERGY
DIAMOND ACT, 1999 (ACT 13 OF 1999)
PERMIT REFERRED TO IN SECTION 27(k)
(Issued in terms of Section 28)

Permission is hereby granted to:

Name: ________________________________________________
(and the dependants listed on the reverse hereof, if any)
Identity No/Passport No: ________________________________
Postal address: ________________________________________
Residential address: ____________________________________
to: __________________________________________________
(State "enter", "reside", "travel" and/or "work" whichever is applicable) in the
following restricted area(s):

_____________________________________________________

on/during the period: ________________________________
(state date(s) or period, whichever is applicable)

Note that by virtue of the provisions of section 67(3) of the Act it shall be a condition
of this permit that the holder of this permit (and any dependants under the age of
15 years listed on the reverse side hereof) consent to being searched in a lawful
manner upon leaving or immediately after having left the abovementioned restricted
area(s) or upon leaving or immediately after having left the following places(s) within
the restricted area (list any such places): ________________________________

_____________________________________________________

Other conditions (if any): ________________________________

______________________________
ISSUING AUTHORITY

DATE
STAMP
FORM 7

REPUBLIC OF NAMIBIA
MINISTRY OF MINES AND ENERGY
DIAMOND ACT, 1999 (ACT 13 OF 1999)

CERTIFICATE OF APPROVAL:
PREMISES FOR SELLING UNPOLISHED DIAMONDS
(Issued in terms of Section 39, read with Section 38(1))

No. ______

This is to certify that in terms of the provisions of the Diamond Act, 1999 (Act 13 of 1999), the premises at: ____________________________

have been approved as premises for the selling or disposal of unpolished diamonds in the name of: ____________________________

a: ____________________________

(state "producer", "holder of an exclusive prospecting license", "holder of a non-exclusive prospecting license", "dealer" or "holder of a permit referred to in Section 27(b)", as the case may be)

subject to the following conditions: ____________________________

__________________________

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DIAMOND COMMISSIONER

DATE STAMP
FORM 8

REPUBLIC OF NAMIBIA
MINISTRY OF MINES AND ENERGY
DIAMOND ACT, 1999 (ACT 13 OF 1999)

CERTIFICATE OF APPROVAL:
PREMISES FOR RECEIPT OR PURCHASE OF UNPOLISHED DIAMONDS
(Issued in terms of Section 39, read with Section 38(2))

No. ______

This is to certify that in terms of the provisions of the Diamond Act, 1999 (Act 13 of
1999), the premises at: __________________________

have been approved as premises for the receiving or purchasing of unpolished
diamonds in the name of: __________________________

___________________________________________

a: __________________________
(state “dealer”, “cutter”, “tool-maker”, “researcher” or “holder of a permit referred
to in Section 27(c)”, as the case may be)

subject to the following conditions: __________________________

___________________________________________

___________________________________________

___________________________________________

___________________________________________

DIAMOND COMMISSIONER

DATE STAMP
FORM 9

REPUBLIC OF NAMIBIA
MINISTRY OF MINES AND ENERGY
DIAMOND ACT, 1999 (ACT 13 OF 1999)

CERTIFICATE OF APPROVAL:
PREMISES FOR PROCESSING OF UNPOLISHED DIAMONDS
(Issued in terms of Section 41, read with Section 39)

No. _______

This is to certify that in terms of the provisions of the Diamond Act, 1999 (Act 13 of 1999), the premises at: ____________________________

have been approved as premises for the processing of unpolished diamonds in the name of: ____________________________

____________________________

a: ____________________________
(state "cutter", "tool-maker" or "researcher", as the case may be)

subject to the following conditions: ____________________________

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DIAMOND COMMISSIONER

DATE STAMP
REPUBLIC OF NAMIBIA
MINISTRY OF MINES AND ENERGY
DIAMOND ACT, 1999 (ACT 13 OF 1999)

APPLICATION FOR REGISTRATION OF AUTHORIZED REPRESENTATIVE
(In terms of Section 43(1))

1. **Particulars of applicant (if natural person):**
   
   (a) First name(s): __________________________
   
   (b) Surname: ________________________________
   
   (c) Identity No/Passport No (attach certified copy): ___________________
   
   (d) Nationality (attach proof): ____________________________
   
   (e) Postal address: ____________________________
   
   (f) Residential address: ____________________________
   
   (g) Telephone no: ___________________ (work) ___________ (home)
   
   (h) Fax no: ____________________________
   
   (i) If permanently resident in Namibia, state Permanent Residence Permit No (attach certified copy): __________________________
   
   (j) Tax no (attach proof): ____________________________
   
   (k) Work permit No (attach copy): ____________________________
   
   (l) Expiry date of work permit: ____________________________

2. **Particulars of applicant (if juristic person):**
   
   (a) Name: ____________________________
   
   (b) Registration no: ____________________________
   
   (c) Telephone no: ____________________________
   
   (d) Fax no: ____________________________
   
   (e) Business address: ____________________________
   
   (f) Postal address: ____________________________
   
   (g) Tax no (attach proof): ____________________________
   
   (h) Name of chief executive: ____________________________

3. State whether applicant is a "cutter", "dealer", "tool-maker", "researcher", "producer", "holder of exclusive prospecting license", "holder of non-exclusive prospecting license", "holder of permit referred to in Section 27(b)" or "holder of permit referred to in Section 27(c)", as the case may be:

   ____________________________

4. **Particulars of natural person to be registered as authorized representative:***
   
   (a) First name(s): ____________________________
   
   (b) Surname: ____________________________
   
   (c) Identity no/Passport no (attach certified copy): _________________________
   
   (d) Nationality (attach proof): ____________________________
   
   (e) Postal address: ____________________________
   
   (f) Residential address: ____________________________
   
   (g) Telephone no: ___________________ (work) ___________ (home)
   
   (h) Fax no: ____________________________
   
   (i) If permanently resident in Namibia, state Permanent Residence Permit no (attach certified copy): ____________________________
   
   (j) Work permit No (attach copy): ____________________________
   
   (k) Expiry date of work permit: ____________________________
(l) Tax no (attach proof):

(m) State nature of involvement in applicant’s activities:

(n) State particulars of knowledge/experience of diamonds:

(o) State whether person to be registered as authorized representative:
(i) has ever been declared insolvent: ____________________________
   (If yes, provide details on separate sheet of paper)
(ii) has ever been convicted of a criminal offence, in or outside the
    Republic of Namibia:
   ____________________________
   (If yes, provide details on separate sheet of paper)
(iii) has ever been arrested for or charged with, or acquitted of, any
     criminal offence, in or outside the Republic of Namibia:
   ____________________________
   (If yes, provide details on separate sheet of paper)
(iv) has any investigation in connection with any criminal offence
     pending against him/her:
   ____________________________
   (If yes, provide details on separate sheet of paper)

5. Names of existing authorized representative(s) (if any):

6. Names of authorized representative(s) to be replaced (if any):

7. *I (applicant) ____________________________ (full names) hereby
   declare that the contents of this application are to the best of my knowledge
   and belief true and correct in all respects after due inquiry and I declare that
   I am aware of the provisions of section 71(g) of the Act.

SIGNATURE: ____________________________ DATE: ____________________________
(APPLICANT)
(*Owner in case of one-man business, duly authorised person on behalf of company
or close corporation, all partners in case of partnership)
7. I (intended authorized representative) [full names] hereby declare that the contents of this application, in so far as they relate to me, are to the best of my knowledge and belief true and correct in all respects after due inquiry and I declare that I am aware of the provisions of section 71(g) of the Act.

SIGNATURE: ______________________ DATE: ______________________
(INTENDED AUTHORIZED REPRESENTATIVE)
CERTIFICATE OF REGISTRATION AS AUTHORIZED REPRESENTATIVE
(Issued in terms of Section 43(9))

No. _______

This is to certify that:

Identity no/Passport no: ____________________________

has been registered as an authorized representative of:

a: ____________________________________________

(state "cutter", "dealer", "tool-maker", "researcher", "producer", "holder of exclusive prospecting license", "holder of non-exclusive prospecting license", "holder of permit referred to in Section 27(b)" or "holder of permit referred to in Section 27(c)", as the case may be)

and is therefore authorized to: ____________________________

(state "sell" or "purchase" or "purchase and sell", as the case may be)

unpolished diamonds on behalf of the last-mentioned person.

____________________________________________________
DIAMOND COMMISSIONER

DATE STAMP
# Republic of Namibia
## Ministry of Mines and Energy
### Diamond Act 1999 (Act 13 of 1999)

## Register of Unpolished Diamonds Won, Recovered, Received, Transported, Sold, Disposed of or Exported

### Name of Producer/Holder of Exclusive Prospecting License/Non-Exclusive Prospecting License/Contractor/Sub-Contractor

### Site/Plant/Vessel Name & No./Place Where Diamonds Are Kept:

### License No.:

### Address:

### TEL No.:

### FAX No.:

<table>
<thead>
<tr>
<th>Date</th>
<th>Stones (less than 2 carats)</th>
<th>Stones (2 carats and more)</th>
<th>Single Stones (10.8 carats and more)</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Total Weight</td>
<td>Total Weight</td>
<td>Total Weight</td>
<td></td>
</tr>
<tr>
<td>Stock at start of month</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td></td>
<td>Total Stock</td>
<td>Total Stock</td>
<td>Total Stock</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Date</th>
<th>State if diamonds transported, sold, exported, etc.</th>
<th>Place to which transported/Name of transferee/purchaser</th>
<th>Serial No.</th>
<th>Stones (less than 2 carats)</th>
<th>Stones (2 carats and more)</th>
<th>Single Stones (10.8 carats and more)</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
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<td>Total Weight</td>
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<td>Stock at start of month</td>
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<td>Stock at end of month</td>
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</table>

**TOTAL**

**STOCK AT END OF MONTH**

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I, ________________________________

do hereby declare that the above is a true return of all unpolished diamonds won, recovered, received, transported, sold, disposed of or exported by me.

SIGNATURE OF PRODUCER/HOLDER OF EXCLUSIVE PROSPECTING LICENSE/HOLDER OF NON-EXCLUSIVE PROSPECTING LICENSE/CONTRACTOR/SUB-CONTRACTOR (OR AUTHORIZED PERSON)

DATE: __________________________
REPUBLIC OF NAMIBIA
MINISTRY OF MINES AND ENERGY
DIAMOND ACT 1999 (ACT 13 OF 1999)

REGISTER OF UNPOLISHED DIAMONDS PURCHASED, IMPORTED, RECEIVED OR DISPOSED OF

<table>
<thead>
<tr>
<th>Name of Diamond Dealer</th>
<th>Business Address</th>
<th>Tel No:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Diamonds Purchased, Imported or Received</th>
<th>Diamonds Disposed Of</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date and number of receipt</td>
<td>Date</td>
</tr>
<tr>
<td>From whom purchased, etc. (name and address)</td>
<td>State how diamonds were disposed of (sold, exported, etc.)</td>
</tr>
<tr>
<td>Mass (In carats)</td>
<td>Name of purchaser or transferee</td>
</tr>
<tr>
<td>Value N$</td>
<td>Mass (In carats)</td>
</tr>
<tr>
<td>Stock on hand at start of month</td>
<td>Value N$</td>
</tr>
</tbody>
</table>

| Total                                   | Total |

I, ____________________________, do hereby declare that the above is a true return of all unpolished diamonds purchased, received, imported or disposed of by me.

Signature of licensee (or an authorized representative) ____________________________  Date: ____________________________
**REGISTER OF UNPOLISHED DIAMONDS PURCHASED, IMPORTED, RECEIVED, PROCESSED AND DISPOSED OF**

**NAME OF CUTTER:** ____________________________  **BUSINESS ADDRESS:** ____________________________  **TEL NO.:** ____________________________

**UNPOLISHED DIAMONDS PURCHASED, IMPORTED OR RECEIVED**

<table>
<thead>
<tr>
<th>Date</th>
<th>Diploma No.</th>
<th>Test No.</th>
<th>From whom (name and address)</th>
<th>Stones less than 1 cent</th>
<th>Stones 1 cent and over</th>
<th>Single Stones (1 A.B. and over) in ozs. and ozs. separately</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Total Weight</td>
<td>Total Value</td>
<td>Total Weight</td>
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<tr>
<td>Stock at start of month</td>
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<td>TOTAL</td>
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I, ____________________________, do hereby declare that the above is a true return of all unpolished diamonds purchased, imported, received, processed or disposed of by me.

**SIGNATURE OF LICENSEE (OR AUTHORIZED PERSON):** ____________________________  **DATE:** ____________________________

[Form 14 continued on page 34]
### Processed Diamonds

<table>
<thead>
<tr>
<th>Stone (less than 1 carat)</th>
<th>Stone (1 carat and over)</th>
<th>Single Stone (10.2 carats and over)</th>
<th>Name of transferee/purchaser</th>
<th>Stone in disposal of</th>
<th>Stone (less than 1 carat)</th>
<th>Stone (1 carat and over)</th>
<th>Single Stone (1.2 carats and over)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Weight</td>
<td>Total</td>
<td>Value</td>
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</table>

### Unpolished Diamonds Disposed Of

<table>
<thead>
<tr>
<th>Stone (less than 1 carat)</th>
<th>Stone (1 carat and over)</th>
<th>Single Stone (10.2 carats and over)</th>
<th>Name of transferee/purchaser</th>
<th>Stone in disposal of</th>
<th>Stone (less than 1 carat)</th>
<th>Stone (1 carat and over)</th>
<th>Single Stone (1.2 carats and over)</th>
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[Form 14 continued from page 33]
REPUBLIC OF NAMIBIA
MINISTRY OF MINES AND ENERGY
DIAMOND ACT, 1999 (ACT 13 OF 1999)

REGISTER OF UNPOLISHED DIAMONDS PURCHASED, RECEIVED, PROCESSED OR DISPOSED OF

NAME OF DIAMOND TOOL-MAKER: _______________________________________________________

BUSINESS ADDRESS: ________________________________________________________________

TEL NO: ______________________________________

<table>
<thead>
<tr>
<th>PURCHASED/RECEIVED</th>
<th>PROCESSED (set in tools, implements, etc)</th>
<th>DISPOSED OF</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date</td>
<td>Seal No.</td>
<td>Date</td>
</tr>
<tr>
<td></td>
<td>From whom (name and address)</td>
<td>To whom (name and address)</td>
</tr>
<tr>
<td></td>
<td>Stones (less than 2 carats)</td>
<td>Stones (less than 2 carats)</td>
</tr>
<tr>
<td></td>
<td>Stones (2 carats and more)</td>
<td>Stones (2 carats and more)</td>
</tr>
<tr>
<td></td>
<td>Total Weight</td>
<td>Total Weight</td>
</tr>
<tr>
<td></td>
<td>Total No.</td>
<td>Total No.</td>
</tr>
<tr>
<td></td>
<td>Total Value</td>
<td>Total Value</td>
</tr>
<tr>
<td></td>
<td>Stock at start of month</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>TOTAL</th>
<th>TOTAL</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Stock at end of month</td>
<td></td>
</tr>
</tbody>
</table>

1, ___________________________ do hereby declare that the above is a true return of all unpolished diamonds purchased, received, processed or disposed of by me.

SIGNATURE OF LICENSEE (OR AUTHORIZED PERSON): __________________________________________

DATE: __________________________________________
**FORM 16**

**NAME OF DIAMOND RESEARCHER:**

**ADDRESS:**

<table>
<thead>
<tr>
<th>PURCHASED / RECEIVED</th>
<th>PROCESSED</th>
<th>DISPOSED OF</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date</td>
<td>Seal No.</td>
<td>From whom (name and address)</td>
</tr>
<tr>
<td>Total Weight</td>
<td>Total No.</td>
<td>Total Value</td>
</tr>
</tbody>
</table>

| Stock at start of month | Total Weight | Total No. | Total Value | Total Weight | Total No. | Total Value |

**MONTH:** 20
REPUBLIC OF NAMIBIA
MINISTRY OF MINES AND ENERGY
DIAMOND ACT, 1999 (ACT 13 OF 1999)

NOTE OF PURCHASE / RECEIPT OF UNPOLISHED DIAMONDS

NAME OF PURCHASER / RECIPIENT:

NO. OF NOTE:
FORM 18

REPUBLIC OF NAMIBIA
MINISTRY OF MINES AND ENERGY
DIAMOND ACT, 1999 (ACT 13 OF 1999)

REGISTRATION OF DIAMOND PROSPECTING OR
MINING VESSEL IN TERMS OF SECTION 57(1)

DIAMOND PROSPECTING VESSEL ☐ DIAMOND MINING VESSEL ☐

PART I

PRODUCER ☐ HOLDER OF EXCLUSIVE PROSPECTING LICENCE ☐

CONTRACTOR ☐ SUB-CONTRACTOR ☐

Name: ________________________________________________
Postal Address : __________________________________________
Business Address : _________________________________________
Telephone No. : ______________________ Fax No. : ______________________
Licence No. : _____________________________________________
Name of Licence Holder (in case of contractor/sub-contractor) : ______________________

PART II

Name of Vessel : _________________________________________
Port of Registry : _________________________________________
Port Registration No. : ______________________________________
(Attach copy of / extract from register or certificate of registry)
Radio call sign : _______________________________________
Name of Owner : _________________________________________
(Attach certificate of ownership / copy of deed of sale)

Construction :  Wood ☐ Steel ☐
Aluminium ☐ Glass fibre ☐
Other ☐ Specify : _______________________________________

Date and place of construction/conversion as Diamond Prospecting or Mining Vessel :
__________________________________________________

Overall Length : _________________________________________
Maximum Beam : _________________________________________
Depth : _________________________________________________
(Attach two colour photographs (A.6 format) as well as negatives from which photographs were made, showing vessel from starboard and port)

Gross registered tons : ________________________________
Main engine(s) horsepower : ______________________________
(Attach copy / extract from register providing particulars of vessel)

Expiring date of safety certificate : __________________________
(Attach copy of certificate)

Is there a mortgage bond registered over the vessel? : ______________________
(Attach copy of deed of mortgage)

Provide technical specifications of sampling, prospecting and / or mining operations to be carried out : _______________________________
PART III

Name of vessel master: ____________________________

Name of vessel manager (person in charge of prospecting or mining operations): ____________________________

Total no. of crew: ____________________________

Attach list giving details of crew members, specifying position held, whether permanent or temporary crew member, name, nationality (attach proof). (Whenever details provided in Part III change, an amended list should promptly be forwarded to the Ministry.)

I ____________________________ do hereby declare that the contents of this form and the annexures thereto are to the best of my knowledge and belief and correct in all respects after due inquiry and I declare that I am aware of the provisions of section 71(g) of the Act.

SIGNATURE: ____________________________ DATE: ____________________________
FORM 19

REPUBLIC OF NAMIBIA
MINISTRY OF MINES AND ENERGY
DIAMOND ACT, 1999 (ACT 13 OF 1999)
NOTIFICATION OF INTENDED EXPORT
OF POLISHED DIAMONDS IN TERMS
OF SECTION 64(1)

1. Particulars of exporter if natural person:
   1.1 First name(s): __________________________
   1.2 Surname: ________________________________
   1.3 Identity / Passport No.: ____________________
   1.4 Nationality: _____________________________
   1.5 If resident in Namibia, furnish particulars of the following in Namibia:
      Postal Address: ___________________________
      Residential Address: _______________________
      Telephone No.: ___________________________ (work) ____________________ (home)
      Fax No.: _________________________________
   1.6 If resident outside Namibia, furnish particulars of the following in country of residence:
      Postal Address: ___________________________
      Residential Address: _______________________
      Telephone No.: ___________________________ (work) ____________________ (home)
      Fax No.: _________________________________
   1.7 If resident outside Namibia, furnish particulars of the following in Namibia:
      Address where staying: _______________________
      Contact person at address (if applicable): ___________________
      Telephone No.: ___________________________ Fax No.: _______________________

2. Particulars of exporter if juristic person (company or close corporation):
   2.1 Name: _________________________________
   2.2 Country of Registration and Registration No.: _______________________
   2.3 Telephone No.: ___________________________
   2.4 Fax No.: _______________________________
   2.5 Business Address: _______________________ 
   2.6 Postal Address: __________________________
   2.7 If foreign company or close corporation, give details of contact person in Namibia:
      Name: _________________________________
      Postal Address: __________________________
      Business / Work Address: __________________
      Residential Address: _______________________
      Telephone No.: ___________________________ (work) ____________________ (home)
      Fax No.: ________________________________

3. Particulars of diamonds:
   3.1 No. of carats: ___________________________
   3.2 No. of stones: ___________________________
   3.3 Value / Sales price: _______________________
   3.4 Intended place of export: __________________
   3.5 Destination: _____________________________
   3.6 Intended buyer / sales agent: _______________

4. I ________________________________________ do hereby declare
   that the contents of this form and the annexures thereto are to the best of my
knowledge and belief correct in all respects after due inquiry and I declare that I am aware of the provisions of section 71(g) of the Act.

SIGNATURE: 

DATE: 

ANNEUXURE B

FEES

<table>
<thead>
<tr>
<th>TYPE OF FEE</th>
<th>FEES PAYABLE NS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Application for license in terms of section 16 of Act (regulation 4(1))</td>
<td>500-00</td>
</tr>
<tr>
<td>2. Issue of diamond cutting license, diamond tool-making license or diamond research license (regulation 4(2))</td>
<td>1500-00</td>
</tr>
<tr>
<td>3. Issue of diamond dealer's license (regulation 4(3))</td>
<td>10 000-00</td>
</tr>
<tr>
<td>4. Application for permit referred to in section 27(a), (b), (c), (d), (e), (f), (g), (h), (i) or (j) of the Act (regulation 7)</td>
<td>250-00</td>
</tr>
</tbody>
</table>